

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

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James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, May 20, 2013 10:30 a.m.

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from the April 16 meeting. (pgs.1-6)
2. Presentation of resolution to William Blessman, former Mason County Clerk. (pg.7)
3. Report of the General Counsel
 - a. Campaign Disclosure;
Motion for Reconsideration
 - 1) *SBE v. Cass County Republican Central Committee*, 5050, 12SQ022; (pgs.8-10)
 - 2) *SBE v. Palatine Republican Township Organization*, 22664, 12MA088; (pgs.11-22)Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted
 - 3) *SBE v. Democratic Party of Oak Park*, 598, 12AS059; (pgs.23-26)
 - 4) *SBE v. Associated Fire Fighters of IL PAC*, 1107, 12AS062; (pgs.27-30)
 - 5) *SBE v. Citizens for Joe Shetina*, 4688, 13DQ014; (pgs.31-34)
 - 6) *SBE v. Citizens for Tony Arredia*, 15625, 12AM127; (pgs.35-37)
 - 7) *SBE v. Dan Kotowski for State Senate*, 19108, 12AJ087; (pgs.38-41)
 - 8) *SBE v. Citizens for Stephanie D. Neely*, 20063, 12JQ185; (pgs.42-44)
 - 9) *SBE v. Citizens for Andrew Rand*, 20872, 13DQ083; (pgs.45-47)
 - 10) *SBE v. Friends of Sue Rezin*, 22250, 12AS068; (pgs.48-51)
 - 11) *SBE v. Citizens for Brendan Kelly*, 22425, 13DQ111; (pgs.52-55)
 - 12) *SBE v. Southwestern Illinois CLC PAC*, 22895, 13DQ119; (pgs.56-58)
 - 13) *SBE v. Miller for Senate*, 24065, 13DQ149; (pgs.59-61)
 - 14) *SBE v. First Orland Party*, 24649, 13AD047; (pgs.62-64)
 - 15) *SBE v. Friends of Rob Hanlon*, 24742, 13DQ212; (pgs.65-67)Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied
 - 16) *SBE v. Illinois Homebuilders Political Education Committee*, 295, 12MA096; (pgs.68-70)
 - 17) *SBE v. Concerned Citizens for Education*, 5055, 13DQ016; (pgs.71-73)
 - 18) *SBE v. Bremen Township Republican Organization*, 5989, 12AM091; (pgs.74-76)

- 19) *SBE v. Citizens for Peschke*, 6270, 13DQ021; (pgs.77-79)
- 20) *SBE v. Friends of Leslie Sgro*, 6927, 13DQ022; (pgs.80-82)
- 21) *SBE v. Citizens to Elect Cheri L. Neal*, 12684, 12SQ205; (pgs.83-86)
- 22) *SBE v. Citizens for David E. Miller*, 14923, 13DQ044; (pgs.87-89)
- 23) *SBE v. Citizens for Leon Rockingham*, 17700, 12AS066; (pgs.90-93)
- 24) *SBE v. Vernon Township Republican Central Committee*, 19724, 13DQ068; (pgs.94-96)
- 25) *SBE v. Friends of Rodney S. Craig for Village President*, 20202, 13DQ075; (pgs.97-99)
- 26) *SBE v. Committee to Elect John J. Curry*, 21011, 12MA106; (pgs.100-105)
- 27) *SBE v. Brian Gustafson for Coroner*, 21070, 13DQ085; (pgs.106-108)
- 28) *SBE v. Hanover Park Progress*, 21267, 13DQ086; (pgs.109-111)
- 29) *SBE v. Citizens for Kevin Horstman*, 21902, 12JQ080; (pgs.112-114)
- 30) *SBE v. Citizens to Elect Dan Koukol*, 22899, 13DQ120; (pgs.115-117)
- 31) *SBE v. Citizens to Elect Bellar*, 23011, 12AJ075; (pgs.118-123)
- 32) *SBE v. Friends of Lisa Ciampoli*, 23080, 13DQ123; (pgs.124-126)
- 33) *SBE v. Citizens for Lori S. Yokoyama*, 23123, 12AS070; (pgs.127-129)
- 34) *SBE v. Local 943 PAC*, 23621, 12SQ212; (pgs.130-133)
- 35) *SBE v. Committee to Elect Cathy Cawiezel*, 24131, 13DQ154; (pgs.134-137)
- 36) *SBE v. Collinsville Township Republicans*, 24482, 13DQ176; (pgs.138-140)
- 37) *SBE v. Friends for Warren L. Dixon*, 24528, 12SQ213; (pgs.141-144)
- 38) *SBE v. Citizens for Somonauk Schools*, 24768, 13DQ218; (pgs.145-147)
- 39) *SBE v. Pride in River Forest*, 24790, 13DQ222; (pgs.148-150)
- 40) *SBE v. Rick Lehew*, 24794, 13DQ225; (pgs.151-153)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted and denied

- 41) *SBE v. Citizens for Grayeb*, 24753, 13MA036; (pgs.154-157)

Appeals of contribution limit fines – hearing officer recommendation appeals be granted

- 42) *SBE v. Friends of Bill Haine*, 5777, 12JQ-CL001; (pgs.158-162)

Other campaign disclosure items

- 43) Random audits of political committees; (pg.163)
- 44) Civil Penalty Assessments/Final Orders; (pgs.164-170)
- 45) Contribution Limit Penalty Assessments/Final Orders; (pg.171)
- 46) Payment of civil penalties – informational; (pg.172)

Complaints following closed preliminary hearing (separate packet)

- 47) *Cicero Voter's Alliance v. Citizens for Juan Ochoa*, 13CD097; (pgs.1-3)
- 48) *Cicero Voter's Alliance v. Citizens for Juan Ochoa*, 13CD100; (pgs.4-9)
- 49) *Zender v. Michael Scott Carter for Illinois*, 13CD105; (pgs.10-13)
- 50) *Mustafa v. Lake Villa Township Baseball League*, 13CD122; (pgs.14-27)
- 51) *Mustafa v. Lake Villa 1st*, 13CD123; (pgs.28-36)
- 52) *Mustafa v. Lake Villa Township Republican Club*, 13CD124; (pgs.37-48)

Complaints following closed preliminary hearing – hearing officer recommendation complaints be upheld and proceed to a public hearing

- 53) *SBE v. Taking Back America*, 13CD090; (pgs.49-51)

Motion for Reconsideration of Board Order following closed hearing

- 54) *Zurek v. Village of Franklin Park*, 13CD107. (pgs.52-70)

4. Report of the Executive Director

- a. Report on Advisory Committee Meeting; (pgs.173-174)
- b. Update on proclamation of results from the April 9, 2013 Election; (pg.175)
- c. Late precinct reporting from the April 9, 2013 Election; (pgs.176-177)
- d. Dominion Voting;
 - 1) WinEDS 4.0 non EAC approval; (pgs.178-186)
 - 2) SSL certificate updates - GEMS 1.18.24D & Ballot Station 4.6.4D; (pg.187)
- e. Legislative update; (oral report)

- f. Report on Campaign Finance Reform Task Force Public Hearing & Meeting; (pg.188)
 - g. IVRS update – informational; (pgs.189-192)
 - h. Census data versus registered voters; (pg.193)
 - i. Six month review of executive session minutes; (pg.194)
 - j. Fiscal status reports – informational;
 - 1) FY13 – month ending April 30; (pgs.195-202)
 - 2) FY13 – Help Illinois Vote Fund; (pgs.203-208)
 - k. Two year plan of staff activity for the months of May & June – informational. (pgs.209-211)
- 5. Follow up; (pg.212)
 - 6. Comments from the general public. (pg.212)
 - 7. Next regular Board meeting at 10:00 a.m. on Tuesday, June 18, 2013 in Chicago. (pg.212)
 - 8. Executive session. (pgs.213-224)

STATE BOARD OF ELECTIONS
Regular Meeting
Tuesday, April 16, 2013

MINUTES

PRESENT:

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers, Member
Betty J. Coffrin, Member
Ernest L. Gowen, Member
Judith C. Rice, Member
Bryan A. Schneider, Member
Charles W. Scholz, Member

ALSO PRESENT:

Rupert Borgsmiller, Executive Director
James Tenuto, Assistant Executive Director
Steve Sandvoss, General Counsel
Amy Calvin, Administrative Assistant II

The meeting convened at 10:40 a.m. via videoconference with all Members present in Chicago.

The Chairman opened the meeting by leading everyone in the pledge of allegiance.

Member Rice moved to approve the minutes from the March 18 and April 4 meetings as presented. Vice Chairman Smart seconded the motion which passed unanimously.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to grant the appeals for Agenda items 2.a.1-8 & 21:

- 1) *SBE v. Williamson County Democratic Central Committee*, 1154, 13AD002;
- 2) *SBE v. Kuspa for Mayor*, 21189, 12SQ102;
- 3) *SBE v. Citizens for John M. Cabello*, 22261, 13AD005;
- 4) *SBE v. Friends of Donahue Campaign Committee*, 22627, 12AM101;
- 5) *SBE v. Friends of Sherman C. Jones*, 22764, 12AS031;
- 6) *SBE v. Citizens for Tom Caravette*, 22906, 12MQ217 & 12SQ134;
- 7) *SBE v. Committee to Elect Nathaniel R. Howse*, 23715, 12AS035;
- 8) *SBE v. Citizens for Ramiro Juarez*, 23882, 12AS038;
- 21) *SBE v. Committee to Elect TFPD Trustee*, 22885, 12SQ133.

No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel for the above noted appeals. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel noted that Agenda items 2.a.9, *SBE v. IL Home Builders Political Education Committee*, 295, 12MA096; 2.a.16, *SBE v. 32nd Ward Regular Republican Organization*, 20557, 11MA105; and 2.a.22, *SBE v. Citizens to Elect Bellar*, 23011, 12AJ075 would be placed on a future meeting Agenda.

The General Counsel presented the following appeals of campaign disclosure fines where he concurred with the hearing officer recommendation to deny the appeals for Agenda items 2.a.11, 12, 14, 15, 17, 20 & 23-29:

- 11) *SBE v. Tax Accountability*, 7054, 12SQ031;
- 12) *SBE v. Committee for a Better Berwyn*, 14895, 12SQ043;
- 14) *SBE v. Macon County Farm Bureau Political Committee*, 18247, 12SQ066;
- 15) *SBE v. Polish American Congress PAC*, 19460, 12SQ077;
- 17) *SBE v. Lakefront Democratic Fund*, 20744, 12JQ063;
- 20) *SBE v. Citizens to Elect Brian R. McKillip*, 21704, 12SQ111;
- 23) *SBE v. Sherlock for Appellate Court*, 23830, 12SQ156;
- 24) *SBE v. Committee to Elect Don R. Sampen to the Appellate Court*, 23945, 12SQ160;
- 25) *SBE v. Citizens for Laura Reigle*, 24072, 12SQ165;
- 26) *SBE v. Oppose Property Tax Increase for School Dist. 67 Taxpayers a.k.a. For the Children*, 24273, 12MA117;
- 27) *SBE v. Hanover Township Election Victory Fund*, 24449, 12JQ178 & 12AJ074;
- 28) *SBE v. Claahsen for City Council NFP*, 24499, 12SQ188;
- 29) *SBE v. Citizens for Polites*, 24524, 12MA121.

No one was present on behalf of the respondent committees. Vice Chairman Smart moved to accept the recommendation of the General Counsel for the above noted appeals. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.10, *SBE v. Bridge Structural & Reinforcing Iron Work*, 1035, 12SQ017 and concurred with the hearing officer recommendation to deny the appeal. Jim Gardiner was present on behalf of the committee and said that he was not receiving the mailings but has since signed up for e-mail notifications and apologized for the late reports. He asked for a settlement of approximately 50% of the penalty. Vice Chairman Smart moved to accept the recommendation of the General Counsel and approve a settlement of \$500 to be paid within thirty days. Member Scholz seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.18, *SBE v. Committee to Elect Judge Shapiro*, 20755, 12JQ186 and concurred with the hearing officer recommendation to deny the appeal. James Shapiro was present on behalf of the committee and noted that the Treasurer experienced some serious health problems and was not able to timely file the reports. Chairman McGuffage moved to accept the recommendation of the General Counsel. Member Byers seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.19, *SBE v. Friends of Dan Sugrue*, 21181, 12SQ101 and concurred with the hearing officer recommendation to deny the appeal. Dan Sugrue was present on behalf of the committee and explained that he was not in the right frame of mind because of the passing of his mother-in-law and father within a three week period during the time the reports were due. He then offered a settlement of \$300 of the penalty. Vice Chairman Smart moved to accept the recommendation of the General Counsel and approve a settlement of \$300 to be paid within thirty days. Member Coffrin seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda items 2.a.13 & 30, *SBE v. Friends of George A. Cardenas*, 17290, 12MA044 and *SBE v. Friends of George A. Cardenas*, 17290, 12MA102 and concurred with the hearing officer recommendation to deny the appeal for item 2.a.13 and grant in part and deny in part for item 2.a.30. James Nally was present on behalf of the respondent committee and indicated that there was a turnover of staff and those individuals were unclear about the new laws and noted that additional notifications have been put into place. Mr. Nally then offered a settlement of \$12,500 of the penalty. After discussion, Member Schneider moved to grant in part and deny in part and approve a settlement of \$9,947.50 for 12MA044 (20% of the delinquently

reported contributions) and a \$2,500 settlement for 12MA102, both to be paid within 30 days. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The General Counsel presented Agenda item 2.a.31, *SBE v. Citizens to Elect Stanley L. Hill, 23662*, 12MA111 and concurred with the recommendation to grant the appeal in part and deny the appeal in part. No one was present on behalf of the respondent committee. Member Rice moved to accept the recommendation of the General Counsel. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

A listing of payment of civil penalties was presented for informational purposes.

Member Schneider moved to recess to executive session to consider complaints, litigation and personnel matters. Member Scholz seconded the motion which passed unanimously. The meeting recessed at 11:20 a.m. and reconvened at 12:05 p.m.

As to Agenda item 2.a.33, *Cicero Voter's Alliance v. Seniors for Starzyk*, 13CD096, Member Schneider moved to find that the complaint was not filed on justifiable grounds. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to find the following complaints for Agenda items 2.a.34-45 were filed on justifiable grounds but no further action beyond the imposition of a civil penalty:

- 34) *SBE v. Friends of Zachery C. Wilson*, 13CD027;
- 35) *SBE v. Prairie Green of East Central IL*, 13CD032
- 36) *SBE v. Citizens to Elect Laura C. Tomsy*, 13CD046;
- 37) *SBE v. Citizens for Stefani*, 13CD047;
- 38) *SBE v. Citizens for Cecil Todd Emery for Sheriff*, 13CD050;
- 39) *SBE v. Friends of Ed Michalowski*, 13CD053;
- 40) *SBE v. Friends of Molly Phelan*, 13CD057;
- 41) *SBE v. Southland Democrats*, 13CD060;
- 42) *SBE v. Friends of Vetreess Boyce*, 13CD064;
- 43) *SBE v. Friends of Rhymefest*, 13CD065;
- 44) *SBE v. Open Government Party*, 13CD067;
- 45) *SBE v. John P. Tully for Supreme Court*, 13CD080;

Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to find the following complaints for Agenda items 2.a.46-50 & 53-58 were filed on justifiable grounds and the matters proceed to a public hearing:

- 46) *SBE v. Illinois School Psychologists PAC*, 13CD006;
- 47) *SBE v. Committee Re-Elect Mike Slape*, 13CD009;
- 48) *SBE v. Pulaski County Republican Boosters Club*, 13CD012;
- 49) *SBE v. Citizens to Elect Alvin Parks, Jr.*, 13CD031;
- 50) *SBE v. Friends of Kenny Johnson*, 13CD034;
- 53) *SBE v. Oak Lawn Leadership Team*, 13CD045;
- 54) *SBE v. Illinois Black Business PAC*, 13CD055;
- 55) *SBE v. Education First Party*, 13CD068;
- 56) *SBE v. Friends of Dick Breckenridge*, 13CD069;
- 57) *SBE v. Tammy Bar for Douglas County State's Attorney*, 13CD081;
- 58) *SBE v. Friends of Tom Bucher*, 13CD084;

Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to direct the following committees; Agenda items 2.a.51-52, *SBE v. Citizens United for Change in the 20th Ward*, 13CD036 and *SBE v. Taxpayers United for Fairness*, 13CD039 to be administratively terminated due to inactivity and lack of communication with the officers. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to consolidate the divisions of Voting Systems and Standards and IL Voter Registration Services. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

Member Schneider moved to approve a 2% cost of living increase (COLA) for staff effective May 1, 2013. Vice Chairman Smart seconded the motion which passed by roll call vote of 8-0.

The Executive Director began his report with an update on the April 9 Consolidated Election and the Special Election in the 2nd Congressional District and said two staff members were stationed in the field and no major issues occurred. Per request from the U.S. House of Representatives and by direction of the Board, unofficial results of the Special Election were transmitted at approximately 10:30 a.m. this past Thursday. Mr. Borgsmiller noted that proclamation of the winners of the Fox Waterway Agency and 2nd Congressional District will occur on May 10 and asked for a Special Board Meeting or motion to authorize staff to do so without a meeting. Member Gown moved to authorize staff to proclaim the results of both elections on May 10. Member Schneider seconded the motion which passed unanimously.

Dianne Felts discussed a ballot problem that occurred in Kankakee County on election day and said two precincts had shrink wrapped ballots that contained both the original and revised ballots. It was determined that GBS experienced a quality control issue and the ballots should have been double-checked prior to shipping. Ms. Felts noted that an election contest will most likely occur in the affected precincts.

Ms. Felts indicated voting system pre-testing was conducted in Clark, Douglas, Jefferson, Perry, Union and Williamson Counties. She noted that there were some issues in Union County where the equipment would not accept the new ballot styles but was corrected after the vendor updated the database. Perry County had some memory cards that needed to be replaced but the new cards that do not require batteries are on back order from the vendor.

Ms. Felts discussed the ES&S SSL certificates for the AccuVote and TSX machines and said it was a minor modification and an engineering change order. This did not have to be tested because it is a communication and security feature. Ms. Felts stated that the vendor updated the expiration dates from July 2013 and January 2014 to October 2030 and recommended the approval. Vice Chairman Smart moved to approve the updated SSL certificates. Member Rice seconded the motion which passed by roll call vote of 8-0.

A listing of election judge training schools containing final numbers was presented for informational purposes.

Cris Cray reported on legislation and said that HB 116 states that any new Member of the SBE will not be entered in the State Retirement System. The bill has an immediate effective date when the Governor signs it and does not affect any of the current Board Members. Ms. Cray indicated that HB 226 passed House Executive Committee and gives seventeen year old individuals the right to vote in a general primary election if they turn eighteen by the date of the general election. Representative Carol Sente is the sponsor and is asking the Board to endorse the bill. Kyle Thomas noted that the election authorities will have to make some program changes to the voter registration and election management systems in the even number election years. The costs for the changes

would be determined by the vendors and election authorities as well. Discussion ensued regarding the requirements of the Illinois Constitution and if the statute can be changed. Member Scholz moved to support HB 226 and direct Ms. Cray to note the Board's concerns so the legislation has the greatest chance of being upheld against any potential constitutional challenges. Member Byers seconded the motion which passed by roll call vote of 8-0.

Mr. Borgsmiller continued his report with an update on judicial redaction and explained that upon request, the SBE is required to redact personal information of judicial officers from political committee reports, the voter registration database, petitions, etc. So far only nine requests have been received and processed by the necessary divisions and staff is anticipating a greater number of judicial officers who will request this service. The IT department developed the program and it does a good job of redacting information from the electronic records. Staff was directed to notify the election authorities to alert them of their potential responsibility for redacting the information from their voter registration databases as well and refer them to the IL Office of Administrative Courts if they have any questions.

Kyle Thomas gave an IVRS update and noted that number of duplicate matches has decreased statewide as the election authorities work to clean up their voter registration rolls. There was not much change in the census data versus registered voters numbers in certain jurisdictions. Clark County numbers are expected to drop post election after they complete their purge and East St. Louis should improve as well once their purge is completed this summer.

The reports of fiscal activity for the month of February were presented and the Executive Director said that expenditures are on target and will continue to be monitored.

The two year plan of staff activity was presented for informational purposes.

The Executive Director reported on a follow up matter regarding compliance with the IL Procurement Code and said that he, Steve Sandvoss and Mike Roate had a meeting with Matt Brown and the discussion centered on the provision that states which agencies are included under the Code. Mr. Sandvoss referred to Section 10-10 where it says the chief procurement officer shall appoint a state purchasing officer for each agency that the chief procurement officer is responsible for under Section 1-15.15. He explained that Section 1-15.15, lists the four procurement officers: one for Capital Development; one for Illinois Department of Transportation; one for Illinois Institute of Higher Education; and the last one deals with everyone else. Under the definition of state agency, it includes all boards, commissions, agencies, institutions, authorities, and bodies politic and corporate of the state created by or in accordance with the Constitution or statute of the executive branch of state government. Mr. Sandvoss said the preferred course of action would be to seek a legislative exclusion that would codify into law that the SBE is excluded from the provisions of the Code for reasons discussed at the last Board meeting and the independent nature of the Board as declared by Supreme Court decisions. Mr. Brown had indicated in a previous conversation with Staff, that he would not personally oppose that course of action. After discussion, all Members unanimously agreed that a letter would be sent from the Chairman and Vice Chairman to the Speaker of the House, the Senate President, the minority leaders of both chambers and Auditor General explaining the Board's position.

Upon request from Member Byers, it was unanimously agreed to change the meeting time to 10:00 a.m. for the June 18, 2013 Board Meeting.

With there being no further business before the Board Member Scholz moved to adjourn until 10:30 a.m. on Monday, May 20, 2013 in Springfield. Member Byers seconded the motion which passed unanimously. The meeting adjourned at 1:20 pm.

Respectfully submitted,



Amy Calvin, Administrative Assistant II



Rupert T. Borgsmiller, Executive Director

**THE
ILLINOIS STATE BOARD OF ELECTIONS

RESOLUTION
IN HONOR OF WILLIAM R. BLESSMAN**

WHEREAS, the State Board of Elections is an agency created and mandated by the Illinois Constitution to have general supervision over the conduct of elections and registration in the State of Illinois; and

WHEREAS, it has come to our attention that the Honorable William Blessman, Mason County Clerk, announced his retirement effective May 24, 2013; and

WHEREAS, William Blessman has served as County Clerk for Mason County since December, 1986, and was recognized as 2003 Illinois County Clerk of the Year; and

WHEREAS, William Blessman has been a dedicated public servant of Mason County for more than 27 years; and

WHEREAS, William Blessman has served with distinction as a member of the State Board of Elections Advisory Committee, as Zone II President of the County Clerks and Records Association, and as a member of the Illinois Association of County Officials and the Association's Legislative Committee; and

THEREFORE, BE IT RESOLVED, that we, the members of the State Board of Elections offer our sincere admiration and appreciation to William Blessman for his 27 years of dedicated and distinguished public service in the administration of elections and for his tireless and professional efforts to improve the efficiency and integrity of the electoral process in the State of Illinois.

Dated: May 20, 2013



Members -- State Board of Elections

William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold A. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Rupert T. Borgsmiller
Executive Director

Sharon Steward Director
From Cass County Central Comm

We ask For a reconsideration
on the Fine that was imposed on
us. Due to the fact that
notices, correspondence were
sent to the wrong address.
For quarterly Filings Reports

Gary R. H...
Chairman
2-28-13

STATE BOARD OF ELECTIONS
13 FEB 28 PM 3:52

ID 5050

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 12 SQ 022
)
Cass County Republican Central)
Committee)
 Respondent(s).)

FINAL ORDER

TO: Cass County Republican Central Committee ID# 5050
 109 W 17th St, POB 45
 Beardstown, IL 62618

This matter coming to be heard this 20th day of February, 2013 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 12 SQ 022, a \$150.00 civil penalty was assessed against the Respondent for the delinquent filing of the September 2012 Quarterly Report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$200.00, which was stayed as a first violation, for the delinquent filing of the December 2011 Quarterly report (12 DQ 022). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$150.00 is imposed and the stay is lifted on the previously assessed penalty of \$200.00. The total amount of \$350.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is February 21, 2013, and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/21/2013


William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
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EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 5050

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Cass County Republican Central Cmte
PO Box 672
Ashland, IL 62612

7012 1010 0002 5104 3823

Dear Cass County Republican Central Cmte:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 18, 2012, 3 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly <i>1209022</i>	\$200
TOTAL AMOUNT NOW DUE		\$350

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

STATE BOARD OF ELECTIONS

13 MAY -6 PM 2:02

In The Matter Of
Illinois State Board Of Elections,
Complainant

v.

Palatine Republican Township Organization,

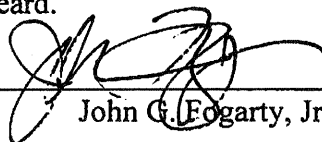
Respondent.

No. 12 MA088

NOTICE OF MOTION

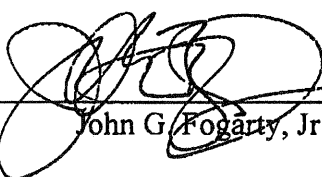
To: Steve Sandvoss, State Board of Elections

Please take notice that on May 6, 2013, the undersigned caused to be filed the attached **MOTION TO RECONSIDER**, to be presented to the State Board of Elections at its May, 2013 meeting, or as soon thereafter as this matter may be heard.


John G. Fogarty, Jr.

Proof of Service

The undersigned attorney certifies he served copies of this Notice and the attached pleading on the above persons by e-mail prior to 5:00 p.m. on May 6, 2013, and placed a hard copy in the U.S. Mail at Chicago, Illinois on that same date.


John G. Fogarty, Jr.

Law Office of John Fogarty, Jr.
4043 N. Ravenswood, Suite 226
Chicago, Illinois 60613
(773) 549-2647 (phone)
(773) 680-4962 (cell)
(773) 681-7147 (fax)
john@fogartylawoffice.com

**BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**

In The Matter Of)	
Illinois State Board Of Elections,)	
Complainant)	
)	
v.)	No.
)	
Palatine Republican Township Organization,)	
)	
Respondent.)	

MOTION TO RECONSIDER

Now comes Respondent Palatine Township Republican Organization (“the Committee”), and moves the State Board of Elections (“SBE”) to reconsider its Order entered on February 20, 2013. In support of its Motion, the Committee states as follows:

1. The SBE entered an Order on February 20, 2013, in which it fined the Committee \$5,000 for its alleged failure to file a Form A-1 for a contribution of \$20,000 it was alleged to have received from Ken & Anne Griffin on January 4, 2012.

2. The SBE’s Order was based on mistaken facts, and for the reasons that follow, should be reconsidered.

3. As an initial matter, the Committee recognizes that it is outside of the seven-day window during which a Motion to Reconsider must be filed. However, the Committee’s former Treasurer has just been replaced, and the newly-appointed Treasurer has just discovered the errors in the records presented to the SBE during the Committee’s appeal as well as the Committee’s inaccurate disclosure of contributions that resulted in the Committee’s fine in the first place. Attached hereto as Exhibit A is the affidavit of Tom Rooney, the new Treasurer for the Committee. Given that the fine at issue is based on inaccurate disclosure, and is in the nature

of an inaccurate data entry, and that this has only recently been discovered by the new Treasurer, the Committee asks for the SBE's leniency, under the circumstances.

4. On January 5, 2012, the Committee received a \$10,000 contribution from Ken Griffin and a \$10,000 contribution from Ann Griffin. (See Rooney Aff. ¶ 5.)

5. The Committee filed Form A-1's on January 13, 2012 for each of these contributions, but mistakenly reflected the contributors on its Form A-1s as "Citadel Global Management" and "Aragon Global Management." Ken Griffin is employed by Citadel, LLC, and Ann Griffin by Aragon Global Management, LLC. (See Rooney Aff. ¶ 6.)

6. Moreover, the Committee, in its initial Quarterly Report for the first Quarter of 2012, mistakenly entered these contributions into IDES twice, such that it appeared that the Committee had received \$40,000, rather than \$20,000. On April 16, 2012, the Committee filed its quarterly D-2, and in addition to the \$10,000 contributions from Citadel Global Management and Aragon Global Management, the Committee reported a \$20,000 contribution from "Ken – Anne Griffin." In fact, the only contributions received by the Committee were the two \$10,000 contributions from Ken and Ann Griffin, for which the Committee had filed A-1s. (See Rooney Aff. ¶ 7.)

7. Later in the day on April 16, 2012, the Committee then apparently realized that it had entered the Griffins' contributions twice, and attempted to delete the duplication. However, instead of deleting the record of a single \$20,000 contribution from "Ken – Ann Griffin," the Committee incorrectly deleted the record of the two \$10,000 contributions. (See Rooney Aff. ¶ 8.)

8. As a result of this error, it appeared to the SBE that the Committee had received a \$20,000 contribution on January 4, 2012 for which it had never filed an A-1. Accordingly, on

September 4, 2012, the SBE assessed the Committee a fine its failure to file an A-1 for this contribution. (See Rooney Aff. ¶ 9.)

9. The Committee appealed that assessment, but during proceedings before the Hearing Officer, the Committee's representatives were not aware that the contribution at issue was a duplicate, inaccurate data entry. This defense was not adequately raised, if raised at all. (See Rooney Aff. ¶ 10.)

10. As a result, the Order entered was based on a mistake of fact, and assesses the Committee a stiff fine for its alleged failure to file an A-1 for a contribution that it did not receive. The Committee did, in fact, file an A-1 for each of the \$10,000 contributions made by Ken and Ann Griffin, respectively. (See Rooney Aff. ¶ 11.)

11. The Committee has very recently engaged a new Treasurer, Tom Rooney. The facts that demonstrate that the Committee's former Treasurer's errors in recording the contributions from Ken and Ann Griffin have come to light upon review by the new Treasurer and organization of the Committee's records. These facts have been brought to the attention of the SBE, in turn, as quickly as possible. (See Rooney Aff. ¶ 12.)

12. In addition, the Committee has again amended its D-2 for the first quarter of 2012 to reflect the fact that it received two \$10,000 contributions from Ken and Ann Griffin, rather than one \$20,000 contribution from "Ken – Anne Griffin." As well, the Committee has amended its D-2 for the first quarter of 2012 to reflect that it received those contributions on January 5, 2012, rather than January 4, 2012. (See Rooney Aff. ¶ 13.)

WHEREFORE, for these reasons, the Committee respectfully requests that the SBE reconsider its Order, and vacate its fine assessed for the Committee's alleged failure to file an A-1 for the \$20,000 contribution. In the alternative, should the SBE deny the Committee's Motion,

the Committee stands ready to make a reasonable, good faith offer of settlement of the SBE's fine.

Respectfully submitted,

Palatine Township Republican Organization

By:  _____
One of its Attorneys

John G. Fogarty, Jr.
Law Office of John Fogarty, Jr.
4043 N. Ravenswood, Suite 226
Chicago, IL 60613
(773) 549-2647
(773) 681-7147 (fax)
john@fogartylawoffice.com

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

In The Matter Of)	
Illinois State Board Of Elections,)	
Complainant)	
)	
v.)	No.
)	
Palatine Republican Township Organization,)	
)	
Respondent.)	

AFFIDAVIT OF TOM ROONEY

I, Tom Rooney, being first duly sworn upon my oath, depose and state as follows:

1. I have personal knowledge of the matters stated herein.
2. On April 15, 2013, I became the Treasurer for the Palatine Republican Township Organization ("the Committee").
3. As I began to look at the books and records of the Committee, I became aware that the State Board of Elections ("SBE") had very recently entered an Order on February 20, 2013, in which it fined the Committee \$5,000 for its alleged failure to file a Form A-1 for a contribution of \$20,000 the Committee was alleged to have received from Ken and Anne Griffin on January 4, 2012.
4. Looking into the Committee's records, it became apparent to me that the SBE's fine was based on a contribution that had been entered twice in the Committee's books, and was in error. The following are my observations of the Committee's records and filings.
5. On January 5, 2012, the Committee received a \$10,000 contribution from Ken Griffin and a \$10,000 contribution from Ann Griffin. Attached hereto as Exhibit 1 are copies of



the check stubs that accompanied those contributions, and the Committee's bank statement reflecting that those checks were deposited on January 5, 2012.

6. The Committee filed Form A-1's on January 13, 2012 for each of these contributions, but mistakenly reflected the contributors on its Form A-1s as "Citadel Global Management" and "Aragon Global Management." Ken Griffin is employed by Citadel, LLC, and Ann Griffin by Aragon Global Management, LLC.

7. In its disclosure report for the first quarter of 2012, the Committee mistakenly entered these contributions into IDES twice, such that it appeared that the Committee had received \$40,000, rather than \$20,000, from the Griffins. On April 16, 2012, the Committee filed its quarterly D-2, and in addition to the \$10,000 contributions from Citadel Global Management and Aragon Global Management, the Committee reported a \$20,000 contribution from "Ken - Anne Griffin." In fact, the only contributions received by the Committee were the two \$10,000 contributions from Ken and Ann Griffin, for which the Committee had filed A-1s.

8. Later in the day on April 16, 2012, the Committee attempted to correct its double-reporting error, and deleted one of the duplicate entries. However, instead of deleting the record of a single \$20,000 contribution from "Ken - Ann Griffin," the Committee incorrectly deleted the record of the two \$10,000 contributions.

9. As a result of this error, it appeared to the SBE that the Committee had received a \$20,000 contribution on January 4, 2012 for which it had never filed an A-1. Accordingly, on September 4, 2012, the SBE assessed the Committee a fine for its failure to file an A-1 for this contribution.

10. The Committee appealed that assessment, but during proceedings before the SBE Hearing Officer, it appears that the Committee's representatives were not aware that the

contribution at issue was a duplicate, inaccurate data entry. It appears that this defense was not adequately raised, if raised at all.

11. As a result, the Order entered was based on a mistake of fact, and assesses the Committee a stiff fine for its alleged failure to file an A-1 for a contribution that it did not receive. The Committee did, in fact, file an A-1 for each of the \$10,000 contributions made by Ken and Ann Griffin, respectively.

12. These facts surrounding the data entry errors involved in recording the contributions from Ken and Ann Griffin have come to light upon my review of the Committee's records and SBE filings. I have brought these facts to the attention of the Committee's counsel as soon as I have discovered them.

13. I have amended the Committee's D-2 for the first quarter of 2012 to reflect the fact that it received two \$10,000 contributions from Ken and Ann Griffin, rather than one \$20,000 contribution from "Ken - Anne Griffin." I have also amended the Committee's D-2 for the first quarter of 2012 to reflect the fact that it received those contributions on January 5, 2012, rather than January 4, 2012.

14. Under penalties as provided by law pursuant to Section 1-109 of the Code of Civil Procedure, the undersigned certifies that the statements set forth in this instrument are true and correct, except as to matters therein stated to be on information and belief and as to such matters the undersigned certifies as aforesaid that he verily believes the same to be true.

Affiant further sayeth not.

By: 
Tom Rooney, Treasurer
Palatine Township Republican Organization

Kenneth & Anne Griffin

The Northern Trust Company
Chicago, IL 60675

Date: 12/29/2011

To: Palatine Township Rep Organiz: PALLATINE TWNS

01302

Invoice Number	Date	Description
AG 2011	12/29/2011	Palatine Twnshp Rep Org

Paid Amount
\$10,000.00

1101302110710001521228186411

TOTALS: \$10,000.00

Kenneth Griffin

The Northern Trust Company
Chicago, IL 60675

Date: 12/29/2011

To: Palatine Township Rep Organiz: PALLATINE TWNS

06087

Invoice Number	Date	Description
KG 2011	12/29/2011	Palatine Twnshp Rep Org

Paid Amount
\$10,000.00

1106087110710001521153797011

TOTALS: \$10,000.00



FIFTH THIRD BANK
(CHICAGO)
P.O. BOX 630900 CINCINNATI OH 45263-0900

PALATINE TOWNSHIP
REPUBLICAN ORGANIZATION
839 N QUENTIN RD
PALATINE IL 60067-2031

3948

Statement Period Date: 1/1/2012 - 1/31/2012
Account Type: Bus Basics Checking
Account Number: [REDACTED]

Banking Center: Palatine
Banking Center Phone: 847-934-2104
Commercial Client Services: 1-800-589-5355
www.53.com

Account Summary - [REDACTED]

01/01	Beginning Balance	\$175.68	Number of Days in Period	31
3	Checks	\$(18,692.41)		
	Withdrawals / Debits			
4	Deposits / Credits	\$26,344.85		
01/31	Ending Balance	\$7,828.12		

Checks

3 checks totaling \$18,692.41

* Indicates gap in check sequence i = Electronic Image s = Substitute Check

Number	Date Paid	Amount	Number	Date Paid	Amount	Number	Date Paid	Amount
1055 i	01/10	392.41	1056 i	01/10	890.00	1057 i	01/25	17,500.00

Deposits / Credits

4 items totaling \$26,344.85

Date	Amount	Description
01/05	20,000.00	DEPOSIT
01/11	6,175.00	DEPOSIT
01/25	50.00	DEPOSIT
01/27	119.85	PAYPAL TRANSFER 492J25K9H6424 012712

Daily Balance Summary

Date	Amount	Date	Amount	Date	Amount
01/05	20,175.68	01/11	25,158.27	01/27	7,828.12
01/10	18,983.27	01/25	7,708.27		

Real Life Rewards Summary

Account	Point Balance	As of Date	Points Set to Expire	Expiration Date
*****1016	473	1/31/2012	472	1/31/2014
*****1024	0	1/31/2012	0	
*****1032	0	1/31/2012	0	

Combine points earned on multiple accounts, redeem points and view program terms online at www.fifththirdrewards.com. For additional questions or service needs, please contact our dedicated Fifth Third Rewards Service Line by calling 1.800.901.9962.

HELP KEEP YOUR FINANCES SAFE AND SECURE WITH FIFTH THIRD IDENTITY ALERT. VISIT A CONVENIENT FIFTH THIRD BANKING CENTER OR IDENTITYALERT.53.COM TODAY FOR MORE INFORMATION. FIFTH THIRD BANK, MEMBER FDIC.

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
Illinois State Board of Elections)
Complainant(s),)
)
Vs.) 12 MA 088
)
Palatine Township Republican)
Organization)
Respondent(s).)

FINAL ORDER

TO: Palatine Township Republican Organization
839 N Quentin Rd
Palatine, IL 60067-2031

ID# 22664

This matter coming to be heard this 20th day of February, 2013 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 12 MA 088, a \$10,00.00 civil penalty was initially assessed against the Respondent for failure to file a Schedule A-1 during the first quarter of 2012; appeal was taken from this assessment; and
2. In case number 12 MA 088, a \$2250.00 civil penalty was initially assessed against the Respondent for the delinquent filing of a Schedule A-1 during the second quarter of 2012; appeal was taken from this assessment; and
3. The committee was previously assessed a penalty of \$500.00, which was stayed as a first violation, for the delinquent filing of a Schedule A-1 during the third quarter of 2011 (11 AS 089). This assessment was not appealed and the amount was reduced to \$50.00 by the Board, and
4. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be GRANTED in regards to the \$2,250.00 assessment and DENIED in regards to the \$10,000.00 assessment for lack of adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$5,000.00 (50% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is GRANTED and DENIED; and
2. A civil penalty in the amount of \$5,000.00 is imposed and the stay is lifted from the previously assessed penalty of \$50.00. The total amount of \$5,050.00 is now due and owing within 30 days of the effective date of this Order, and
3. The committee file an amended June 2012 Quarterly report to correctly reflect the receipt date of the contribution in question with 30 days of the effective date of this Order, and
4. Failure to comply may result in the imposition of a civil penalty not to exceed \$5000.00, and
5. The effective date of this Order is February 22, 2013, and
6. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 2/22/2013


William M. McGuffage, Chairman

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Palatine Township Republican Organization ID# 22664
839 N Quentin Rd
Palatine, IL 60067-2031

7011 2970 0003 6439 3113

Dear Palatine Township Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act during the 2012 first quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ken & Anne Griffin	1/4/2012	\$20,000	*	64	\$10,000

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$10,000 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$5000, (50% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

In addition, this committee also failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 as required by the Illinois Campaign Disclosure Act during the quarter:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Northwest Suburban Rep Lincoln Day Dinner Com	4/1/2012	\$4500	4/16/2012	6	\$2250

Your committee is subject to a fine of \$2250 for delinquently filing Schedule A-1 reports.

Since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$2250, (100% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$7250.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AS 059

Democratic Party of Oak Park

ID#598

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received two \$1,000 contributions and a \$2,675 contribution on 7/2/12 but failed to report any of these on a Schedule A-1 resulting in a civil penalty of \$2,337.50.

Joseph Seliga, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Seliga states that the Committee did file the contributions timely on a Schedule A-1 on 7/3/12.

Board records indicate the Committee did file the Schedule A-1 report timely on 7/3/12. I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$20,648.14.)



Kim Patrick – Hearing Officer
April 25, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 598

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Democratic Party of Oak Park
1243 Woodbine Ave, Ste 101
Oak Park, IL 60302-1213

7011 2970 0003 6442 9645

Dear Democratic Party of Oak Park:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ameren	7/2/2012	\$1000	*		\$500
Theresa Clancy	7/2/2012	\$1000	*	*	\$500
SUAAction	7/2/2012	\$2675	*	*	\$1337.50

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$2337.50 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$234, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

13 APR -5 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Democratic Party of Oak Park)
Respondent(s).)

Case No. 12AS059

APPEAL AFFIDAVIT

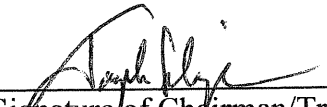
I, Joseph Seliga, the Treasurer of the
(Name) (Chairman/Treasurer)

Democratic Party of Oak Park

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Please see attached documents.

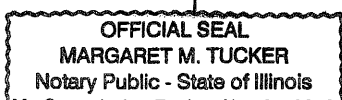

Signature of Chairman/Treasurer

Signed and Sworn to by:

Joseph Seliga

before me this 1st Day of April, 2013

Notary Public Margaret M. Tucker
(seal)



ATTACHMENT TO APPEAL AFFIDAVIT

This appeal is filed in response to correspondence from Sharon Steward, the Director of the Campaign Disclosure Division of the State Board of Elections (the "State Board"), dated March 15, 2013, in which a civil penalty was imposed on the Democratic Party of Oak Park (the "Committee") in relation to three contributions that the State Board of Elections is asserting were not filed on Schedule A-1 reports. The Committee is appealing the assessment of this civil penalty and has completed a waiver of appearance, which is attached to this affidavit.

The relevant contributions are (1) a \$1,000 contribution from Ameren; (2) a \$1,000 contribution from Theresa Clancy and (3) a \$2,675 contribution from SUAAction. The date of each contribution is July 2, 2012. In the March 15, 2013 letter from the State Board, the State Board is asserting that the contributions were reported on "the Committee's September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1,000 or more."

The Committee is appealing the imposition of the civil penalty in this case because each of the three contributions were reported by the Committee on a Schedule A-1 Report of Campaign Contributions dated July 3, 2012, which is within five business days of each contribution, in accordance with 10 ILCS 5/9-10(c).

Attached to this affidavit is the A1 report that was automatically generated for all three contributions, which shows the A1 report uploaded and filed on July 3, 2012 at 12:11:51 a.m. Also attached to this affidavit is a screen shot from the State Board of Elections Web site, which shows the A1 report for all three contributions filed as of July 3, 2012 at 12:11:51 a.m.

These materials demonstrate that A1s were filed for all three contributions within the time period required by 10 ILCS 5/9-10(c). Therefore, the Committee respectfully appeals the assessment of civil penalties with respect to the relevant contributions.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AS 062

Associated Fire Fighters of IL PAC

ID# 1107

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$3,000 contribution on 9/19/12, but failed to report this on a Schedule A-1, resulting in a civil penalty assessment of \$1,500.

Richard Martin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Martin states the contribution in question was actually a returned contribution that was initially given to the wrong candidate. The Committee reported the contribution as a Transfer In when it should have been put in as an Other Receipt. After contacting the Board the Committee amended its September 2012 Quarterly report to reflect the correction.

I agree that the contribution represents a return of a contribution to the Committee and does not require a Schedule A-1 report. Therefore, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$151,160.32.)



Kim Patrick – Hearing Officer
April 25, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 1107

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Associated Fire Fighters of IL PAC
927 S 2nd St
Springfield, IL 62704-2701

7011 2970 0003 6442 9669

Dear Associated Fire Fighters of IL PAC:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Committee to Elect Larry Walsh	9/19/2012	\$3000	*		\$1500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$150, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of : Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 MAR 26 AM 11:41

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Associated Fire Fighters of IL PAC)
Respondent(s).)

Case No. 12A5062

APPEAL AFFIDAVIT

I, Richard Martin, the Treasurer of the
(Name) (Chairman/Treasurer)

Associated Fire Fighters of IL PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

please see attached affidavit

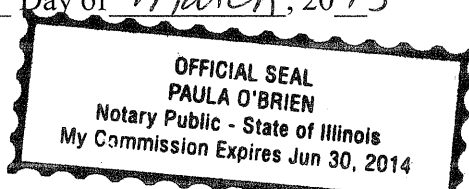
Richard Martin
Signature of Chairman/Treasurer

Signed and Sworn to by:

Paula O'Brien

before me this 21 Day of March, 2013

Notary Public
(seal)





ASSOCIATED FIRE FIGHTERS OF ILLINOIS

927 S. SECOND STREET
SPRINGFIELD, ILLINOIS 62704
(217) 522-8180

(217) 522-8244 (FAX)

AFFI WEB ADDRESS www.affi-iaff.org



March 21, 2013

PRESIDENT

PAT DEVANEY
CHAMPAIGN, L-1260

SECRETARY-TREASURER

TOM ROATE
SPRINGFIELD, L-37

VICE-PRESIDENT AT-LARGE

RUSS HUNT
BOLINGBROOK, L-3005

State Board of Elections
Campaign Disclosure
2329 S MacArthur Blvd
Springfield, IL 62704

RE: Committee Violation Appeal Affidavit

VICE-PRESIDENTS

DISTRICT ONE
DANNY FORTUNA
CHICAGO, L-2

DISTRICT TWO
CHRIS COATS
PEKIN, L-524

DISTRICT THREE
ADAM RUDERMAN
DECATUR, L-505

DISTRICT FOUR
MATT OLSON
BOLINGBROOK, L-3005

DISTRICT FIVE
STEVE HORNE
HIGHLAND PARK, L-822

LEGISLATIVE REPRESENTATIVES

NORTHERN DISTRICT
EDDY CREWS
LISLE, L-2986

CENTRAL DISTRICT
CHUCK SULLIVAN
CHAMPAIGN, L-1260

SOUTHERN DISTRICT
RICHARD MARTIN
MURPHYSBORO, L-3042

On 8/8/12 we intended to make a contribution to Larry Walsh Jr. who was a candidate for State Representative in the 86th District. The check, #3521, was erroneously made out to the Committee to Elect Lawrence Walsh which is the committee of Will County Executive Director Larry Walsh, father to Larry Walsh Jr. By the time the error was discovered, the Committee to Elect Lawrence Walsh had already cashed the check. On 9/19/12 we deposited a check, #4367, from the Committee to Elect Lawrence Walsh which was a return of the donation. We then wrote check #3545 to the correct committee for Larry Walsh, Jr., Friends of Larry Walsh Jr.

Because the check was from a Committee, we recorded it as a transfer in. Since we don't receive contributions, we were not familiar with the process and did not realize we needed to file a Schedule A-1.

The money wasn't actually a contribution and could have been recorded under 'Other Receipts' so the Schedule A-1 wasn't necessary. We have since changed the recording and filed an amended report.

If possible, please void the violation.

TRUSTEES

NORTHERN DISTRICT
ERIC HABERCOSS
CICERO, L-717

CENTRAL DISTRICT
JAY SMITH
CANTON, L-1897

SOUTHERN DISTRICT
RICH WILSON
GRANITE CITY, L-253

Thank you,

Richard Martin, Treasurer
Associated Fire Fighters of Illinois PAC

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 014

Citizens for Joe Shetina
Respondent

ID# 4688

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2012 Quarterly Report

The Quarterly Report was received by the Board on February 1, 2013, 12 days late, resulting in a civil penalty assessment of \$300.

Joe Shetina, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, the Committee states they believed they filed the December 2012 Quarterly report two days prior to the due date of 1/15/13. The Board was contacted by the Committee and were told it could have been a computer glitch and to re-file the report which was done that day.

When filing a report there is a 'report successfully filed' message and the report no longer appears on the reports due now list. We are unaware of any 'glitches' in the IDIS software that would cause an unfiled report to appear to have been filed. It appears the Committee had computer/software issues in attempting to file. In order to be consistent with previous Board decisions when an electronic filing defense is raised, and since this Committee had not previously raised such a defense, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$938.44.)



Kim Patrick – Hearing Officer
April 25, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 4688

Citizens for Joe Shetina
2435 Glenwood Ave
Joliet, IL 60435-5461

7011 2970 0003 6441 3590

Dear Citizens for Joe Shetina:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 1, 2013, 12 days late. As such, this committee has been assessed a fine of \$300.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS
13 APR -1 PM 8:06

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
Citizens for Joe Shetina)
4688 Respondent(s).)

Case No. 13 DQ 014

APPEAL AFFIDAVIT

I, Joe Shetina, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Joe Shetina
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

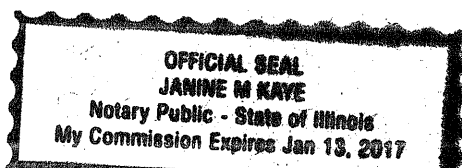
See attached statement

Joseph R Shetina
Signature of Chairman/Treasurer

Signed and Sworn to by:

Janine M. Kaye
before me this 27th Day of March, 2013

Notary Public
(seal)



March 27, 2013

Board Of Elections
State of Illinois

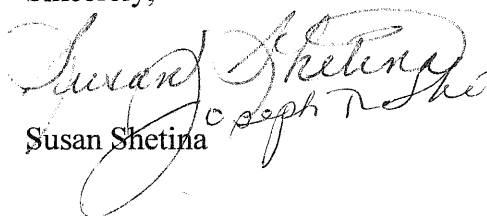
To Whom it May Concern:

My name is Susan Shetina. I prepared the filing document and believed I filed it 2 days prior to the expiration date, January 15, 2013. The first I realized there was a problem was notification by email I believe several days later. I contacted Mr. Clinton Jenkins in the Chicago office who has worked with me on previous occasions. He stated that it could have been a computer glitch and to refile, which I did that day.

If, in fact, I did not file properly, I can only attribute it to distraction. My husband had cancer surgery on January 2nd, and I have been overwhelmed. I know it really doesn't matter that there was very little activity on the account, bank charges, fundraisers, etc., amounting to a little over \$200.00. In any event, I have tried to be accountable in this regard with Mr. Jenkins help.

I leave it to your discretion as to the disposition of this matter. I was positive I filed it before the deadline.

Sincerely,


Susan Shetina

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 127

Citizens for Tony Arredia

ID#15625

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing a Schedule A-1 Report

The Committee received a \$1,000 contribution on 3/30/12 and reported it on a Schedule A-1 received by the Board on 4/12/12, 4 days late resulting in a civil penalty of \$500.

Noreen Lake, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Lake states that the Committee received the \$1,000 prior to the Committee's Creation date. She adds that the Committee's initial Quarterly report shows all activity prior to this date.

After reviewing the Committee's Quarterly report, Ms. Lake did in fact report all transactions prior to the Committee's creation date. Therefore the \$1,000 in question could have been part of the total making up the \$3,000 threshold and no Schedule A-1 would be required. I recommend the appeal be granted.

(As of 3/31/13, this Committee reported a funds available balance of \$12,306.84.)



Kim Patrick – Hearing Officer
May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 15625

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Tony Arredia
1538 Campbell Ave
Des Plaines, IL 60016-6635

7011 2970 0003 6442 9591

Dear Citizens for Tony Arredia:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Ashbury Court LLC	3/30/2012	\$1000	4/12/2012	4	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

13 APR -5 PM 1:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Tony Arredia)
Respondent(s).)

Case No. 12AM127

APPEAL AFFIDAVIT

I, Noreen Lake, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Tony Arredia
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Citizens for Tony Arredia was formed on Friday, March 30, 2012 due to the amount of donations received on that date. One of the first \$3000 received was a check for \$1000 from Asbury Court. After opening a bank account, I then proceed to go to the Illinois Campaign Disclosures website to down load the appropriate software to begin the reporting process.

I worked on filing the proper paper, the D-1 report was filed on Monday, April 2, 2012. I had a trouble filing the report online, so I was able to print (and PFD) the document, and file it via email. I received a receipt for the filing of the report.

I then proceed to learn the software and enter ALL the receipts, even though you do not need to report the first \$3000. I thought it would be best to enter all the monies as received. It then came time for me to file the reports. I spent hours and hours trying to get the software to work.

(continued back side of page)

Signed and Sworn to by:
Noreen Lake
before me this 30th Day of
March, 2013
[Signature]
Notary Public

Noreen Lake
(Signature of Chairman/Treasurer)

OFFICIAL SEAL
SAFDAR HAYAT
Notary Public - State of Illinois
My Commission Expires Oct 24, 2015

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

12 AJ 087

Dan Kotowski for State Senate 19108

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failing to File
A Schedule A-1 in the 2nd Quarter of 2012

This committee received five \$1,000 contributions, two \$1,500 contribution, one \$4,000 contribution, two \$5,000 contributions, and a \$10,000 contribution on 6/29/12, and failed to file a Schedule A-1 for these contributions resulting in a civil penalty assessment of \$16,000. Additionally, the Committee had previously been assessed a \$197 civil penalty (not appealed, reduced, paid) for delinquent filing a Schedule A-1 for the 2006 General Election; and a \$76 civil penalty (not appealed, reduced, paid) for delinquent filing a Schedule A-1 for the 2006 General Election. The total assessment is \$16,000.

The Respondent was represented by Michael Kasper at the February 22nd appeal hearing. Devilé Sobinskas, the Committee book keeper was also in attendance.

Michael Kasper stated that the Committee uses NGP software to file its reports electronically. Ms. Sobinskas stated that a file was established using NGP software on 6/29/12 which contained all of the Schedule A-1 contributions in questions. After the file was created the Committee believed the Schedule A-1 was filed using IDIS file. However, Ms. Sobinskas stated that after the report was filed a popup did not appear indicating that the report had been successfully filed or not. The Committee thought the report was filed.

Dan Kotowski for State Senate does not use the IDIS program to file its reports electronically. The Committee uses NGP to create the report and then the information is submitted to the State Board of Elections through a file that was created by the State Board of Elections. It appears that the Committee saved a file that contained information regarding the contributions in question as per the information that the Respondent provided the hearing officer however I have no way in tracking if the report was actually attempted to be filed on that date or not. Additionally, I am slightly troubled that the Committee did not contact the State Board of Elections or at least check the Board's web site to see if the report was filed or not. However, in order to be consistent with previous Board decisions when an electronic filing issue is used as a defense in regards to a delinquent filing, I recommend that the appeal be granted considering this defense has not been used in the past. (As of 12/31/12, this Committee reported a funds available balance of \$107,833.24.)



Andy Nauman – Hearing Officer
March 19, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 19108

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Dan Kotowski for State Senate
1324 S Crescent Ave
Park Ridge, IL 60068-3919

7012 1010 0002 5104 4349

Dear Dan Kotowski for State Senate:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Michael Brickman	6/29/2012	\$1000	*	5	\$500
Cooney & Conway	6/29/2012	\$4000	*	5	\$2000
Foote Meyers Mielke & Flowers	6/29/2012	\$1500	*	5	\$750
Fori Julian & Associates	6/29/2012	\$5000	*	5	\$2500
Frank Morrissey	6/29/2012	\$1000	*	5	\$500
Brian Murphy	6/29/2012	\$1000	*	5	\$500
Associated Fire Fighters of IL	6/29/2012	\$1000	*	5	\$500
Chicago Fire Fighters Union Local 2	6/29/2012	\$1000	*	5	\$500
CNA Citizens for Good State Gov't	6/29/2012	\$1500	*	5	\$750
IEA IPACE	6/29/2012	\$10,000	*	5	\$5000
Illinois Laborers' Legislative Cmte	6/29/2012	\$5000	*	5	\$2500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$16000 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1600, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within**

State of Illinois)
 County of Cook)

STATE BOARD OF ELECTIONS

13 JAN 11 AM 10:59

BEFORE THE STATE BOARD OF ELECTIONS
 OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Dan Kotowski for State Senate

Respondent(s).

Case No.

12 AJ 067

APPEAL AFFIDAVIT

I, Michael J. Kasper, the Attorney of the
 (Name) (Chairman/Treasurer)

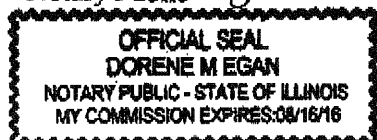
Dan Kotowski for State Senate

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Report not received due to technical problem.

Signed and Sworn to by:

Michael J. Kasperbefore me this 01st Day ofJanuary 2013
Dorene M Egan
 Notary Public

[Signature]
 (Signature of Chairman/Treasurer)

Search Results in Downloads						
Organize	Save search	Slide show	Burn			
IL_FID3344						
	Name	Date	Tags	Size	Rating	Folder path
Downloads	IL_FID3344_A-1 (22)	9/17/2012 5:05 PM		3 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
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file_share (\\Mfp-isdv4) (Z:)	IL_FID3344_A-1 (9)	6/23/2012 9:03 PM		8 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
sbs2003	IL_FID3344_A-1 (8)	6/17/2012 4:50 PM		2 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
Network	IL_FID3344_A-1 (7)	6/14/2012 6:27 PM		2 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (6)	6/10/2012 4:17 PM		3 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (5)	6/1/2012 11:35 AM		3 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (4)	5/29/2012 12:49 PM		1 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (3)	5/29/2012 12:48 PM		1 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (2)	5/2/2012 1:41 PM		2 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1 (1)	5/2/2012 1:40 PM		2 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_A-1	4/17/2012 3:47 PM		2 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...
	IL_FID3344_Q (2)	4/16/2012 8:38 PM		130 KB	☆ ☆ ☆ ☆ ☆ ☆ ☆ ☆	C:\Users\Devile\D...

58 items

10:12 AM
2/22/2013

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

12 JQ 185

Citizens for Stephanie D Neely 20063
Respondent

REPORT OF HEARING OFFICER

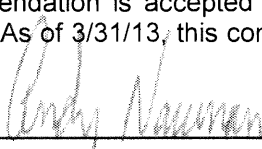
Appeal of Civil Penalty Assessment for Delinquently Filing
The June 2012 Quarterly Report

The June 2012 Quarterly Report was received by the Board on 10/15/12, 63 days late, resulting in a civil penalty assessment of \$3,150. In addition, the Committee had previously been assessed \$626 in civil penalties (not appealed, reduced, paid) for failing to file 8 contributions on a Schedule A-1 for the 2007 Consolidated Primary; a \$153 in civil penalty (not appealed, reduced, paid) for delinquently filing 2 contributions on a Schedule A-1 for the 2007 Consolidated Primary; a \$50 civil penalty (not appealed, reduced, not paid) for failing to file a Schedule A-1 for the 2nd quarter of 2011; a \$1,125 civil penalty (not appealed, reduced, not paid) for failing to file 4 contributions on a Schedule A-1 for the 3rd quarter of 2011. The total assessment is \$4,325.

Lisa Newman, the treasurer, of the committee appeared at the February 14th appeal hearing.

Lisa Newman stated that the committee switched from using NGP to IDIS 2.0 without her knowledge. Ms. Newman was on leave for her mother had a life threatening illness which was followed by an emergency surgery, cancer scare and a recovery period of time for herself. Ms. Newman stated that the first time she tried to file a report with the IDIS program she had a crystal report error. The IT staff had to log onto her computer and fix the problem. Ms. Newman thought she filed the report in June and it was not until the next report was due that she realized the report had not been filed. Ms. Newman requests that with the system change, her health issues and the error that she was receiving the appeal be granted.

I feel for Ms. Newman with her health issues that she was having and I am glad to hear that she now has a clear bill of health. I requested from her the name of the person who helped fix her computer in relation to the Crystal Reports error however Ms. Newman did not provide the name after the hearing had concluded. I searched in the State Board of Elections IDIS service requests and I could not find anything in relation to the circumstances that Ms. Newman explained during the period of time in question. However, I found an IDIS ticket created for Stephanie Neely on 1/15/13 in relation to a Crystal Reports error the committee was receiving in 2013 which would have been more than 6 months after the report was attempted to be filed by Ms. Newman and 3 months after the report was actually filed. I cannot say for certain that the problem that Ms. Newman has explained was an electronic filing issue for sure as she stated or that she tried to file the report in June. The report should have included all activity in the month of June and the program would not have prevented her from filing the report prior to that period of time concluding. However, since I cannot determine if the report was not filed on time due to a Crystal Reports issue or the fact that the committee was potentially trying to submit the report before the reporting period had concluded I recommend the committee be given the benefit of the doubt and the appeal be granted to be consistent with previous Board decisions when an electronic filing issue is used as a defense. The committee now knows that they should double check the State Board of Elections web site to make sure a report has been filed. If this recommendation is accepted by the Board the committee will still owe \$1,175 from previously assessed fines. (As of 3/31/13, this committee reported a funds available balance of \$35,145.72.)


Andy Nauman – Hearing Officer
May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Stephanie D Neely
c/o Lisa Newman
1225 E 168th St
South Holland, IL 60473-3259

ID# 20063

7012 1010 0002 5104 4196

Dear Citizens for Stephanie D Neely:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 15, 2012, 63 day(s) late. As such, this committee has been assessed a fine of \$3150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
April 1 through June 30 2011	Schedule A-1	\$50
July 1 through September 30 2011	Schedule A-1	\$1125
TOTAL AMOUNT NOW DUE		\$4325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

1/8

State of Illinois)

County of: COOK)BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS**RECEIVED**

IN THE MATTER OF;)

JAN 17 2013

ILLINOIS STATE BOARD OF ELECTIONS,)

State Board of Elections

Complainant)

Vs.)

Case No. 12JQ185Ctzn for Stephanie D.
Respondent(s). Neely

APPEAL AFFIDAVIT

I, LISA NEWMAN, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Stephanie D. Neely
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

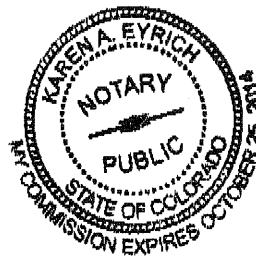
Regarding the 2nd quarter filing the campaign transactions
for NBP to the IL state upgraded system without my
knowledge. I had been on leave to care for my mother
who had a life-threatening illness + shortly after I had
emergency surgery, cancer scan + recovery. I would
like to meet in person to fully explainLisa A. Newman
Signature of Chairman/Treasurer

Signed and Sworn to by:

LISA NEWMANbefore me this 17 Day of JAN, 2013

Notary Public

(seal)

Karen A. Eyrich

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 083

Citizens for Andrew Rand

ID #20872

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late, resulting in a civil penalty assessment of \$25.

Jerry Kolb, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kolb stated the Committee attempted to file the Quarterly report electronically on January 15 but operating issues with the computer where the IDIS software resides prevented the timely filing. The computer was fixed the following day and the Quarterly report was filed.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted.

(As of 3/31/13, this Committee reported a funds available balance of \$2,863.00.)



Kim Patrick – Hearing Officer

May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 20872

Citizens for Andrew Rand
1230 W Moss Ave
Peoria, IL 61606-1736

7011 2970 0003 6441 3934

Dear Citizens for Andrew Rand:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : Peoria)

STATE BOARD OF ELECTIONS
13 APR -9 AM 11:58

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Andrew Rand)
Respondent(s).)

Case No. 13DQ083

APPEAL AFFIDAVIT

I, Jerry Kolb, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Andrew Rand
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

We attempted to file electronically on January 15th. Operating
issues with the computer where the software resides prevented
the timely filing. The computer was fixed the following day and
the report was filed. The timing of the problem prevented
filing by alternate means.


Signature of Chairman/Treasurer

Signed and Sworn to, by:
Bethany D. Hirst
before me this 8th Day of April, 2013
Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AS 068

Friends of Sue Rezin

ID#22250

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 9/30/12 but failed to report this on a Schedule A-1 resulting in a civil penalty assessment of \$500.

Debra Packley, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Packley states she thought she had filed an A-1 for the contribution from Community BancPac. The Committee uses the ELECT software and she received a file number confirming the A-1 being filed. When Ms. Packley reviewed the A-1s filed by the Committee she noticed that a different \$1,000 contribution was filed twice which was a mistake. She states she will check with the programmer to make sure the validation process didn't create the duplication and error.

A review of the Board's records shows the Committee did file two separate A-1 reports on 9/30/12 for the same contributions. While the Committee uses the ELECT program, it is possible that there was an error within the software that gave the Committee two separate filing numbers. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$15,393.71.)



Kim Patrick – Hearing Officer
April 24, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 22250

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Sue Rezin
PO Box 932
Morris, IL 60450-0932

7011 2970 0003 6442 9713

Dear Friends of Sue Rezin:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Community Banc PAC	9/30/2012	\$1000	*		\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the September Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: GRUNDY)

STATE BOARD OF ELECTIONS

13 MAR 27 PM 2:11

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12 AS068

FRIENDS OF SUE REZIN

Respondent(s). 22250)

APPEAL AFFIDAVIT

I, DEBRA PACKER, the TREASURER of the
(Name) (Chairman/Treasurer)

FRIENDS OF SUE REZIN

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

PLEASE SEE ATTACHED LETTER OF EXPLANATION AS WELL

AS COPIES OF ATTACHED FILINGS. THIS IS THE FIRST

VIOLATION THIS COMMITTEE HAS RECEIVED. PLEASE REVIEW

AND CALL OR WRITE WITH ANY QUESTIONS. THANK YOU.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 22 Day of MAR, 2013

Notary Public
(seal)



March 20, 2013

State Board of Elections
Attn: Campaign Disclosure
2329 S MacArthur Blve
Springfield, IL 62704-4502

Dear Sir/Madam

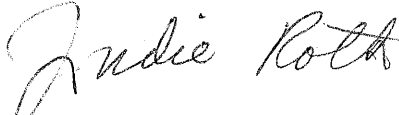
I am writing to you in regard to a recent notice that our campaign received regarding an unfiled A-1. The is in reference to Friends of Sue Rezin, PO Box 932, Morris, IL 60450, committee ID 22250.

On 09/30/2012, I thought that I had filed an A-1 for a contribution of \$1000, by Community BancPAC. During the filing process, I received a file number of 477064. I am entirely confused about what may have happened. Perhaps, I failed to validate the file or something like that. We use the ELECT program for electronic filing. After this long ago, I certainly do not remember if I actually had a problem filing or not. I thought that the file had been received. My habit is to always file an A1 prior to taking the check to the bank, in order to assure that I am in compliance.

While going through the A1's that were filed at that same time, I have noticed that the file immediately following the one in question, Edmund B Thornton and Filson / Gordon, for \$1000 each, have been filed two times. This is a mistake. There should only be one filing for them.

I am totally confused about what could have possibly happened, but am aware that it must have been an issue from my side. I will confer with the programmer for our electronic filing program. Perhaps, the validation process created the duplication and error.

Sincerely,

A handwritten signature in cursive script that reads "Judie Roth". The signature is written in dark ink and is positioned above the printed name.

Judie Roth / Debra Packley

You may call me at 815-942-6723 home
815-474-5429 cell

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 111

Citizens for Brendan Kelly

ID# 22425

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50. Additionally, the Committee had previously been assessed a total civil penalty of \$300 (not appealed, paid) for delinquently filing 5 Schedule A-1s in the last quarter of 2011. The total assessment is \$50.

Garrett Hoerner, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hoerner states the Committee had computer and technical difficulties that were beyond the control of the Committee. The Treasurer of the Committee, Laura Beasley was in constant communication with the Board in her efforts to get the Quarterly report filed in a timely manner.

On the filing deadline day there were problems with the SQL server that originated with Microsoft that caused some committees to have difficulty filing their reports. I recommend the appeal be granted.

(As of 3/31/13, this Committee reported a funds available balance of \$16,563.08.)



Kim Patrick – Hearing Officer

May 6, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 22425

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Brendan Kelly
700 S Jackson St
Belleville, IL 62220-2672

7011 2970 0003 6440 8039

Dear Citizens for Brendan Kelly:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 16, 2013, 1 day(s) late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of: St. Clair)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 APR 17 AM 11:17**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Citizens for Brendan Kelly)
Respondent(s).)

Case No. 13DQ 111

APPEAL AFFIDAVIT

I, Garrett P. Hoerner, the Chairman of the
(Name) (Chairman/Treasurer)

Citizens for Brendan Kelly

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The December 2012 Quarterly Report of Campaign Contribution and Expenditures was filed one (1)
day late (just hours after the deadline) due to computer/technical difficulties beyond the
control of the Committee, as outlined in the enclosed Affidavit of Committee Treasurer Laura
K. Beasley, with attachments. The Committee respectfully requests that the civil penalty be
reversed and not assessed based upon the foregoing inadvertent late filing.

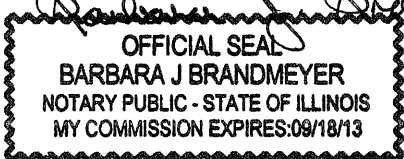

Signature of Chairman/Treasurer
Garrett P. Hoerner, Chairman

Signed and Sworn to by:

Garrett P. Hoerner

before me this 15th Day of April, 2013

Notary Public
(seal)



AFFIDAVIT

My name is Laura K. Beasley. I am an adult over age 18, of sound mind, capable of making this Affidavit, and personally acquainted with the facts herein stated.

I am the Treasure for Citizens for Brendan Kelly.

I am the person who is responsible for filing all Quarterly Reports of Campaign Contributions and Expenditures to the State Board of Elections of the State of Illinois.

On January 16, 2013, I was trying to file the committee's December Quarterly Report for the reporting period between October 1, 2012 through December 31, 2012 when I experienced computer difficulties.

While trying to file the report I ran into technical difficulties.

I was on hold for over three hours waiting for technical support on January 16, 2013.

I sent several e-mails on January 16, 2013, regarding help and/or advice on what steps I could take to file the committee's report on time (see attached e-mails).

I was not able to receive technical support until January 17, 2013.

The technician, after working for some time, was able to fix the issue and I filed the committee report on that day.

I received an email from Mr. John Levin regarding issues the Board of Elections was having with the SQL server that originated with Microsoft affected the ability of some committees to successfully file their reports.

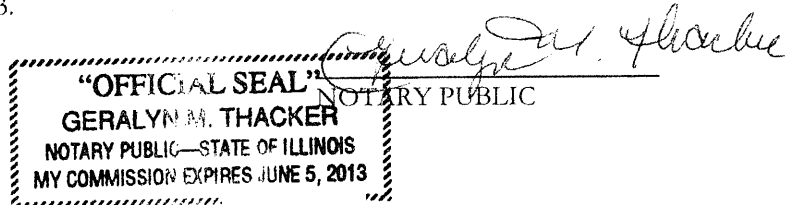
But for the Computer/Server technical issues, I would have had the committee report filed on January 16, 2013.

FURTHER, WITNESS SAYETH NOT.



Laura K. Beasley

Subscribed and sworn to before me, a Notary Public, on this 27th day of March, 2013.



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 119

Southwestern Illinois CLC PAC

ID #22895

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late, resulting in a civil penalty assessment of \$50.

Charles Kaemmerer Jr, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kaemmerer stated the computer he had been filing reports from got a virus and locked up. As soon as the computer was fixed, he filed it one day late and will make sure it doesn't happen again.

In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted.

(As of 3/31/13, this Committee reported a funds available balance of \$11,588.56.)



Kim Patrick – Hearing Officer
May 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

March 15, 2013
ID# 22895

Southwestern Illinois CLC PAC
1801 S 11th St
Belleville, IL 62226-6809

7011 2970 0003 6441 4139

Dear Southwestern Illinois CLC PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: St. Clair)

STATE BOARD OF ELECTIONS

13 APR 15 AM 11:03

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Southwestern Illinois CLC PAC)
22899 Respondent(s).)

Case No. 13DQ119

APPEAL AFFIDAVIT

I, Charles Kaemmerer Jr., the Treasurer of the
(Name) (Chairman/Treasurer)
Southwestern Illinois CLC PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

First of all, I am not very computer savvy. The computer that I have been filing reports on with no problem got a virus and locked up. I couldn't get into anything. As soon as I got it working I filed it just happened to be a day late. I apologize I will work hard to make sure it doesn't happen again.

Charles F. Kaemmerer Jr.
Signature of Chairman/Treasurer

Signed and Sworn to by:

CHARLES KAEEMMERER JR.
before me this 13 Day of APRIL, 2013
Notary Public BRIAN N. RAINBOLT
(seal) Brian N. Rainbolt



ST. CLAIR COUNTY
ILLINOIS

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 149

Miller for Senate ID# 24065
Respondent

REPORT OF HEARING OFFICER

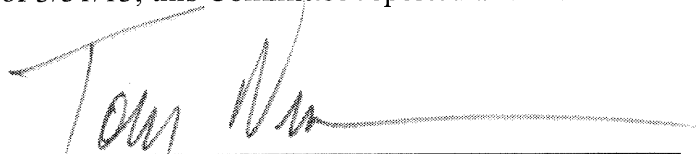
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late, resulting in a civil penalty assessment of \$25.

David Miller, the Candidate of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miller states that a computer technical issue on the Committee's system resulted in the late filing. He adds that the Committee plans to be dissolved by the midyear filing.

In order to be consistent with previous Board rulings where an electronic filing defense is presented, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of -\$6,361.47.)



Tom Newman – Hearing Officer
May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 24065

Miller for Senate
20340 Joy Dr
Lynwood, IL 60411-8506

7011 2970 0003 6441 4313

Dear Miller for Senate:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : _____)

CHICAGO

2013 APR 15 PM 2:09

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Miller For SENATE)
Respondent(s).)

Case No. 13 DQ 149

APPEAL AFFIDAVIT

I, Dan Miller, the _____ of the
(Name) (Chairman/Treasurer)

Miller For SENATE
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE Quorum Report was late due to computer
technical issue on our system. The report
was filed one day later. No other reports have
been late. The Committee will be dissolved by
the mid-year filing.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 15th Day of April, 2013

Notary Public
(seal)

SHARON L. BUSH
MY COMMISSION EXPIRES
AUGUST 17, 2014

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 AD 047

First Orland Party ID# 24649
Respondent

REPORT OF HEARING OFFICER

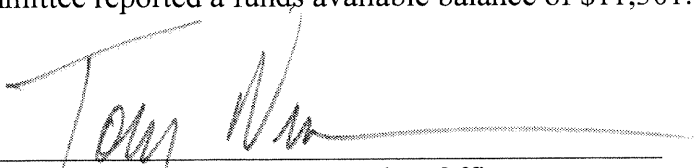
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$1,000 contribution on 10/24/12, and reported it on a Schedule A-1 received by the Board on 12/28/12, 38 days late, resulting in a civil penalty assessment of \$500.

Paul M Aubin, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Aubin states that the delay in filing was caused by the purchase of a new computer and a lengthy process of installing electronic filing software to file the Committee's reports.

Although the Schedule A-1 in question could have been filed on paper, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$11,301.47.)



Tom Newman – Hearing Officer

May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 24649

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Orland Park United Party
13637 Cherry Lane
Orland Park, IL 60462-1621

7011 2970 0003 6442 9973

Dear Orland Park United Party:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Kathy Fenton	10/24/2012	\$1000	12/28/2012	38	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the December Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois

County of: COOK

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS 13 MAR 26 AM 11:41
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13A10047

ID # 24649

Respondent(s).

FIRST OKLAHOMA PARTY

APPEAL AFFIDAVIT

I, PAUL M. ARBIN, the Treasurer of the
(Name) (Chairman/Treasurer)

FIRST OKLAHOMA PARTY

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

DELAY CAUSED UN-INTENTIONALLY BY
PURCHASE OF NEW COMPUTER
AND REINSTALLATION OF DISK WITH
A LONG PROCESS OF INTEGRATION
WITH MICROSOFT & OFFICE 2013

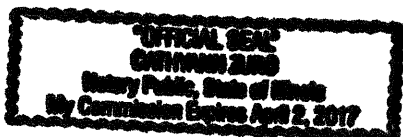
Signature of Chairman/Treasurer

Signed and Sworn to by:

Cathryn Zure

before me this 9th Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 212

Friends of Rob Hanlon ID# 24742
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 31, 2013, 11 days late, resulting in a civil penalty assessment of \$550.

Rob Hanlon, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Hanlon states that he electronically filed a Schedule A-1 on January 9, using the IDIS v2.0 electronic filing software. He says the system should have filed his Quarterly Report at the same time. After calling Board staff for assistance, he updated his software and was then able to file the Report on January 31.

Using the IDIS software, each Report filing must be completed separately. Additionally, failing to accept updates for the software can result in a required report not appearing on a Committee's list of reports due to be filed. In order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously utilized such a defense, I recommend the appeal be granted. (As of 3/31/13, this Committee reported a funds available balance of \$3,312.61.)



Tom Newman – Hearing Officer
May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 24742

Friends of Rob Hanlon
26w450 Churchill Rd
Winfield, IL 60190-2114

7011 2970 0003 6441 4832

Dear Friends of Rob Hanlon:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 31, 2013, 11 days late. As such, this committee has been assessed a fine of \$550.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

13 MAR 26 PM 2:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
FRIENDS OF ROB HANLON)
Respondent(s).)

Case No. 13DQ213

APPEAL AFFIDAVIT

I, Rob Hanlon, the Chairman of the
(Name) (Chairman/Treasurer)

FRIENDS OF ROB HANLON
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I USE IDIS V2.0 TO FILE COMMITTEE INFORMATION. A SCREEN PRINT OF MY ELECTRONIC FILINGS
IS INCLUDED. I FILED QUARTERLY ON 1/9 WITH SUCCESS. THE TOOL SHOULD HAVE FILED MY
QUARTERLY REPORT WITH MY SUCCESSFUL A-1 REPORT ON 1/9. WHEN I CALLED THE SUPPORT
GROUP AT STATE THEY INDICATED IT MIGHT BE A BUG. SO I UPDATED THE SOFTWARE AND (1/31)
RESUBMITTED. I THEN RECEIVED THE SUCCESSFUL COMPLETE AGAIN.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 22ND Day of March, 2013

Notary Public
(seal)



BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

Illinois Home Builders Political Education Committee

Respondent

12 MA 096

ID# 295

REPORT OF HEARING OFFICER

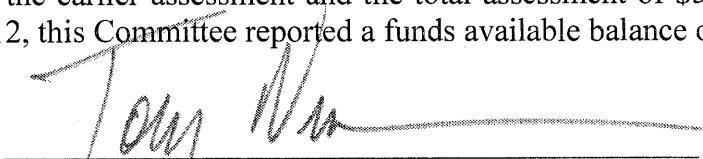
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2012 and September 2012 Quarterly Reports

The June Quarterly Report was received by the Board on October 18, 2012, 66 days late, resulting in a civil penalty assessment of \$5,000. The September Quarterly Report was received by the Board on October 18, 2012, 3 days late, resulting in a civil penalty assessment of \$225. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$5,275.

William Ward, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Ward states that after an internal audit, the Committee found errors in reports dating back several years. After meeting with Board staff in May of 2012, he says it was agreed that the best remedy would be to re-enter the correct information and file amended reports for the years 2004-2012. Mr. Ward says although the Committee diligently worked on this, the length of time to complete the task turned out to be much more than originally planned. He says when the October filing deadline came, the Committee discovered that the September Report could not be filed because the earlier reports had not been redone and filed. He adds that reports more than eight years old were also not accepted by the filing system. Mr. Ward notes that all the Committee's amended Reports have now been filed.

The Committee did file amended Reports dating as far back as 2004, but those Reports were not filed until January 2013 – more than seven months after the Committee apparently began working on them, which seems excessive. Additionally, the June and September 2012 Quarterly Reports were in fact filed before any of the amendments and were relatively brief – at six and four pages long, respectively. I am unclear on why these Reports could not have been filed while the Committee continued working on the earlier corrections and amendments. The Committee also fails to explain why the July filing deadline for the June Quarterly Report was apparently ignored until it came time to file the September Report. For these reasons, I recommend the appeal be denied. If this recommendation is accepted by the Board, the stay would be lifted from the earlier assessment and the total assessment of \$5,275 will be due and owing. (As of 12/31/12, this Committee reported a funds available balance of \$4,471.60.)



Tom Newman – Hearing Officer

February 28, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
December 18, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Illinois Home Builders Pol Education Comm
112 W Edwards St
Springfield, IL 62704-1902

ID# 295

7012 1010 0002 5104 3502

Dear Illinois Home Builders Pol Education Comm:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2012 through June 30, 2012
Filing Period: July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on October 18, 2012, 66 day(s) late. As such, this committee has been assessed a fine of \$5000.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type: September Quarterly Report of Campaign Contribution and Expenditures
Report Period: July 1, 2012 through September 30, 2012
Filing Period: October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on October 18, 2012, 3 day(s) late. As such, this committee has been assessed a fine of \$225.

The total for all new assessments is \$5275.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
January 1 through March 31 2012	Quarterly <i>12 mo 007</i>	\$50
TOTAL AMOUNT NOW DUE		\$5275

State of Illinois)
County of: Sangamon)

STATE BOARD OF ELECTIONS
13 JAN 17 AM 10:54

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Illinois Home Builders PEC)
296 Respondent(s).)

Case No. 12MA096

APPEAL AFFIDAVIT

I, William Ward, the Treasurer of the
(Name) (Chairman/Treasurer)
ILLINOIS Home Builders PEC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

After meeting with the Board of Elections in May of 2012, it was agreed because of the errors IHBPEC
had discovered in an internal audit, the best remedy would be to re-enter the correct information and
file new reports. This was to be for the years 2004-2012. IHBPEC, diligently complied with this
agreement, however the length of time to complete the task far exceeded the original thought.
When the 10-15-12 deadline came IHBPEC discovered the report, although ready to be filed, could not
because all earlier reports had not been redone and filed. IHBPEC started with the latest report period
instead of the earliest ones. Also reports more than 8 years would not be accepted by the system.
As of this date all reports have been filed and IHBPEC would request the penalty for late filing be
removed.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Susan Malham
before me this 17th Day of January, 2013
Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 016

Concerned Citizens for Education

ID# 5055

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
the December 2012 Quarterly Report

The Quarterly Report was received by the Board on January 17, 2013, 2 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a civil penalty of \$150 (not appealed, stayed) for delinquently filing the June 2011 Quarterly report. The total assessment is \$350.

Kenneth Kaczynski, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit Mr. Kaczynski states the Committee has been inactive since 2003 and will take steps to avoid any further late reports. The Committee believed the due date to be 1/17/13 rather than 1/15/13.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the prior assessment and the total assessment of \$350 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$6,952.38.)



Kim Patrick – Hearing Officer
April 25, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 5055

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Concerned Citizens for Education
191 Amherst Dr
Bartlett, IL 60103-4671

7011 2970 0003 6440 7605

Dear Concerned Citizens for Education:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 17, 2013, 2 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$350

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

13 APR -8 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13002016

Concerned Citizens for Education
Respondent(s).)

APPEAL AFFIDAVIT

I, Kenneth R Kaczynski, the Treasurer of the
(Name) (Chairman/Treasurer)

Concerned Citizens for Education
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This Committee's Purpose is To Support Referendum Question's
Placed on The Ballot By School District U-46 ELGIN. They have not
Had a Question Since 2003. There has Been NO Fundraising or Political
Activity Since. Every Step will Be Taken To Avoid Any Further
Late Reports. This Report was Late Due To A Belief The Due Date
was the 18th Rather Than the 15th

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Kenneth R. Kaczynski
before me this 4th Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AM 091

Bremen Township Republican Organization

ID# 5989

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Failure to File a Schedule A-1 Report

The Committee received a \$1,000 contribution on 3/19/12 and failed to report it on a Schedule A-1, resulting in a civil penalty assessment of \$500.

Audrey Tancos, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Tancos states the Committee received the contribution from another Committee to assist helping pay for ballots. She adds that she didn't know a Schedule A-1 was required to be filed.

Any contribution received by a Committee of a \$1,000 or more requires a Schedule A-1 to be filed. I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this was the first A-1 violation for the Committee, I recommend the penalty be reduced to 10% of the original amount or \$50. As a first offense, the \$50 penalty is stayed.

(As of 3/31/13, this Committee reported a funds available balance of \$2,187.15.)



Kim Patrick -- Hearing Officer

May 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Bremen Township Republican Organization
15746 Jon Rd
Oak Forest, IL 60452-2764

ID# 5989

7011 2970 0003 6439 2734

Dear Bremen Township Republican Organization:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Citizens for Dan Patlak	3/19/2012	\$1000	4/4/2012	10	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$500 for delinquent filing schedule A-1 reports.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. Since your committee has no other civil penalty assessments and only one delinquent Schedule A-1 amount is listed above, the penalty will be **stayed** as a first violation and would only become due and owing upon any subsequent delinquent filings by the committee. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4, you forfeit the right to contest this assessment.**

If you have any questions regarding the appeal procedure, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosures: appeal packet

* This contribution was reported on the March Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

12 SEP 17 PM 2:21

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Bremen Township Republican Organization
Respondent(s).)

Case No. 12AM091

APPEAL AFFIDAVIT

I, AUDREY TANCOS, the TREASURER of the
(Name) (Chairman/Treasurer)

BREMEN TOWNSHIP REPUBLICAN ORGANIZATION
(Name of the Committee)

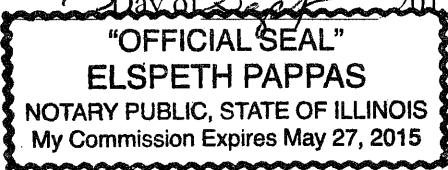
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Bremen Township Republican Organization is requesting that this violation be waived from your records. The \$1000 we received from Citizens for D. Pallak was to assist us in paying for the ballots we sent to residences in our Township. I apologize for not reporting this check but I assumed since this check was to pay for the ballots I did not have to file a report. This was an error that I regret. Please waive this violation from your records.
Thank you,
Audrey Tancos
Signature of Chairman/Treasurer

Signed and Sworn to by:

Elsbeth Pappas
before me this 13 Day of Sept 2012

Notary Public
(seal)



Cell 312-771-3857

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 021

Citizens for Peschke

ID #6270

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 22, 2013, 4 days late, resulting in a civil penalty assessment of \$200. Additionally, the Committee had previously been assessed a \$125 civil penalty (not appealed, stayed) for delinquently filing the June 2011 Quarterly report. The total assessment is \$325.

Virginia Peschke, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Peschke stated personal issues were the main reason the report was filed late. The Committee had very little activity and has subsequently filed its Final report.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$125 assessment, and the \$325 civil penalty will be due and owing. However since the Committee has filed a Final report on 1/22/13, I recommend that should the Committee remain inactive for a period of two years following the Final Order imposing the fine, the fine be abated.

(As of 1/22/13, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer

May 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 6270

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Peschke
PO Box 1566
Woodstock, IL 60098-1566

7011 2970 0003 6440 7636

Dear Citizens for Peschke:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 4 day(s) late. As such, this committee has been assessed a fine of \$200.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
April 1 through June 30 2011	Quarterly	\$125
TOTAL AMOUNT NOW DUE		\$325

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of McHenry)

STATE BOARD OF ELECTIONS

13 APR -1 AM 9:06

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
Citizens for Peschke)
)
Respondent(s).)

Case No. 13002021

APPEAL AFFIDAVIT

I, Virginia . Peschke, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Peschke
(Name of the Committee)

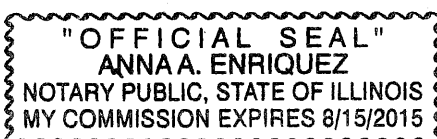
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This campaign formed for my election to McHenry County Board in 1990 and later for my campaign for State Representative in 1994. I have filed timely for twenty years, except in January 2011 after having sustained an injury requiring surgery, closely followed by my husband's massive stroke in December, 2010. The Board waived the fine for the first offense. Excepting expenses in the 1994 campaign, my reports have shown minimal expenses and most have shown all zeroes. The majority of expenses reported have been for providing my precinct voters with election information and sample ballots in my position as Dorr 14 committeeman. After my husband's death in November, 2011, I was appointed his small estate Administrator, a position which was an unfamiliar one. After his estate closed in 2012, I was required to devote time and attention to the affairs of Administrator and recording and distributing his assets to our children. I did not participate in the 2012 election and intended to make my last report in January of this year detailing my precinct expenses and closing my campaign. When time ran short, I thought I could report electronically on the due date, but was unaware that it required a program download, which I am prohibited from doing on my work computer. I filed a day late by mail and closed my campaign. In view of these circumstances, I earnestly request a waiver of late filing penalties.

Signed and Sworn to by:

before me this 27th Day of
March, 2013
Anna A. Enriquez
Notary Public

Virginia D. Peschke
(Signature of Chairman/Treasurer)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 022

Friends of Leslie Sgro

ID #6927

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 28, 2013, 8 days late, resulting in a civil penalty assessment of \$400.

Gregory Sgro, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Sgro stated the Committee obtained a new computer and inadvertently omitted reinstalling the IDIS software. As soon as the Committee received notice from the Board, the Quarterly report was immediately filed. There was no activity for the Committee during the quarter.

I recommend the appeal be denied for lack of an adequate defense. The Committee simply forgot to install and file the Quarterly report. As a first violation, the penalty is stayed.

(As of 3/31/13, this Committee reported a funds available balance of \$31,155.48.)



Kim Patrick – Hearing Officer
May 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 6927

Friends of Leslie Sgro
1119 S Sixth St
Springfield, IL 62703-2405

7011 2970 0003 6441 3637

Dear Friends of Leslie Sgro:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 28, 2013, 8 days late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of: Sangamon)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 MAR 22 AM 9:26

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.)
)
FRIENDS OF LESLIE SGRO)
Respondent(s).)

1300 022

Case No. ID No. --- 6927

APPEAL AFFIDAVIT

I, Gregory P. Sgro, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Leslie Sgro Committee

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

During the 4th Quarter of 2012 I obtained a new computer. I inadvertently omitted to reinstall the IDIS 2.0 software. Shortly after the deadline to file I received a notice from State Board Elections which made me aware of my omission. I immediately filed the Quarterly Report on behalf of the Committee. It is also notable that there were no transactions of the Committee during that quarter

The inadvertent failure to file the Quarterly Report was entirely my fault as Treasurer of the Committee and I, therefore, request that the finding of delinquency be rescinded.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

Gregory P. Sgro

before me this 19th Day of March, 2013

Notary Public
(seal)

Kathy Labounty



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 SQ 205

Citizens to Elect Cheri L. Neal

ID #12684

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the September 2012 Quarterly Report

The Report was received by the Board on February 20, 2013, 83 days late, resulting in a civil penalty assessment of \$2,075.

Cheri Neal, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Neal states she thought she filed the September Quarterly report on 10/18/12 which was 3 days after the deadline until she received a letter from the Board that indicated the Committee failed to file it altogether. She contacted the Board and spoke with the Campaign Disclosure staff regarding this and found that she had filed the incorrect report. She also worked with our IT Division to fix glitches that she had somehow created within the IDIS software and was then able to file the report correctly.

The IDIS software shows reports in the report window until they are actually filed. The September 2012 Quarterly would have been listed here until the Committee filed it. Since the Committee has previously utilized an electronic filing defense, I recommend the appeal be denied. As a first violation, the penalty is stayed.

(As of 3/31/13, this Committee reported a funds available balance of \$1,037.05.)



Kim Patrick – Hearing Officer

May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 12684

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Cheri L. Neal
1407 20th St
Zion, IL 60099-1726

7011 2970 0003 6442 9508

Dear Citizens to Elect Cheri L. Neal:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on February 20, 2013, 83 day(s) late. As such, this committee has been assessed a fine of \$2075.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: LAKE)

STATE BOARD OF ELECTIONS
13 MAR 26 PM 2:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Citizens to Elect Cheri L. Neal)
Respondent(s).)

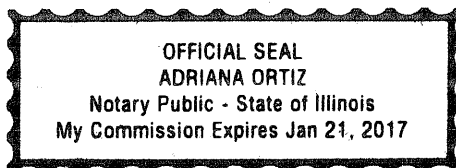
Case No. 12SQ205

APPEAL AFFIDAVIT

I, Cheri L. Neal, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens to Elect Cheri L. Neal
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached statement + description of
amended information



Cheri L. Neal
Signature of Chairman/Treasurer

Signed and Sworn to by: Adriana Ortiz
before me this 20 Day of March, 2013

Notary Public
(seal)

Appeal Affidavit

I, Cheri L. Neal, the Chairman of the Citizens to Elect Cheri L. Neal Committee, first being duly sworn, deposes and states that she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

On October 18, 2012, I sat down to file my report for 7/1/12 - 9/30/13. I had thought that the deadline was on 10/20/12, but upon opening the IDIS, found that the deadline was 10/15/12. I proceeded to file the report, so I thought.

On February 19, 2013, I received a certified letter in the mail in regards to my report not being filed for on time. I contacted Andy at the State Board of Elections in Chicago and he informed me that I had not filed my report at all. I told him that I had spoken to someone on 10/18/12 to get direction on how to change my name, as I had legally changed it on 10/3/12 as well as to make sure that I had filed the report correctly and was told it had been filed.

What Andy explained to me was that I had inadvertently filed an amended report for the prior dates, 4/1/12 - 6/30/12 on 10/18/12 instead of the correct report. In the description of amended information, I even noted "I missed the deadline, so I think I needed to file an amended return."

Working with Andy and Kalpana Krishnamurthi from tec services, on 2/19 - 20/13, we were able to figure out all the glitches that I had somehow created and filed the report correctly.

I truly do try to be in compliance with these reports and am frustrated beyond words for the errors I unknowingly made. I take full responsibility for having filed the quarterly report late, as I did so on 10/18/12, after the 10/15/12 deadline. Until 2/19/13 speaking with Andy, I had no idea that I had filed the wrong report. All the donations and expenses had been entered and I assumed everything was in order. I am not in any way computer savy (which is probably quite obvious by now) and don't have the funds to hire someone to file these reports for me. The balance in my account on 6/30/12 was \$114.79 and on 9/30/12 it was \$316.52. In the future, I will call in to make sure I filed correctly and obtain the name of the person I speak with.

I would sincerely appreciate you taking the above information into consideration and fining me only for filing on 10/18/12.

Respectfully,



Cheri L. Neal, Chairman

847-815-8620

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 044

Citizens for David E Miller

ID #14923

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 31, 2013, 11 days late, resulting in a civil penalty assessment of \$1,100. Additionally, the Committee had previously been assessed an \$800 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly report. The total assessment is \$1,100.

David Miller, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Miller states the Quarterly report was late due to computer issues and filing software. He adds the Committee was not active during the time in question.

Since the Committee has previously utilized an electronic filing defense, I recommend the appeal be denied. If this recommendation is accepted by the Board, the \$1,100 civil penalty will be due and owing.

(As of 3/31/13, this Committee reported a funds available balance of \$71,476.02.)



Kim Patrick – Hearing Officer

May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 14923

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for David E Miller
20340 Joy Dr
Lynwood, IL 60411-8506

7011 2970 0003 6440 7759

Dear Citizens for David E Miller:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2013, 11 day(s) late. As such, this committee has been assessed a fine of \$1100.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : _____)

CHICAGO

2013 APR 15 PM 2:09

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
CITIZENS FOR DANO E. MILLER)
Respondent(s).)

Case No. 13 DQ 044

APPEAL AFFIDAVIT

I, DANO E. MILLER, the Chairman of the
(Name) (Chairman/Treasurer)
CITIZENS FOR DANO E. MILLER
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

THE QUARTERLY REPORT WAS LATE DUE TO COMPUTER
ISSUE AND LACK OF SOFTWARE ACCESS OF THE
SERVER THAT HAS BEEN USED. THE COMMITTEE WAS
NOT ACTIVE (REGARDING CAMPAIGNS) DURING THE TIME
IN QUESTION.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 15th Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 AS 066

Citizens for Leon Rockingham
Respondent

ID# 17700

REPORT OF HEARING OFFICER

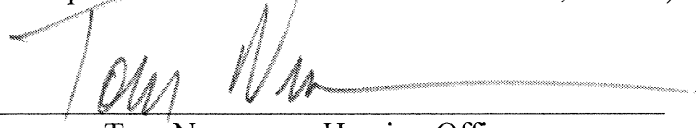
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a total of 12 contributions of \$1,000 or more: \$1,000 on 7/18/12, \$2,500 on 7/28/12, \$2,500 on 7/28/12, \$1,000 on 8/22/12, \$1,000 on 9/3/12, \$1,075 on 9/3/12, \$1,500 on 9/8/12, \$1,000 on 9/8/12, \$1,500 on 9/11/12, \$1,000 on 9/15/12, \$1,000 on 9/15/12, and \$2,500 on 9/19/12; and reported all these on a Schedule A-1 received by the Board on 10/5/12, between 51 and 7 days late, resulting in a civil penalty assessment of \$8,787.50.

Tameka M Wilson, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Wilson states that she took over the report filing duties for the Committee in December 2011 following the death of her mother, the previous Treasurer. She adds that she gave birth in July 2012, at approximately the same time the first \$1,000 contribution was received, and then faced the hospitalization of her four year old daughter in August with a rare disease. Ms. Wilson asks to be excused from the filing penalties imposed, because of the extenuating circumstances of her mother's death and caring for her sick daughter and newborn son at the times the A-1 filings were required.

While I agree that the circumstances experienced by Ms. Wilson were unusual and extraordinary, and I sympathize with the difficulties she undoubtedly faced as a result of those circumstances, the fact remains that such problems do not excuse a Committee from its filing obligations. I must therefore recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I further recommend the penalty be reduced to 10% of the original assessment, or \$879. If these recommendations are accepted by the Board, the \$879 civil penalty will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$4,775.21.)



Tom Newman – Hearing Officer
May 6, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 17700

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Leon Rockingham
1945 Natoma Ave
North Chicago, IL 60064-1934

Dear Citizens for Leon Rockingham:

7011 2970 0003 6442 9706

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Abbott Laboratories	9/15/2012	\$1000	10/5/2012	10	\$500
Admiral Valve Repair & Supply	9/15/2012	\$1000	10/5/2012	10	\$500
Belski Electric	9/19/2012	\$2500	10/5/2012	7	\$1250
Emco Chemical Distributors	7/28/2012	\$2500	10/5/2012	44	\$1250
Jelly Belly Candy Co	9/8/2012	\$1500	10/5/2012	15	\$750
Michigan Auto	9/8/2012	\$1000	10/5/2012	15	\$500
Bernard Semasko	9/3/2012	\$1075	10/5/2012	19	\$537.50
Smith & LaLuzerne Lt Attorneys at Law	7/18/2012	\$1000	10/5/2012	51	\$500
The Mulch Center	7/28/2012	\$2500	10/5/2012	44	\$1250
Unique Towing Inc	9/3/2012	\$1000	10/5/2012	19	\$500
Veolia ES Solid Waste Midwest Inc	8/22/2012	\$1000	10/5/2012	26	\$500
Weston Solutions Inc	9/11/2012	\$1500	10/5/2012	13	\$750

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$8787.50 for delinquent filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$879, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

STATE OF ILLINOIS)
) ss
COUNTY OF LAKE)

STATE BOARD OF ELECTIONS

13 APR 12 PM 2:02

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF:

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant,

vs.

CITIZENS FOR LEON ROCKINGHAM,

Respondent.

CASE NO.

12AS066

APPEAL AFFIDAVIT

I, **TAMEKA M. WILSON**, the Chairman of the **CITIZENS FOR LEON ROCKINGHAM** Committee, first being duly sworn, deposes and states that she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

While the Committee Treasurer acknowledges that ignorance is no excuse for violation of the Campaign Disclosure regulations, I would like to make the Board aware of several factors that lead to the late disclosure of contributions.

I assumed responsibility for the duties of the Treasurer of the Committee and thereby the responsibility for filing necessary disclosures after the death of my mother, Gwendolyn Rockingham, on December 19, 2011. Up until her death, my mother had been the Treasurer for the Committee and made all the necessary filings. I am the daughter of the candidate and I assumed my mother's duties as both Chairman and Treasurer following her death. I was not aware of all the responsibilities of the Campaign Disclosure Act, but I have since educated myself as to all the requirements. It was my lack of knowledge as well as certain other family situations that lead to the tardy filings.

In addition to having lost my mother and the wife of the candidate, I was pregnant at the time of my mother's death and I gave birth on July 19, 2012, see attached birth certificate, Exhibit A. Attached as Exhibit B is a copy of the death certificate of Gwendolyn Rockingham.

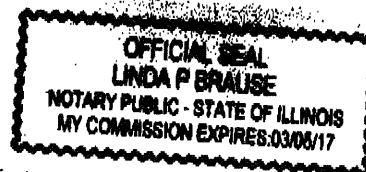
Unfortunately, my four-year daughter was diagnosed with a rare disease called Kawasaki's Disease on August 9, 2012. The treatment for this disease required an extended hospitalization, during which time my husband and I were trying to care for our newborn son and be at the hospital with our four-year old daughter. As the Board will note, all of the late filings were corrected in October 2012. The contributions that give rise to these penalties occurred in late July 2012 through September 19, 2012. This is the period of time when we were dealing with our very sick daughter and caring for our newborn son.

I am requesting to be excused from the penalties imposed under the statute in light of the extenuating circumstances surrounding the death of my mother, the birth of our son, and the severe illness of our four-year daughter. I accept responsibility for the late filings and I apologize for not having made the proper prompt filings. I am now well aware of the requirements of the Illinois Campaign Disclosure Act and there will be no future dates that are missed. I would respectfully request a waiver of the fines under these unusual circumstances.


TAMEKA M. WILSON

SUBSCRIBED and SWORN to before
me this 5 day of April, 2013


NOTARY PUBLIC



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 068

Vernon Twp Republican Central Committee

ID# 19724

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 17, 2012, 2 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$100 civil penalty (not appealed, stayed) for delinquently filing the September 2011 Quarterly report. The Assessment letter sent to the Committee erroneously included an additional civil penalty of \$450 (appealed, granted) for delinquently filing the September 2012 Quarterly report. The total assessment is \$250.

H. Shaw Clifton, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Clifton simply wrote 'missed by 2 days, out of town, no laptop'.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$150 assessment, and the \$250 civil penalty will be due and owing.

(As of 3/31/13, this Committee reported a funds available balance of \$2,542.27.)



Kim Patrick – Hearing Officer
May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

March 15, 2013

ID# 19724

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Vernon Twp Republican Central Committee
207 Annapolis Dr
Vernon Hills, IL 60061-2049

7011 2970 0003 6440 7841

Dear Vernon Twp Republican Central Committee:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 17, 2013, 2 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**


If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through September 30 2011	Quarterly	\$100
July 1 through September 30 2012	Quarterly	\$450
TOTAL AMOUNT NOW DUE		\$700

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,


Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

13 APR -1 PM 2:12

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
V T R C C)
19724 Respondent(s).)

Case No. 13DQ 068

APPEAL AFFIDAVIT

I, A.S. Clifton, the Treasurer of the
(Name) (Chairman/Treasurer)
Vernon Twp Repub Cen Com
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

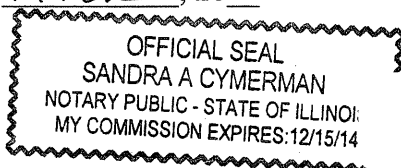
Missed by 2 days. Out of Town (No Laptop)

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
Sandra A. Cymerman

before me this 27 Day of March, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 075

Friends of Rodney S Craig for Village President

ID# 20202

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 31, 2013, 11 days late, resulting in a civil penalty assessment of \$825. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquently filing the September 2012 Quarterly report, and a \$25 civil penalty (not appealed, paid) for delinquently filing the June 2011 Quarterly report. The total assessment is \$825.

Rodney Craig, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Craig states the Committee had no change in the account and there was no activity since the previous reporting period. He adds that he wrote the wrong date on his calendar.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total civil penalty of \$825 would be due and owing.

(As of 3/31/13, this Committee reported a funds available balance of \$2,024.36.)



Kim Patrick – Hearing Officer
May 6, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Rodney S Craig for Village President ID# 20202
6835 Hawthorne Ln
Hanover Park, IL 60133-6751

7011 2970 0003 6440 7872

Dear Friends of Rodney S Craig for Village President:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 31, 2013, 11 day(s) late. As such, this committee has been assessed a fine of \$825.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS
13 APR 12 AM 11:25

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)

Vs.)
Friends of Rodney S. Craig)
For Respondent(s).)
Village President)

Case No. 13DQ075

APPEAL AFFIDAVIT

I, Rodney S. Craig, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Rodney S. Craig For Village President
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

- ① There was no change in the account and no activity since the previous reporting period.
- ② I am responsible for the filing, and I maintain a calendar and wrote it in my calendar on the wrong (later) date.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:
[Signature]
before me this 8 Day of April, 2013
Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

Vs.

12 MA 106

Committee to Elect John J Curry 21011
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquently Filing
The March and June 2012 Quarterly Reports

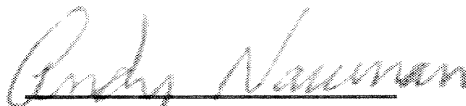
The March 2012 Quarterly Report was received by the Board on 8/24/12, 92 days late, resulting in a civil penalty assessment of \$2,300. The June 2012 Quarterly Report was received by the Board on 8/24/12, 29 days late, resulting in a civil penalty assessment of \$1,450. In addition, the Committee had previously been assessed a \$25 civil penalty (not appealed, stayed) for delinquently filing the December 2010 Semi-Annual Report. The assessment total is \$3,775.

John Curry, the chairman and the treasurer, of the committee appeared at the February 14th appeal hearing.

John Curry stated that in the last five years he has been the only person responsible for preparing and filing the reports for this committee. He said that the Republican presence in the 32nd ward is very small and he voluntarily runs the committee by himself. The committee has no paid staff, no office and the majority of contributions came from him back in 2008. He loaned the committee over \$10,000 in 2008 and the committee has basically had no activity since then. Mr. Curry keeps his own diary system and the switch from semi-annual reports to quarterly reports affected his system. Additionally, his diary entries for the first half of 2012 were either misplaced or lost. Therefore, he missed the filing period and he did not realize that the report had not been filed. He indicated that the SBE could have sent notices, but he did not recall receiving any notices. That period of time was very stressful and time consuming for he was engaged in personal, professional, and political business unrelated to his committeeman race. He was working 80 hour a week as a lawyer, he ran for the 32nd Ward Committeeman, he volunteered in the 42nd Ward Committeeman race, he ran for the 4th Congressional District Republican State Central Committeeman, and he ran in the election to be Chairman of the Chicago Republican Party. Mr. Curry did not realize until late August of 2012 that he had failed to file his campaign disclosure reports. Mr. Curry indicated that his failure to file the quarterly reports was completely due to inadvertence, and not due to an intent to avoid the filing requirements. The committee had no financial activity during 1st or 2nd quarter of 2012. Mr. Curry requests that the fines be stricken or waived. In the alternative, he requests that the fine be substantially reduced to a reasonable amount because he will most likely have to pay the civil penalty out of his own pocket. Additionally, Mr. Curry indicated that one of the only reasons that he has kept this committee open is the chance that the committee could potentially pay him back the \$9,500 plus the committee owes him.

The hearing officer understands that Mr. Curry had a lot going on when the reports were due; however, as the treasurer of the committee, Mr. Curry had a responsibility to file the reports and they were not filed in a timely manner. Although, Mr. Curry stated that he does not recall receiving any notices regarding

March 2012 and the June 2012 reports the Board mailed notices on 2/27/12 and 5/29/12 respectfully to the committee address, which, Mr. Curry verified was correct during the appeal hearing. The Board is required to annually notify each political committee of the filing dates for each quarterly report and they would have fulfilled that requirement. It is the responsibility of the treasurer of the Committee to file the required reports in a timely fashion. I recommend that the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board the stay on the previously assessed \$25 civil penalty will be lifted and the total assessment of \$3,775 will be due and owing. Additionally, I would like to point out to Mr. Curry that he could file a Final Report for this committee with outstanding debts and obligations and if he chose to later reactivate the committee nothing in the Statute would prevent him from attempting to recoup the loans he had given to the committee. However as per Section 100.110 if the political committee chooses to go out of existence it must first pay its civil penalty, or if it lacks sufficient funds to pay the civil penalty in full, pay to the State Board of Elections such sums as it has in its treasury in satisfaction of the civil penalty. The remaining amount of the civil penalty shall abate after two years if a successor committee is not formed. However, if the candidate does not pay the civil penalty amount in full, he would face ballot forfeiture until the remaining civil penalty is paid or it is abated after two years. (As of 12/31/12, this Committee reported a funds available balance of \$396.01.)


Andy Nauman – Hearing Officer
March 4, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 21011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee for John J Curry
PO Box 641914
Chicago, IL 60664-1914

7012 1010 0002 5104 3601

Dear Committee for John J Curry:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	March Quarterly Report of Campaign Contributions and Expenditures
Report Period:	January 1, 2012 through March 31 2012
Filing Period:	April 1, 2012 through April 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on August 24, 2012, 92 day(s) late. As such, this committee has been assessed a fine of \$2300.

In addition, this committee failed to file the following documents during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5-9-10 of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on August 24, 2012, 29 day(s) late. As such, this committee has been assessed a fine of \$1450.

The total for all new assessments is \$3750.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by American Express, MasterCard, or Discover.

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
July 1 through December 31 2010	Semi-Annual	\$25
TOTAL AMOUNT NOW DUE		\$3775

State of Illinois)

County of: Cook)

CHICAGO

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

2013 JAN 15 AM 11:00

~~STATE BOARD OF ELECTIONS~~

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 12MA106

Committee for John J. Curry)

Respondent(s).)

ID # 21011

APPEAL AFFIDAVIT

John J. Curry, the Chairman of the
(Name) (Chairman/Treasurer)

Committee for John J. Curry

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

set forth on the attached Rider to Appeal Affidavit,
which is hereby incorporated by reference herein.

[Signature]
Signature of Chairman/Treasurer
John J. Curry

Signed and Sworn to by:

Patricia C. Fleming

before me this 14th Day of January, 2013

Notary Public
(Seal)



RIDER TO APPEAL AFFIDAVIT IN
THE MATTER OF ISBE VS. COMMITTEE FOR JOHN J. CURRY

The failure of the Committee for John J. Curry ("Committee") to file its 2012 March Quarterly Report (D-2) and its 2012 June Quarterly Report (D-2) was completely due to inadvertence on the part of the Committee and not due to an intent to violate the law pertaining to D-2 filings.

The Committee is the political campaign committee of John J. Curry. I, John J. Curry, was first elected to the party position of 32nd Ward Republican Committeeman in 1996, and was re-elected to that position in 2000, 2008, and 2012. In 2008, when I ran for this position again, I was required to spend funds in excess of the minimum reporting amounts for campaign disclosure, and therefore created this Committee and filed a D-1 with the Illinois State Board of Elections ("ISBE"). I loaned over \$10,000 to my campaign at that time, and filed the appropriate schedules to reflect the loans as well as other campaign contributions. In the expectation that future opportunities for fund raising could occur, I kept this Committee open after my election in the February primary of 2008.

However, from 2008 through 2012, I have neither conducted a significant fundraising effort nor have been involved in a contested election (Mr. Curry was the only candidate on the ballot for Republican Ward Committeeman in 2012, when he was re-elected.)

With regard to the 32nd Ward, the jurisdiction in which I ran for Committeeman and now serve as Committeeman, the opportunities for local fundraising for a grass roots Republican candidate are slim. Despite the fact that the 32nd Ward has a population of over 50,000 residents, its Republican Primary Vote has ranged between 1,439 and 1,998. The highest Republican Primary Vote in the ward since prior to 1990 was 1,998 cast in the 2012 Republican Primary. Thus the Republican presence in the 32nd Ward is very small. Likewise, the Committee is very small, and I have been and continue to be its sole Chairman and Treasurer. The Committee is run as a volunteer enterprise by me. The Committee has no other officers and has no employees or compensated staff of any sort.

As Chairman of the Committee, and the sole officer of the Committee, I have been solely responsible for filing the required disclosure reports for the Committee with the Illinois State Board of Election ("ISBE"). The Committee is run as a volunteer organization, and has no regular financial activity of any kind. The Committee does not lease any office space or use any paid or compensated staff. Fundraising is sporadic, and direct contributions are few. Hence, the Committee is and has been run on a volunteer basis solely by me. I serve as the Chairman and the Treasurer of the Committee.

As the person responsible for filing the D-2's, I use my own diary system to mark when the D-2s are due. This system was affected by the change to quarterly reports from semi-annual reports in 2011. But more importantly, my diary entries for the first half of 2012 were either misplaced or lost by me. Accordingly, I had no personal reminder in my own records for the



filing of D-2s for March 2012 and June 2012. Since I did not have a reminder for those two reports, I forgot to do them and simply assumed, as time went on, that I had completed them.

In addition, I was heavily engaged in other personal, professional, and political business unrelated to the Committee between mid-March 2012 and mid-July 2012. During this time, I was putting in over 80 hours a week at work. (I am a lawyer with the firm of Polsinelli Shughart PC, 161 N. Clark St., Chicago, IL.) In addition, I was heavily involved in the primary election for the committeeman for the 42nd Ward (2012 Primary), the election of the 4th Congressional District Republican State Central Committeeman (from March through May 2012) for which I stood as a candidate, and in the election for Chairman of the Chicago Republican Party, for which I also stood as a candidate, in April 2012. As all of this political activity was not directly related to the Committee, my attention was drawn away from attending to duties related to the Committee, including campaign disclosure obligations. This compounded the mis-diarying of the D-2 due dates. Also, as stated, professional obligations further distracted me from these obligations, as I was involved in court proceedings throughout the summer of 2012, including a trial scheduled to begin on August 6, 2012.

It was only when I had some additional time after the political and professional commitments described above abated, in late August 2012, that I reviewed the records of the Committee and discovered that I had overlooked the D-2 deadlines and failed to file the two quarterly D-2s for March 2012 and June 2012. I then worked to compile and file the D-2s, which were finally filed together on August 24, 2012.

As shown by the foregoing, the failure to file the D-2s was due completely to inadvertence, and not due to an intent to avoid filing. In addition, because the Committee is small, has no paid staff, and relied entirely on me, its sole officer, there was no other responsible individual capable of serving as a back up in the event of my inadvertence, as had occurred here.

In addition to the issue of inadvertence in the failure to timely file, the reports show that the Committee, clearly reflecting the description set forth above, is a small and slightly funded political organization. There have been no contributions made to the Committee of any sort since 2008. In addition, there have been no expenditures since 2008. There were no itemized contributions by me during the subject reporting periods in 2012, or any other reportable transaction during those periods. Therefore, the public was not deprived of critical political and financial information related to the Committee due to its failure to timely report. Thus, in light of the slight activity of this Committee, the assessment of a fine of \$25 per day (\$3,750 total) is excessive and punitive. As shown, the Committee does not have the funds available to pay the fine assessed and has little prospect of soliciting contributions which would offset the impact of the fine. The amount of the fine is overwhelming to this Committee given its size and circumstances. Essentially, I would have to personally pay the fine.

For all the foregoing reasons, the Committee asks that the fine assessment be stricken. In the alternative, due to the reasons given, the Committee requests that the assessment be substantially reduced to an amount reasonable under the circumstances of the Committee.

I hereby incorporate this Rider into my Appeal Affidavit, to which this is attached.



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 085

Brian Gustafson for Coroner

ID# 21070

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 22, 2013, 4 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a \$1,075 civil penalty (not appealed, stayed) for delinquently filing the December 2011 Quarterly report. The total assessment is \$1,475.

Brian Gustafson, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Gustafson states he was violently ill with the flu. He adds on his first day back in the office the report was filed.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the previous \$1,075 assessment and the \$1,475 civil penalty would be due and owing.

(As of 3/31/13, this Committee reported a funds available balance of \$149.61.)



Kim Patrick -- Hearing Officer

May 6, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller
March 15, 2013
ID# 21070

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Brian Gustafson for Coroner
2215 20 1/2 Ave
Rock Island, IL 61201-4506

7011 2970 0003 6440 7933

Dear Brian Gustafson for Coroner:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 22, 2013, 4 day(s) late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.***

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
October 1 through December 31 2011	Quarterly	\$1075
TOTAL AMOUNT NOW DUE		\$1475

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of : _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 APR 10 PM 3:36**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. BRIAN GUSTAFSON FOR CORONER)
Respondent(s).)

Case No. 13DQ085

APPEAL AFFIDAVIT

I, BRIAN W. GUSTAFSON, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

BRIAN GUSTAFSON FOR CORONER
(Name of the Committee)

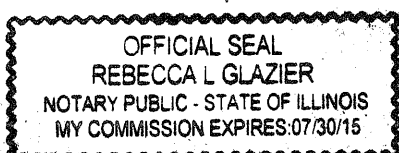
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I was violently ill with the flu. My first day back in my office I completed the report. My sincerest apologies. I was 4 days late.

Brian W. Gustafson
Signature of Chairman/Treasurer

Signed and Sworn to by: Rebecca L. Glazier
before me this 10th Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 086

Hanover Park Progress

ID# 21267

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 28, 2013, 8 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee had previously been assessed a \$50 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly report, and a \$50 civil penalty (not appealed, paid) for delinquently filing the December 2010 Semi Annual report. The total assessment is \$400.

Phillip Anderson, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Anderson states the Committee has been inactive since August, 2011 and believes the \$400 fine is too steep for missing a week for a Quarterly report. He asks that the fine be reduced to \$100 and the Committee would make certain future reports will be filed on time.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total civil penalty of \$400 would be due and owing.

(As of 3/31/13, this Committee reported a funds available balance of \$1,862.40.)



Kim Patrick – Hearing Officer
May 6, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 21267

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Hanover Park Progress
c/o Laura Roehrig
7870 Ashbury Circle
Hanover Park, IL 60133-2502

7011 2970 0003 6440 7940

Dear Hanover Park Progress:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 28, 2013, 8 day(s) late. As such, this committee has been assessed a fine of \$400.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)

County of: DuPage)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 APR -8 PM 2:20

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Case No. 13DQ 086

HANDOVER PARK PROGRESS)
Respondent(s).)

APPEAL AFFIDAVIT

I, Philip Anderson, the Treasurer of the
(Name) (Chairman/Treasurer)

HANDOVER PARK PROGRESS
(Name of the Committee)

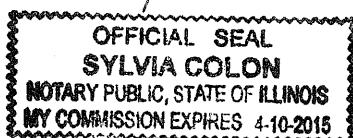
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Our account has basically been inactive since Aug 2011 and has
a balance of \$1,800.00. We ask that the \$400 fine is too steep for
missing a week for QTRLY reporting. If you could reduce the fine to \$100.00
we would make certain that we will submit future reports on time.
Thanks for your time & understanding.

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by: [Signature]
before me this 1st Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 JQ 080

Citizens for Kevin Horstman

ID #21902

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the June 2012 Quarterly Report

The Report was received by the Board on July 18, 2012, 2 days late, resulting in a civil penalty assessment of \$150. Additionally, the Committee had previously been assessed a \$200 civil penalty (not appealed, paid) for delinquently filing the March 2012 Quarterly report, and a \$75 civil penalty (not appealed, paid) for delinquently filing the September 2011 Quarterly report. The total assessment is \$150.

Jessica Horstman, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Horstman stated the Report was placed in the mailbox on July 16, 2012. Unbeknownst to the Committee, the mail was re-routed and given a next day postmark. The political and financial activity of the Committee has been terminated and a Final report was filed on 7/18/12.

In order for the Committee to have filed a timely Report, the postmark should have read July 13, 2012 or before. Section 100.125(a) states, "... pursuant to Section 9-10(b), if the envelope containing the reports bears a postmark showing that the envelope was mailed at least 72 hours prior to the due date, the reports shall be considered timely filed, regardless of when received in the office of the State Board of Elections". Therefore I must recommend the appeal be denied. If this recommendation is accepted by the Board, the \$150 civil penalty will be due and owing. However since the Committee has filed a Final report on 7/18/12, I recommend that should the Committee remain inactive for a period of two years following the Final Order imposing the fine, the fine be abated.

(As of 7/12/12, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer

May 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/ 782-4141
Fax: 217/ 782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312814-6440
Fax: 312814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
September 4, 2012

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Bety J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Kevin Horstman
6900 Woodcrest Pky
Rockford, IL 61109-5182

ID# 21902

7011 2970 0003 6439 4509

Dear Citizens for Kevin Horstman:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2012 through June 30, 2012
Filing Period:	July 1, 2012 through July 16, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 59-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on July 18, 2012, 2 day(s) late. As such, this committee has been assessed a fine of \$150.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by October 4 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

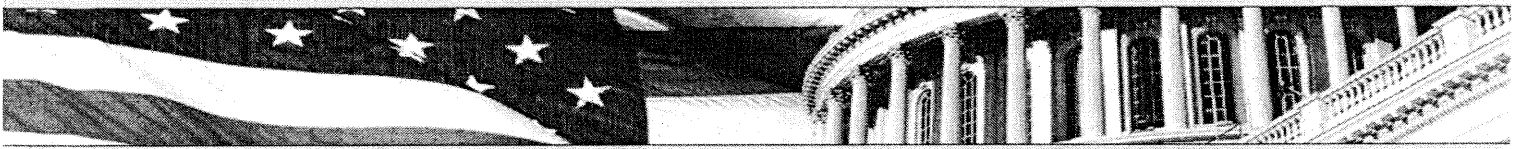
If you have any questions regarding the appeal process, please call Jennifer Ronimus at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr

Enclosure(s): appeal packet



CITIZENS FOR KEVIN HORSTMAN | 6900 Woodcrest Parkway Rockford, Illinois 61109 | Tel (815) 990-6844 Fax (866) 882-5703

September 18, 2012

Illinois State Board of Elections
2329 South MacArthur Blvd
Springfield, Illinois 62704-4503

21902

RE: Waiver of Appearance

Dear Board,

Attached herein is the committee's signed Waiver of Appearance. I respectfully request dismissal of the case.

Case situation:

- Committee placed final report in a local post office mailbox on July 16, 2012
- Mail is re-routed to Carol Stream (because Rockford no longer has a local sorting facility)
- Postmark stamp reads as *Carol Stream 7/17/2012*

Until recently, mail dropped in the mailbox would have a postmark the same day. Unbeknownst to many, mail re-routed will have a next day postmark. Local Government bodies are dealing with the same issue as the Board regarding "on-time" filings based on the postmark of the date due. This is an issue most locals are learning the hard way, including, our committee.

Rightfully so, ignorance is no defense. Be that as it may, I ask for the mercy of the Board. The political and financial activity of the committee is terminated. The final report is filed. The Bank account is closed and the website is on its last billing cycle. In short, I would like consider all business closed and live with debt already expended without the addition of a late filing fine and negative judgment against the committee. Kindly grant a stay of judgment.

Thank you for your time and consideration,

Jessica Horstman, Treasurer

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 120

Citizens to Elect Dan Koukol

ID# 22899

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
for Delinquent Filing the December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late resulting in a civil penalty assessment of \$25.

Dan Koukol, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Koukol states the report was mailed with plenty of time to get to Springfield. He says he cannot control the mail system and will deposit the report into the mail earlier from now on.

The Committee's envelope was postmarked January 14, 2013. In order for the Committee to have filed a timely Quarterly Report, the postmark should have read January 12, 2013 or before. Section 100.125(a) states, "*... pursuant to Section 9-10(b), if the envelope containing the reports bears a postmark showing that the envelope was mailed at least 72 hours prior to the due date, the reports shall be considered timely filed, regardless of when received in the office of the State Board of Elections.*" Therefore I must recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 3/31/13, this Committee reported funds available of \$17.00)



Kim Patrick – Hearing Officer

April 25, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 22899

Citizens to Elect Dan Koukol
1690 Collins Road
Oswego, IL 60543-9663

7011 2970 0003 6441 4146

Dear Citizens to Elect Dan Koukol:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : _____)

STATE BOARD OF ELECTIONS

13 MAR 22 PM 1:53

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)

Vs.)
)
Citizens to Elect Dan Koukol)
Respondent(s).)

Case No. 1300120

APPEAL AFFIDAVIT

I, Dan Koukol, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens to Elect DAN Koukol
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

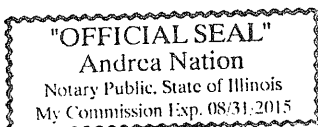
I mailed the Filing with plenty of
time to get to Springfield. I
cannot control the mail system.
I will deposit this into mail system
earlier from now on. You recieved on 16th one
DAY Late.

Dan Koukol
Signature of Chairman/Treasurer

Signed and Sworn to by:
Andrea Nation

before me this 20 Day of March, 20 13

Notary Public
(seal)



STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

12 AJ 075

v.
Citizens to Elect Bellar ID# 23011
Respondent

REPORT OF HEARING OFFICER

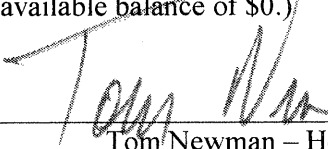
Appeal of Civil Penalty Assessment for Delinquent Filing of Schedule A-1 Reports

The Committee received a \$1,000 contribution on 5/10/12 and a \$1,000 contribution on 6/28/12, but failed to report either on a Schedule A-1, resulting in a civil penalty assessment of \$1,000. Additionally, the Committee had previously been assessed a \$250 civil penalty (not appealed, paid) for failure to file A-1s in the first quarter of 2012. The total assessment is \$1,000.

Barbara Bellar, the Chairwoman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Bellar states that the 5/10/12 contribution was accidentally shown as coming from the candidate. She says there was actually only one \$1,000 contribution received by the Committee in May – from John Hughes on May 10th. She includes a copy of the check and the bank deposit record, showing \$1,000 deposited on 5/10/12. In regards to the \$1,000 contribution on 6/28/12, Ms. Bellar claims a software glitch may have been the reason no A-1 was filed. She says the Committee's bookkeeper attempted to file the Quarterly Report and the A-1 at the same time, causing the A-1 to not be filed. Ms. Bellar adds that she was told by board staff that this has been a problem in the past and to file reports individually in the future.

In regards to the 6/28/12 contribution, it is true that two Reports cannot be electronically filed as part of the same "batch" – each report must be filed individually. It is also true that filing a Quarterly Report for a period will cause any unfiled A-1s from that period to disappear – but this is not a glitch. The A-1 is removed because there is no longer any reason to file it, since the contributions on the A-1 will then have already been reported. However, bearing these facts in mind, the Committee was still one day late in reporting the \$1,000 contribution, because the Quarterly Report was not filed until 7/9/12. In regards to the \$1,000 contribution on 5/10/12, it is clear the contribution should have been reported as coming from John Hughes, rather than Barbara Bellar. The Committee did file an A-1 for the Hughes contribution on 5/20/12, which would at first glance appear to be timely because it showed a receipt date of 5/18/12 for the contribution. However, the deposit slip provided by the Committee clearly shows the contribution was actually deposited on 5/10/12, and therefore the A-1 was filed two days late. For these reasons, I recommend the appeal for both contributions be denied. However, since there is no indication either violation was anything other than inadvertent and unintentional and since this is the second set of A-1 violations for this Committee, I further recommend the penalty be reduced to 50% of the original assessment, or \$500. If these recommendations are accepted by the Board, the \$500 civil penalty will be due and owing. However, since the Committee has filed a Final Report (on 2/25/13), I recommend that should the Committee remain inactive for a period of two years from the date of the Final Board Order imposing the fine, the fine be abated. (As of 2/25/13, this Committee reported a funds available balance of \$0.)


Tom Newman – Hearing Officer

March 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
December 18, 2012
ID# 23011

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens to Elect Bellar
8513 Johnston Rd
Burr Ridge, IL 60527-7075

7012 1010 0002 5104 4417

Dear Citizens to Elect Bellar:

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Illinois Liberty PAC	6/28/2012	\$1000	*	1	\$500
Barbara Bellar	5/10/2012	\$1000	*	35	\$500

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1000 for delinquently filing schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$500, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by January 17, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704.

If you have any questions regarding the appeal procedure, please call Jennifer Ronimus at 217-782-1543.

Sincerely,


Sharon Steward
Director, Campaign Disclosure Division

SS: jr

Enclosures: appeal packet

* This contribution was reported on the June Quarterly Report but should also have been reported on a Schedule A-1 Report of Campaign Contributions of \$1000 or more.

State of Illinois)
County of: COOK)

W
RECEIVED

JAN 17 2013

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

State Board of Elections

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

23011

Case No. 12AJ075

Citizens To Elect Bellar)
Respondent(s).)

APPEAL AFFIDAVIT

I, Barbara Bellar, the Chairwoman of the
(Name) (Chairman/Treasurer)

Citizens To Elect Bellar,

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This matter was discussed at length with Jennifer
Bohims (217-782-1543) who concurred that an
internal software system glitch occurred.
Kindly review attached explanatory evidence
Appreciate all consideration.

Barbara Bellar
Signature of Chairman/Treasurer

Signed and Sworn to by: Paula Weller
Paula Weller, Notary
before me this 17th Day of January, 2013
Notary Public
(seal)



Attached Explanation

Something did not seem right about the 5/10/12 \$1,000 Contribution you made on the letter from the State Board of Elections. So I went through the books and found that it was an entry error. There was no contribution made by you for that amount in the month of May. I also double-check your other contributions to make sure they were correct and they were. I am attaching a copy of page 2 of the May Bank Statement showing the deposits. There was only one deposit for \$1,000 in May from John Hughes on May 10th, and a detail copy of that deposit is also attached. I would submit those copies with your appeals papers. Include the following as an explanation for the appeal:

In regards to failure to report A-1s for the two contributions listed on the Letter ID 23011. The Illinois Liberty PAC contribution may have not been reported due to a software glitch. The date of the contribution was recorded at the end of the 3rd quarter, 6/28/12 and accidentally did not get reported due to my bookkeeper trying to file both the quarterly report and the A-1 in the same batch. We were told by a representative at your office that this may invariably cause the A-1 not to be filed, and we believe that this is what happened here. We were also told that this has been a problem in the past and that we should file these reports individually from here on out.

As for the contribution shown on the letter that I made on May 10, we have review our IDIS reporting in comparison to the May Bank Statement and found that there was an entry error. There was only one deposit made in the month of May, on the 10th from John Hughes for \$1,000.00. To support these finding please find attached a copy of the deposit detail, along with a copy of the May Bank Statement's deposit page.

Call me if you have any questions.

Vivienne Porter,



Thank You!

Above discussed
with Jennifer Ronimous
@ 217-782-1543



May 01, 2012 through May 31, 2012

Account Number: _____

Lower Fees for Counter Checks

Beginning July 22, 2012, we will charge \$2 per sheet (3 checks to a page) of Counter Checks, instead of \$2 per check. Also, we may waive this Counter Check fee for certain types of accounts.

These changes will be updated in the Additional Banking Services and Fees for Chase business checking and savings accounts. All other terms of your account agreement remain the same. If you have any questions, please call us at the telephone number listed on this statement or visit your nearest Chase branch.

CHECKING SUMMARY

Chase BusinessSelect Checking

	INSTANCES	AMOUNT
Beginning Balance		\$681.41
Deposits and Additions	2	1,291.00
Checks Paid	5	- 1,674.70
ATM & Debit Card Withdrawals	6	- 361.43
Fees and Other Withdrawals	2	- 49.00
Ending Balance	15	-\$112.72

DEPOSITS AND ADDITIONS

DATE	DESCRIPTION	AMOUNT
05/10	Deposit 1037750235	\$1,000.00
05/23	Paypal Transfer PPD ID: Paypalsd11	291.00
Total Deposits and Additions		\$1,291.00

CHECKS PAID

CHECK NO.	DESCRIPTION	DATE PAID	AMOUNT
7771 ^		05/15	\$1,000.00
7772 ^		05/25	238.84
7773 ^		05/29	357.86
7774 ^		05/15	60.00
999996 * ^		05/08	18.00
Total Checks Paid			\$1,674.70

If you see a description in the Checks Paid section, it means that we received only electronic information about the check, not the original or an image of the check. As a result, we're not able to return the check to you or show you an image.

* All of your recent checks may not be on this statement, either because they haven't cleared yet or they were listed on one of your previous statements.

^ An image of this check may be available for you to view on Chase.com.

Monday, January 07, 2013

Post Date	Amount	Account number	Routing number
05/10/2012	\$1,000.00	6890784	07102566

Check Images (Front and Back)


JOHN E. HUGHES
JEANNE T. HUGHES
P.O. BOX 998
TAOS, NM 87571

2-2566/710

7601

DATE MAY 1, 2012

Citizens to Elect Barbara Beller \$ 1000.-
PAY TO THE ORDER OF
Ann Thompson 00/100 DOLLARS

 **HARRIS**
PRIVATE BANK

Harris N.A.

MEMO

John E Hughes

1:0710256611

100-368270-260 657706082827

This information is provided for your convenience and does not replace your monthly account statement(s), which are the official records of your accounts and does not replace any other notice we send you.

© 2013 JPMorgan Chase & Co.

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 123

Friends of Lisa Ciampoli

ID# 23080

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
for Delinquently Filing the December 2012 Quarterly Report

The Report was received by the Board on January 16, 2013, 1 day late resulting in a civil penalty assessment of \$25.

Ronald Jedda, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jedda states the report was mailed on January 11, 2013 at 5:30pm. He says the postmark on the envelope was January 14, 2013.

In order for the Committee to have filed a timely Quarterly Report, the postmark should have read January 12, 2013 or before. Section 100.125(a) states, "*... pursuant to Section 9-10(b), if the envelope containing the reports bears a postmark showing that the envelope was mailed at least 72 hours prior to the due date, the reports shall be considered timely filed, regardless of when received in the office of the State Board of Elections.* Therefore I must recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 3/31/13, this Committee reported funds available of \$151.81)



Kim Patrick – Hearing Officer
April 24, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 23080

Friends of Lisa Ciampoli
136 Kingsbury Ct
Collinsville, IL 62234-4601

7011 2970 0003 6441 4160

Dear Friends of Lisa Ciampoli:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 16, 2013, 1 days late. As such, this committee has been assessed a fine of \$25.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
)
County of : Madison)

STATE BOARD OF ELECTIONS

13 APR 10 AM 9:36

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
Vs.) Case No. 13 DQ 123
)
Friends of Lisa Ciampoli)
Respondent(s).)

APPEAL AFFIDAVIT

I, Ronald L. Tedda, the Treasurer of the
(Name) (Chairman/Treasurer)

Friends of Lisa Ciampoli

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Report was dropped in mail box on Jan 11, 2013 around
5:30PM. Last collection was 5:45P.M. Postmark was 1-14-2013
Election Board received on 1-16-2013 at 11:00:21 A.M. I
request civil penalty assessment be removed due no
fault of filer

Ronald L. Tedda, Treasurer
Signature of Chairman/Treasurer

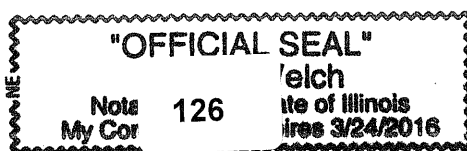
Signed and Sworn to by:

RONALD TEDDA

before me this 8TH Day of APRIL, 2013

Notary Public
(seal)

Paula Walsh



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 AS 070

Citizens for Lori S Yokoyama

ID#23123

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received a \$2,500 contribution on 8/20/12, three \$1,000 contributions on 9/27/12, a \$1,000 on 9/21/12 and a \$1,000 contribution on 8/31/12 but failed to report any of these on a Schedule A-1 resulting in a civil penalty of \$3,750.

Craig Yokoyama, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Yokoyama states that the Committee did not realize that a separate A-1 report needed to be filed. He adds that the delay in reporting was inadvertent and not intentional.

I recommend the appeal be denied for lack of an adequate defense. However since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I also recommend the penalty be reduced to 10% of the original assessment, or \$375. If these recommendations are accepted by the Board, the \$375 civil penalty will be due and owing. However since the Committee has filed a Final report on 3/15/13, I recommend that should the Committee remain inactive for a period of two years following the Final Order imposing the fine, the fine be abated. (As of 3/15/13, this Committee reported a funds available balance of \$0.)



Kim Patrick – Hearing Officer
April 24, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 23123

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Citizens for Lori S Yokoyama
123 N Wacker Suite 1800
Chicago, IL 60606

Dear Citizens for Lori S Yokoyama:

7011 2970 0003 6442 9720

This committee has failed to timely file the following Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
W Christopher Behnke	8/20/2012	\$2500	*		\$1250
Gregory Goldstein	9/27/2012	\$1000	*		\$500
Hydrox Laboratories	9/27/2012	\$1000	*		\$500
David Igasaki	9/21/2012	\$1000	*		\$500
Rebecca Morrissey	9/27/2012	\$1000	*		\$500
United Bindery Service Inc	8/31/2012	\$1000	*		\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$3750 for delinquently filing Schedule A-1 reports. This total **does not** reflect any previously assessed fines.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$375, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 APR 13 AM 11:17

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Lori S. Yokoyama)
Respondent(s).)

Case No. 12AS070
Id # 23123

APPEAL AFFIDAVIT

I, Craig Yokoyama, the Treasurer of the
(Name) (Chairman/Treasurer)

Citizen's for Lori S. Yokoyama
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are: The Committee's delay in reporting the contributions was inadvertent and not wilful or wanton, knowingly or intentional. As Treasurer, I did not realize that a separate report (A-1) needed to be filed and I placed the contributions on the next quarterly report. The Committee has never been found to have committed violations of Sections 9-3 or 9-10 of the Election Code and/or subject to any civil penalty.

Therefore, I respectfully request that the Board waive the violation and all fines.

Craig Yokoyama
Signature of Chairman/Treasurer

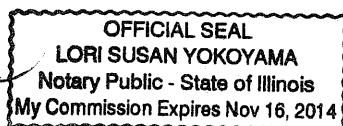
Signed and Sworn to by:

Lori S. Yokoyama

before me this 15th Day of April, 2013

Notary Public
(seal)

Lori S. Yokoyama



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 SQ 212

Local 943 PAC

ID# 23621

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquent Filing the September 2012 Quarterly Report

The Report was received by the Board on January 7, 2012, 54 days late, resulting in a civil penalty assessment of \$2,700. The Assessment letter dated March 15, 2013 incorrectly stated a \$1,350 civil penalty.

Susan Mirabella, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Mirabella states she intended to file the Quarterly report along with an A-1 report and Schedule B. She inadvertently only filed the A-1 report. She didn't notice until it was time for the Committee to file the December 2012 Quarterly report that the previous report wasn't filed.

I recommend the appeal be denied for lack of an adequate defense. As a first offense, the \$2,700 penalty is stayed.

(As of 3/31/13, this Committee reported a funds available balance of \$37,646.75.)



Kim Patrick – Hearing Officer
April 24, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 23621

Local 943 PAC
15521 S 70th Ct
Orland Park, IL 60462-5105

7011 2970 0003 6442 9485

Dear Local 943 PAC:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 2012
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 7, 2013, 54 days late. As such, this committee has been assessed a fine of \$1350.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order, may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Cook)

STATE BOARD OF ELECTIONS

13 APR -1 AM 9:06

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Local 943 PAC)
Respondent(s).)

Case No. 12SQ212

APPEAL AFFIDAVIT

I, Susan Mirabella, the Treasurer of the
(Name) (Chairman/Treasurer)
Local 943 PAC
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See enclosed.

Susan Mirabella
Signature of Chairman/Treasurer

Signed and Sworn to by:
Linda M. Kamradt
before me this 25th Day of March, 2013
Notary Public Linda M. Kamradt
(seal)

Local 943 PAC

ID# 23621

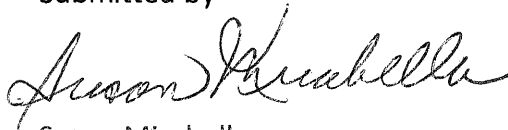
Defense of Penalty

On October 5, 2012, I completed the required reports: D-2, Schedule A-1 and Schedule B. However although I intended to send all three reports, I inadvertently only sent A-1. I printed the receipt on 10/5/2012 as I always had done but failed to notice that the receipt stated that it was the A-1 and not the other two reports. It wasn't until I tried to complete the quarterly report in January that I realized that the 2 reports had not been sent. I immediately called the Board of Elections and then filed the complete third quarter and then the report due in January 2013. My intent had been to file the report on time in October as the receipt on 10/5/2012 shows. I have had difficulty with using the IDIS program. It always takes me longer than I expect and has never gone smoothly.

Additionally, my mother was very ill at the time and passed on 10/10/12. I fear that my attention to detail was not the best as I filed this report. At that time, I was the only one on the committee who had been completing the form. We are currently in the process of having two people on the committee knowledgeable in completing the reports.

Although I know that the penalty will be waived this first time, I am requesting that this lapse in filing be removed from the committee's record. There was no intent not to file and until the January filing, there was no awareness of the failure to send D-2 and Schedule B. Thank you for your consideration.

Submitted by

A handwritten signature in cursive script, appearing to read "Susan Mirabella".

Susan Mirabella

Local 943 PAC Treasurer

Cell: (708) 476-8707

Enclosure: A-1 Report receipt from 10/5/2012

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 154

Committee to Elect Cathy Cawiezel
Respondent

ID# 24131

REPORT OF HEARING OFFICER

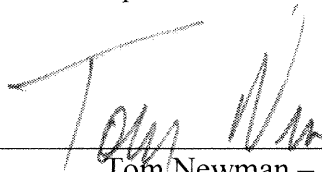
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 23, 2013, 5 days late, resulting in a civil penalty assessment of \$125. Additionally, the Committee had previously been assessed a \$2,900 civil penalty (appealed, denied, stayed) for delinquent filing of the D-1 Statement of Organization. The total assessment is \$3,025.

Cathy Cawiezel, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Cawiezel states that the late filing was an honest mistake. She says the Report was ready to be filed during the holidays, but she could not file it because it was too early. She says when she thought of it again, the filing was already 5 days late. Ms. Cawiezel adds that she considers the fines assessed against her Committee to be draconian, and represent almost all of the campaign funds she worked hard to raise.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the total assessment of \$3,025 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$1,547.85.)



Tom Newman – Hearing Officer
May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 24131

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Committee to Elect Cathy Cawiezel
1011 Division St
Lisle, IL 60532-2255

Dear Committee to Elect Cathy Cawiezel:

7011 2970 0003 6440 8206

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contribution and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 23, 2013, 5 day(s) late. As such, this committee has been assessed a fine of \$125.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
	Statement of Organization	\$2900
TOTAL AMOUNT NOW DUE		\$3025

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 APR 17 AM 11:17

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Committee to Elect Cathy Cawiezel)
Respondent(s).)

Case No. 13 DQ 154

APPEAL AFFIDAVIT

I, Cathy Cawiezel, the Chairman/Treasurer of the
(Name) (Chairman/Treasurer)
Committee to Elect Cathy Cawiezel
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

please see attached letter

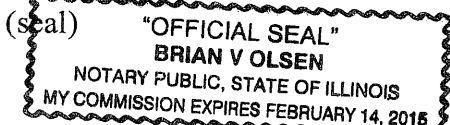
Cathy Cawiezel
Signature of Chairman/Treasurer

Signed and Sworn to by:

Brian V. Olsen

before me this 15th Day of April, 2013

Notary Public



Cathy Cawiezel
1011 Division St.
Lisle, IL 60532

April 15, 2013

State Board of Elections
Attn: Campaign Disclosure
2329 S. MacArthur Blvd
Springfield, IL 62704-4503

Dear State Board of Elections:

I beg you to be merciful.

I filed the December 2012 D-2 Quarterly Report of Campaign Contribution and Expenditures 5 days late. I also filed the D-1 report late January 2012.

As I explained in my June 2012 appeal, the late filing of the D-1 report was an honest mistake, the result of my misunderstanding the deadline. I had never participated in politics before, and there certainly is a learning curve for all of the activities associated with it.

The late filing of the D-2 report was a mistake, too. The quarterly filing window is narrow, as you know. I had the filing prepared (no activity) during the holidays, but I could not file it because it was too early. When I thought of it again, it was 5 days late.

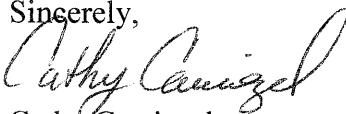
I am an individual trying the best I can to serve my community. I do not have a staff. I do not get paid in my elected position; I only receive a \$1,200 per year stipend that helps defray the associated costs such as internet fees, travel to functions, etc.

The assessed fees of \$3,025 amount to 92% of the campaign funds I worked extremely hard to raise. I honestly consider this to be draconian. I own that I made these mistakes, and I am very penitent. But I earnestly believe that the severity of this punishment is obscenely disproportionate to the infractions.

Also, given that I lost my job (through no fault of my own) and am not getting a paycheck, this would be an especially brutal punishment.

Thank you very much for your consideration.

Sincerely,


Cathy Cawiezel

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 176

Collinsville Twp Republicans ID# 24482
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 22, 2013, 4 days late, resulting in a civil penalty assessment of \$100.

Ronald Jedda, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Jedda states that the Committee Treasurer was extremely ill prior to the filing deadline, but faxed in the Report as soon as he recovered.

I recommend the appeal be denied for lack of an adequate defense. As a first violation, the penalty is stayed. (As of 3/31/13, this Committee reported a funds available balance of \$345.98.)

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman – Hearing Officer
May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 24482

Collinsville Township Republicans
PO Box 652
Collinsville, IL 62234-0652

7011 2970 0003 6441 4504

Dear Collinsville Township Republicans:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 22, 2013, 4 days late. As such, this committee has been assessed a fine of \$100.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: Madison)

STATE BOARD OF ELECTIONS

13 APR 12 AM 11:25

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.)

Collinsville Township Republicans)
Respondent(s).)

Case No. 13DQ176
175Q135

APPEAL AFFIDAVIT

I, Ronald Tedda, the Chairman of the
(Name) (Chairman/Treasurer)

Collinsville Township Republicans
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Treasurer Joe Ramatowski was extremely ill prior to the filing
deadline. As soon as he recovered, Mr. Ramatowski immediately
faxed required documentation to the election board. We
ask the Election Board dismiss this fine due to the
extraordinary circumstances.

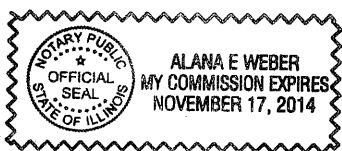
Ronald Tedda, Chairman
Signature of Chairman/Treasurer

Signed and Sworn to by:

Alana E. Weber

before me this 10 Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

12 SQ 213

Friends for Warren L Dixon

ID# 24528

Respondent

REPORT OF HEARING OFFICER

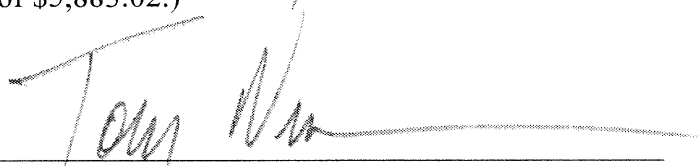
Appeal of Civil Penalty Assessment for Delinquent Filing of the
September 2012 Quarterly Report

The Report was received by the Board on January 15, 2013, 60 days late, resulting in a civil penalty assessment of \$3,000. Additionally, the Committee had previously been assessed a \$1,350 civil penalty (not appealed, stayed) for delinquent filing of the D-1 Statement of Organization. The total assessment is \$4,350.

Warren L Dixon III, the Chairman of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Dixon states that this was his first time establishing a political committee. He says his wife served as his Treasurer, but may have been overwhelmed with the filings, downloading and using the electronic filing software, and being a new mother. Mr. Dixon says he has taken over the reporting duties and promises to file all future reports in a timely manner.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay would be lifted from the prior penalty, and the total assessment of \$4,350 will be due and owing. (As of 3/31/13, this Committee reported a funds available balance of \$5,883.02.)



Tom Newman – Hearing Officer
May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 24528

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends for Warren L Dixon
1239 Chalet Rd, # 100
Naperville, IL 60563-8904

7011 2970 0003 6442 9577

Dear Friends for Warren L Dixon:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	September Quarterly Report of Campaign Contribution and Expenditures
Report Period:	July 1, 2012 through September 30, 201
Filing Period:	October 1, 2012 through October 15, 2012

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on January 15, 2013, 60 day(s) late. As such, this committee has been assessed a fine of \$3000.

Enclosed, please find the Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15 you forfeit the right to contest this assessment.**

If the above listed violation is not appealed, and since this is a subsequent violation, the previously assessed fine(s) for delinquent filing is now also required to be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
	Statement of Organization	\$1350
TOTAL AMOUNT NOW DUE		\$4350

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur, Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express.

If you have any questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward, Director,
Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

13 APR -4 PM 3:00

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends for Warren L. Dixon)
Respondent(s).)

Case No. 12SQ213

APPEAL AFFIDAVIT

I, Warren L. Dixon III, the Chairman of the
(Name) (Chairman/Treasurer)
Friends for Warren L. Dixon
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

It is our first time in establishing a committee to run for public office. We established the committee with my wife as Treasurer and myself as chairman. My wife was kind enough to try and provide for all accounting and State Board of Election filings for my committee on top of being a new mom to our now 7 month old son (see attached)

[Signature]

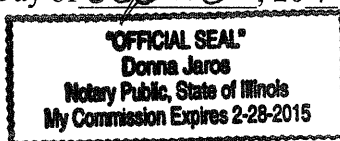
Signature of Chairman/Treasurer

Signed and Sworn to by:

Donna Jaros

before me this 2 Day of April, 20 13

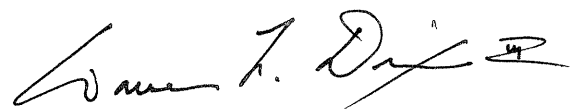
Notary Public
(seal)



I feel she became overwhelmed with the filings and downloading of the computer program IDIS. She had felt she was properly uploading filings but really wasn't. I know this isn't a great excuse but we have since contacted the Board of Elections, properly updated the program and filed all reports. I have taken over all future filings and it is our promise to have all future filings filed in a timely manner. We are very sorry for the non-compliance and please know it was not intentional. We value all our contributions to our campaign and the support by many. If the board is kind enough to accept our appeal it is our solemn pledge we will be on time for all other filings. We truly do not have any funds to spare in our small local township election.

Thank you for your consideration

Respectfully submitted,



Warner L. Dixon III

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

13 DQ 218

Citizens for Somonauk Schools

ID# 24768

Respondent

REPORT OF HEARING OFFICER

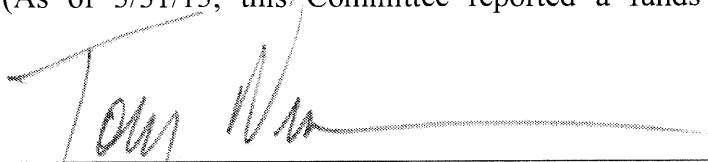
Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report

The Report was received by the Board on January 17, 2013, 2 days late, resulting in a civil penalty assessment of \$50.

Erin Roach, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on an Appeal Affidavit the following:

On the Affidavit, Ms. Roach states that she believes the filing should be considered timely because she says she called the Board of Elections on January 11 and was told by staff that the filing would be timely if it was postmarked on or before January 15.

The Report submitted by the Committee was postmarked on January 15. However, Section 100.125 of the Board's Rules and Regulations clearly states that if a paper report is received late, it must be postmarked at least 72 hours prior to the filing deadline to be considered a timely filing. While I can accept that Ms. Roach believed her filing would be timely if it was mailed on the 15th, I cannot accept that she was actually told this by Board staff, who are all well aware of the 72 hour requirement. I recommend the appeal be denied. As a first violation, the penalty is stayed. (As of 3/31/13, this Committee reported a funds available balance of \$1,797.37.)



Tom Newman – Hearing Officer
May 2, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR

Rupert T. Borgsmiller

March 15, 2013

ID# 24768

Citizens for Somonauk Schools
PO Box 612
Somonauk, IL 60552-0612

7011 2970 0003 6441 4894

Dear Citizens for Somonauk Schools:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 17, 2013, 2 days late. As such, this committee has been assessed a fine of \$50.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: DeKalb)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **13 APR 12 AM 8:52**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Citizens for Samonauk Schools)
Respondent(s).)

Case No. 13002218

APPEAL AFFIDAVIT

I, Erin Roach, the Treasurer of the
(Name) (Chairman/Treasurer)
Citizens for Samonauk Schools
(Name of the Committee)

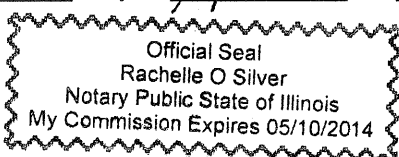
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

I do not believe that the quarterly filing should be
considered untimely filed because I relied on the advice
of John Levin, who told me when I called the Board of
Elections on January 11, 2013, that the filing would be timely
if it were post marked on or before January 15, 2013, which it was

Erin M. O'Neill-Roach
Signature of Chairman/Treasurer

Signed and Sworn to by: Rachelle O. Silver
before me this 10th Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 222

Pride in River Forest
Cmte ID: 24790
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received January 30, 2013, 10 days late, resulting in an assessment of \$250. This is the Committee's first violation.

John O'Connor, the Treasurer and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. O'Connor stated he was unaware the Committee, which formed on December 27, 2012, was required to file a Quarterly Report for the period ending December 31, 2012. He noted there was no activity during that time and apologized for his oversight.

I appreciate Mr. O'Connor's candor but must recommend the appeal be denied for lack of an adequate defense. Records confirm the Committee was sent notice on January 4, 2013, of the obligation to file the Report in question. If the Board accepts this recommendation, the assessment would be stayed as the product of a first offense. As of March 31, 2013, the Committee reported a balance of \$9,767.34.



John Levin – Hearing Officer
April 23, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 24790

Pride in River Forest
806 Monroe Ave
River Forest, IL 60305-1422

7011 2970 0003 6441 4931

Dear Pride in River Forest:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 30, 2013, 10 days late. As such, this committee has been assessed a fine of \$250.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be ***stayed***. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

13 APR -8 PM 2:20

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs. JOHN O'CONNOR)
'PRIDE IN RIVER FOREST')
Respondent(s).)

Case No. 130Q222

APPEAL AFFIDAVIT

I, JOHN R O'CONNOR, the Chairman & Treasurer of the
(Name) (Chairman/Treasurer)

PRIDE IN RIVER FOREST

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

FIRST, I AM A ROOKIE AT THIS. I APOLOGIZE,
MY COMMITTEE HAD NO ACTIVITY FROM 12-27-12 TO 12-31-12
AND I HAD NO IDEA THAT I NEEDED TO FILE FOR THE 4 DAY
PERIOD. I SUBSEQUENTLY FOUND MY D-2 AS SOON AS I
WAS NOTIFIED. A COPY IS ATTACHED. I REQUEST
THAT YOUR OFFICE WAIVE ANY FINES OR PENALTIES.

THANK YOU! Sincerely,

John R O'Connor
Signature of Chairman/Treasurer

Signed and Sworn to by:

before me this 2nd Day of April, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 DQ 225

Rick Lehew
Cmte ID: 24794
Respondent

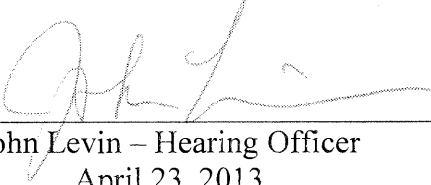
REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Delinquently Filing the December 2012 Quarterly Report

The Report was received February 1, 2013, 12 days late, resulting in an assessment of \$300. This is the Committee's first violation.

Rick Lehew, the Candidate and Treasurer and Chairman of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Lehew stated he was unaware Reports must be filed on a quarterly basis. He said he went on vacation with his family in mid-January and did not receive notice of the pending quarterly Report until late January. He also noted the position for which he was running – School Board member in District 322 – is a volunteer position in asking for leniency.

I recommend the appeal be denied for lack of an adequate defense. Records confirm Mr. Lehew's Committee was sent notice on January 4, 2013 -- one week after the Committee's formation -- of the obligation to file the Report in question. If the Board accepts this recommendation, the assessment would be stayed as the product of a first offense. Additionally, the Committee filed a Final Report on April 4, 2013, with a final balance of \$0.00. Accordingly, under Section 125.425 (j) of the Rules accompanying the Campaign Finance Act, the fine will not be part of the Committee's record if it does not reactivate within two years of the Board's final order.



John Levin – Hearing Officer
April 23, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
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Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 24794

Rick Lehw
11806 Dubois Rd
Brimfield, IL 61517-9543

7011 2970 0003 6441 4962

Dear Rick Lehw:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 2, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on February 1, 2013, 12 days late. As such, this committee has been assessed a fine of \$300.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by April 15, you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be **stayed**. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Jennifer Ronimous at 217-782-1543.

Sincerely,

Sharon Steward
Director, Campaign Disclosure Division

SS: jr
Enclosure(s): appeal packet

State of Illinois)
County of: PEORIA)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

13 MAR 26 AM 11:41

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
LEHEW COMMITTEE)
Respondent(s).)

Case No. 1300225
10# 24794

APPEAL AFFIDAVIT

I, RICK LEHEW, the CHAIRMAN of the
(Name) (Chairman/Treasurer)

LEHEW COMMITTEE

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

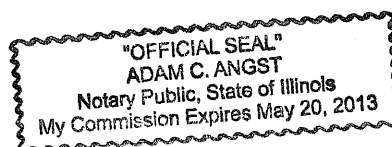
I SUBMITTED THE PAPER WORK AT THE END OF THE YEAR TO
GET A EARLY START. I HAD NO IDEA PAPER WORK WAS DUE
EVERY QUARTER. MY FAMILY WENT ON VACATION IN MID-
JANUARY AND I NEVER RECEIVED THE NOTICE TO FILE UNTIL
LATE JAN. THIS IS A VOLUNTEER POSITION ON THE
SCHOOL BOARD. PLEASE WAIVE 1ST FINE.

Rick LeHeu
Signature of Chairman/Treasurer

Signed and Sworn to by:

Adam C. Angst
before me this 21 Day of MARCH, 2013

Notary Public
(seal)



**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

13 MA 036

Citizens for Grayeb ID# 24753
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
December 2012 Quarterly Report and a Schedule A-1 Report

The Quarterly Report was received by the Board on January 17, 2013, 2 days late, resulting in a civil penalty assessment of \$100. Additionally, the Committee received a \$1,000 contribution on 12/14/12 and reported it on a Schedule A-1 received by the Board on 1/14/13, 14 days late, resulting in a civil penalty assessment of \$500. The total assessment is \$600.

Marvin Forssander-Baird, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Forssander-Baird states that the Quarterly Report was filed late as a result of a misunderstanding of campaign reporting procedure, adding that the Committee thought the Report had to be postmarked by January 15, 2013. In regards to the A-1 contribution, he says the Committee attempted to file the Report electronically but had repeated problems with the electronic filing software. Mr. Forssander-Baird says he thought the A-1 had been filed successfully within the required time frame, but once he found out it had not been filed he mailed in the report.

In regards to the Quarterly Report, in order to be considered a timely filing the paper report would have to have been postmarked at least 72 hours before the filing deadline. The Committee's filing showed a postmark of 1/15/13 and was therefore late. I recommend the appeal be denied. In regards to the A-1, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. If these recommendations are accepted by the Board, the \$100 civil penalty for the late Quarterly Report filing would be stayed as a first violation. (As of 3/31/13, this Committee reported a funds available balance of \$2,447.58.)



Tom Newman – Hearing Officer
May 3, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
March 15, 2013
ID# 24753

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Corrected copy

Citizens for Grayeb
Robert J Brandes
2135 N Central
Peoria, IL 61603

Dear Citizens for Grayeb:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	December Quarterly Report of Campaign Contributions and Expenditures *
Report Period:	October 1, 2012 through December 31, 2012
Filing Period:	January 1, 2013 through January 15, 2013

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on January 17, 2013, 2 day(s) late. As such, this committee has been assessed a fine of \$100.

In addition, this committee failed to timely file the Schedule A-1's, Report of Campaign contributions of \$1000 or more as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date A-1 Received</u>	<u>Days Late</u>	<u>Fine Assessed</u>
West Central IL Building & Construction Trades Council	12/14/2012	\$1000	1/14/2013	14	\$500

The committee is fined a **total** of \$500 for delinquent filing Schedule A-1 reports as required by the Illinois Campaign Disclosure Act. This total **does not** reflect any previously assessed fines.

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(c) of the Election Code, the Board may impose fines for violations not to exceed 50% of the total amount of the contribution(s) that were untimely reported.

Since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$50, (10% of the total fine amount reflected above) regardless of whether you choose to file an appeal under the Board's present policy. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

The total for all assessments in this letter is \$150.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed April 15. If you fail to file a Notice of Appeal within this 30 day period, you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd, Springfield IL 62704.

State of Illinois)
County of: Peoria)

STATE BOARD OF ELECTIONS

13 MAR 26 PM 2:26

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 13MA0316

Citizens for Grayel
Respondent(s).

APPEAL AFFIDAVIT

I, x Marvin Forssander-Baird (Name) the Treasurer (Chairman/Treasurer) of the

Citizens for Grayel
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

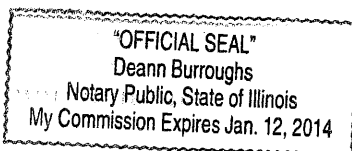
See attachment

[Signature]
Signature of Chairman/Treasurer

Signed and Sworn to by:

[Signature]
before me this 25th Day of March, 2013

Notary Public
(seal)



AFFADAVIT

Citizens for Grayeb appeals the fines for two late filings for the following:

- \$1000.00 contribution from 12/14/2012 from West Central Building & Construction Trades Council was filed on 1/14/2013. The filing was attempted electronically within the prescribed timeframe, however, the IDIS software failed repeatedly to download and function correctly. The filing was initially understood to have been successful. After realizing that the filing had not been successful, the committee then filed through the mail at a later date after deciding to abandon efforts to install the IDIS software.
- The letter received from the State Board of Elections, dated March 15, 2013 indicates the quarterly report for the reporting period July 1, 2012 through September 30, 2012 was filed late, having been received on January 17, 2013.

The information in the above named letter is inaccurate. Citizens for Grayeb was organized in December of 2012. The report for the last quarter of 2013 was indeed late by two days due to a misunderstanding of campaign reporting procedure. Citizens for Grayeb understood the report required postmarking by January 15, 2013.

I attest the accuracy of this affadavit.

A handwritten signature in cursive script, appearing to read "Marvin Forssander-Baird".

Marvin Forssander-Baird
Treasurer, Citizens for Grayeb

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

12 JQ-CL 001

Friends of Bill Haine ID# 5777
Respondent

REPORT OF HEARING OFFICER

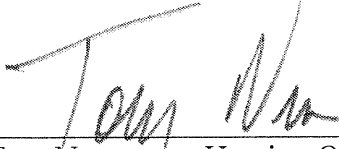
Appeal of Civil Penalty Assessment for Violation of Contribution Limits

The Committee received a \$2,000 contribution from Simmons, Browder, Gianaris, Anglesides & Barnerd LLC on 6/8/12, and a subsequent \$10,000 contribution from the LLC on 7/27/12. The total contribution was therefore \$12,000, exceeding the \$10,000 contribution limit from a corporation, labor association or association and resulting in a required escheatment of \$2,000 and a civil penalty assessment of \$3,000.

Jan G Kreutzberg, the Treasurer of the Committee, filed a Waiver of Appearance, and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Kreutzberg states that the Committee disposed of the \$2,000 in excess contributions by making charitable contributions on 9/30/12 to Operation Blessing and Guardian Angels Pantry. She says both expenditures were included on the Committee's Quarterly Report, and she includes copies of the cancelled checks as evidence.

The Disclosure Act allows Committees that receive contributions in excess of the contribution limits to either return the overage to the contributor or donate a like amount to charity. Based on the evidence provided, the Committee did properly dispose of the excess contributions and therefore did not violate the contribution limits. I recommend the appeal be granted. (As of 3/31/12, this Committee reported a funds available balance of \$56,507.54.)



Tom Newman – Hearing Officer
March 1, 2013

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
PO Box 4187
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller
February 15, 2013

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

Friends of Bill Haine
Jan Kreutzberg
PO Box 67
Alton, IL 62002

ID# 5777

7011 2970 0003 6440 6585

RE: Violation of Contribution Limits

Dear Committee;

This committee has accepted the following contributions in violation of the contribution limits provided in 10 ILCS 5/9-8.5 during the 3/21/12 - 12/31/12 election cycle as defined in Section 5/9-1.9(1):

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Max Contribution Allowed</u>	<u>Amount in Violation of Limits</u>
Simmons, Browder, Gianaris, Angelsides & Barnerd LLC	6/8/2012	\$2000		
Simmons, Browder, Gianaris, Angelsides & Barnerd LLC	7/27/12	\$10000	\$10000	\$2000
	TOTAL	\$12000	\$10000	\$2000

The committee did not return the portion of the contribution exceeding \$10000.00 to the contributor or donate the same amount to charity within 30 days from the date the Board sent notification of the excess contribution to your committee as required by 10 ILCS 5/9-8.5(j) of the Election Code. This notification was mailed to your committee on September, 21, 2012. Thus, an equal amount must escheat to the General Revenue Fund via the State Board of Elections, 2329 S MacArthur Blvd., Springfield IL 62704.

Additionally, based upon this committee's failure to comply with the provision of 10 ILCS 5/9-8.5 of the Election Code, the Board may impose a fine of up to \$3000.00, (150% of the overage amount). However, since this is the first violation of the campaign contribution limits by this committee, the civil penalty will be automatically reduced to \$300.00, (10% of the amount in violation of the campaign contribution limit), regardless of whether you choose to file an appeal under the Board's procedures.

The total amount of \$2300.00 (fine amount plus the escheated amount) will be imposed with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid within 30 days of the issuance of the Board Order.

STATE BOARD OF ELECTIONS

13 FEB 28 AM 11:51

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,)

Complainant)

Vs.

Case No. 12 JQ - CL 001

FRIENDS OF Bill Haine
Respondent(s).

APPEAL AFFIDAVIT

I, JAN G. KREUTZBERG, the TREASURER of the
(Name) (Chairman/Treasurer)

FRIENDS OF Bill Haine

(Name of the Committee)

Committee, first being duly sworn deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

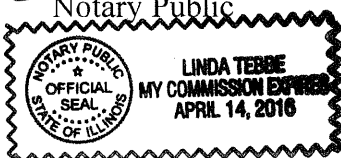
This Committee is not in violation of 10 ILCS 5/9-8.5 because the amounts indicated in the State Board of Elections letter of 9-21-12 (\$2000 total) was donated (\$1000@) to two charities on 9-30-12 - Operation Blessing in Wood River, IL

Signed and Sworn to by:
Jan Kreutzberg
before me this 26 Day of
February, 2013

and to
Alton, IL

Jan P. Kuntzberg
Signature of Chairman/Treasurer

Notary Public



(Additional info. sheet attached)

Appeal Affidavit

These amounts, \$2000, were reported on the Committee's 3rd Quarter Report for 2012, filed w/ the Board on 10/12/12, and indicated to be Charitable donations.

Attached please find copies of both cancelled checks:

① Operation Blessing
10 E. Larena Ave.
Wood River, IL 62095

pd. 9-30-12
\$1000
cleared bank
10/15/12

② Guardian Angels Party
3512 McArthur Blvd.
Arlton, IL 62002

pd. 9-30-12
\$1000
cleared bank
10/12/12

Thank you very much for your attention to this matter. Any questions, please contact me, 1-618-581-6924 (c).

Jan G. Kreutzberg
Treasurer
FRIENDS OF Bill HAINe

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141

John Levin

Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, & Steve Sandvoss, General Counsel

Re: Random Audits

Date: May 1, 2013

10 ILCS 5/9-13 (c) requires the Board to randomly order no more than 3% of registered political committees to conduct internal audits of their accounts. In 2012, because of the newness of the statutory requirement, we decided to require 0.5% of all active committees to conduct said audits. Of the 20 committees randomly selected for the audit process, 12 submitted audits of their internal records that satisfied the statute; 7 committees opted to file Final Reports in lieu of audits and did so in a manner acceptable under the statute; the records of the remaining committee had been obtained by authorities as part of a criminal investigation, and that committee was given an indefinite extension with regard to the random audit process.

This year, the Board elected to increase the percentage of committees chosen for the random audit process to 1.0% of all active committees. With the assistance of the IT Division, we randomly generated the list and sent notice to the 42 selected committees, as well as their officers and any associated candidates, on May 1, 2013.

All notices were sent via certified mail. The statute does not require a certified public accountant to conduct the audit, but Section 100.175d)1)A) states the auditor, "(s)hall have the proper training and experience to perform a financial analysis of campaign finance committees. Such training may be the result of possession of a CPA license, possession of a degree in accounting from an accredited accounting or business school and/or experience as an accountant gained from past employment." The committees are prohibited from selecting as auditors anyone who has contributed to their committees in the last four years.

The audits are to cover a two-year period ending on the date of the original correspondence from the Board; in this instance, May 2, 2011, through May 2, 2013. They are required to be submitted within 60 days of the original correspondence; in this case, by July 2, 2013. Selected committees may avoid the requirement by filing Final Reports but are required to remain out of the system for at least four years if they choose to do so.

Campaign disclosure staff prepared an Auditor Selection Form that was sent to each committee. While there is no statutory requirement the form be completed and returned, it was prepared in order to allow the Board to oversee and approve selected auditors in advance of the July 2nd deadline for submission of the audits. The statute does not prescribe specific forms on which the audits must be submitted.

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Civil Penalty Assessments Necessitating a Final Board Order

Date: May 10, 2013

Listed below are committees that have been assessed a civil penalty for the delinquent filing of the June 2012 Quarterly report, the September 2012 Quarterly report, the December 2012 Quarterly report, Schedule A-1's, D-1 Statement of Organization, Independent expenditure committee filing, and "multi-assessments". These violations were not appealed & should be issued a Final Board Order.

June 2012 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
18097	Dundee Twp Dem Central Cmte**	5000	2 q's
24304	Lori Berger**	250	none
September 2012 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
929	Local 143 IUOE PAC	4400	1 q
22624	Southland Success PAC	5000	5 q, 1 semi, D1, A1
23216	For a Better Chicago PAC**	125	Semi, A1's (pd)
December 2012 Quarterly Report			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
99	Clark County Democratic Central Cmte	300	None
136	Dem Central Cmte of Stephenson Co	75	None
187	Stephenson County Rep Central Cmte	600	None
226	Regular Republican Party of River Forest	75	5 q's
308	Petroleum Political Education Cmte	150	None
502	National Assn of Social Workers PAC	450	None
1018	McHenry County Dem Central Cmte	50	None
1176	Citz for William Peterson Camp Cmte	100	None
1238	Illinois Occupational Therapists PAC	150	None
1272	Illinois Psychologists PAC	100	1 q
4246	Local Union 134 Intl Brotherhood	200	None
4743	Committee for Respected Leadership	50	None
5478	Schuyler County Republican Central Cmte	100	None
5536	Fon Du Lac Democrat Club	150	None
5687	Rock Island Co Dem Womens Club	50	1 q
5689	South Moline Township Democratic Cmte	50	1 q
10536	Worth Twp Regular Republican Org	50	50
11362	ICAP PAC	50	None

11417	Nunda Township Rep Central Cmte	25	None
12300	IL Motorized Rec Power Equip PAC	200	None
12572	Citizens To Elect Jon Stewart**	675	4 q's
12698	Worth Twp Coalition Party	100	1 semi, 2 q's (pd)
13105	Illinois Good Government Project	100	None
13254	Committee To Elect Lawrence Walsh	150	None
14057	Rochester Education Assn PAC	100	None
14252	Decatur Area Labor Education Committee	25	None
14493	Citizens for Tumulty	125	None
14521	Citizens for Ruth Munson	100	None
14566	Friends of Maureen Murphy	25	None
14694	Northwest Tax Watch PAC	100	2 semi, 1 q (pd)
14880	Citizens to Elect Steve Riess	50	1 q
14887	Citizens for Delaney	200	A-1
14963	Committee to Elect Denise M O'Malley	50	1 q
15121	Friends of Mary Beth Tighe	200	2 semi, 3 q's
15230	Citizens to Elect Dennis J Burke	450	None
15478	Logan County Farm Bureau Pol Invol Cmte	150	None
15682	Citizens for Leys	300	2 q's
15765	Warren Township Republican Organization	50	None
16771	Committee to Elect Jim Lane**	250	None
17032	Citizens for District 56 Schools	50	1 q
17110	Friends of Keith Budd	100	None
17713	Citizens for Dennis M Reboletti	50	None
18224	Laborer's Local 703 Political PAC	600	None
18844	Aurora Township Democratic Team	150	2 q's (pd)
18919	Citizens to Elect Bill Borgo	325	None
19118	Citizens for M David Johnson**	250	None
19148	Phoenix PAC	25	None
19182	Citizens for Duvendack	175	None
19289	Citz for Judge John T Phillips	75	None
19534	Citz for Theodore S Potkonjak for Circ Judge**	150	2 q's
19635	Citizens to Elect Mary T McCann	100	None
19911	Citizens for Marci Dodds	275	None
20034	Friends of Stephanie Kifowit	50	None
20037	Friends for Larry Brown	100	None
20042	IL Autism & Develop Disabilities PAC**	50	None
20053	Friends of Joseph C. Ziegler Jr.	75	None
20189	Griffin for Alderman	100	1 q
20312	42nd Ward Regular Republican Organization**	75	2 semi, 4 q's
20456	Citizens for Heather Alderman	300	None
20669	Committee to Elect Germain Castellanos	225	None
20718	Citizens for Nancy	75	None
21057	1st Ward Democratic Committeeman Fund	225	D1, 3 semi, 7 q, A1
21288	McHenry County Young Republican Club	75	None
21361	Friends of Emil Jones III	200	1 semi, 3 q's (pd)

21536	P Craig Ochoa	100	None
21591	Friends of Grady Rivers Jr	350	None
21598	Pasquinelli for Mayor**	50	None
21696	West Deerfield Township Independent Party	200	None
21713	Citizens for Collins	25	None
21800	Wilson for Assessor**	225	None
21859	Citizens for Elaine Zannis	400	None
21890	Friends of Robin Kelly**	100	1 semi, 1 q (pd)
22022	Re-Elect Eric Leys	300	2 q's
22072	Proft for Governor	150	None
22101	Illinois College Republican Federation	25	None
22120	Friends for Holly Lemons	75	None
22174	West Deerfield Township Republican Org	None	None
22195	Schwab for Waukegan	75	None
22206	WOLFPAC	75	None
22252	Friends of Larry Toppert	200	None
22308	Friends of Rafael Rivadeneira**	1125	2 q's
22403	Equal Justice Party (EJP)	25	None
22585	Southwest IL Home Builders Assn PAC	175	None
22646	Citizens for Sloan**	675	1 semi, 2 q's (pd)
22685	Friends of Carol Quinlan	100	None
22777	Friends of Anna Goral**	75	None
22848	Citizens for Millburn District 24	300	None
22869	Friends for Olmetti	400	A1, 5 q's
22943	Reclaim Illinois**	25	None
22975	Meeks for Mayor**	350	A1's, 1 q
23089	Citizens for Vose	25	None
23105	Brian White for 49th Ward Alderman**	75	None
23120	SCSVDC	50	None
23444	Citizens for Bernadette Wiggins	75	A1
23499	Committee to Elect Laura J Powers	425	None
23535	Renaissance 21 Democrats**	325	None
23590	Citizens to Elect Anthony R DiCanio**	50	None
23610	Friends of Ramona Thomas	50	1 q
23632	Illinois Injured Workers Coalition PAC	450	None
23670	Vance Wyatt Election Campaign	100	1 q
23746	Friends of David Cooper	275	None
23759	Citizens to Elect Brad Popurella**	600	1 q
23840	Friends of Edward J Maloney	200	None
23893	Committee to Elect Tracy Nesseler**	125	None
23993	Committee to Elect Jeff Baldi	75	None
24002	We The People - Will County	25	None
24021	Friends of Doug Bennett	25	None
24030	Citizens for Clarendon Hills-Inc	75	None
24095	Friends of Jerry Bannister	25	None
24112	Friends of David K Cox**	225	None

24164	Committee for Municipal Electricity Choice	500	None
24190	Citizens for Callis**	300	None
24223	ConservPAC Lake County**	225	None
24253	Cmte to Elect Ted Hampson for State's Atty**	150	1 q
24293	Elect Karen Boyd Williams	225	2 q
24316	Friends of Marlene Carlson for Circuit Clerk**	375	None
24343	Citizens for Ryan Stookey	25	None
24344	Crystal Ballard for Circuit Clerk**	25	None
24353	Citizens for Robert Bateson	75	None
24358	Friends of Engel and Zack	25	None
24389	Northbrook Caucus of 2013	50	1 q
24399	Citizens for Amy Grant	225	None
24450	Citizens for Tanya L Overbeck	25	None
24456	Erik Gibson for Knox County State's Attorney	200	None
24470	Truth About Broadview Bonds	50	None
24472	Friends of David Moore	50	1 q
24495	Brighter Futures Better Government**	225	None
24497	Citizens for Joe Hayden	25	None
24499	Claahsen for City Council NFP**	100	1 q
24521	Kevin R Wiley DBA Elect Kevin Wiley**	1050	None
24536	Friends of Ray Chamberlain	450	None
24542	Citizens for Reed Rec Center**	300	None
24549	Citizens for Jim Webster	50	1 q
24555	Citizens for Tony Holland	25	None
24573	Citizens for Denise Moore	25	None
24598	GOPAC Illinois Legislative Fund	50	A1
24604	Yes At Large	100	None
24609	Campaign for Maggie Soliz**	225	None
24620	Fremont United**	200	None
24624	Friends of Frasca**	25	None
24629	Coalition for an Independent Judiciary	50	None
24631	Citz for Open Comm to Elect Eric M Poders**	25	None
24642	Committee to Elect Dinges**	150	None
24653	Friends of Linda Little	225	None
24658	Robert Sabonjian for Mayor	25	None
24691	Citz for a Healthy Safe & Secure Mid School	750	None
24693	Committee to Elect William (Bill) Hein	400	None
24699	Friends of Larry E Banks	225	None
24700	Friends of Lorraine Walsh	225	None
24701	Friends of Emilio "Emo" Cundari	225	None
24703	Friends of Frances "Fran" Reitz	225	None
24705	Friends of Maria A Punzo Arias	225	None
24706	Friends of Joe Virruso	225	None
24714	Latinas for Better Government**	325	None
24716	Community First	300	None
24717	44th Ward GOP	25	None

24721	Elect Jo Malik	225	None
24724	The Forty PerCenters	500	None
24727	Friends for Tammy Hanfelder	50	None
24734	Committee to Elect Mike Verbic for Mayor**	25	None
24735	United Party	350	None
24743	Citizens for Jim McCarthy**	350	None
24756	Friends of Michael Guinta**	325	None
24757	Citizens for Jim Dodge	450	None
24758	Citizens to Elect Valerie DeVost	50	None
24762	Committee to Elect Mike Basil	300	None
24777	Friends of Luke Scroggins**	50	None
24781	Friends to Elect Rick Olson	275	None
24787	Changed Bensenville Party	75	None
24792	Independents of Batavia Township	225	None
24793	Citizens for Martin McManamon	200	None
24804	Friends of Andrew Bezaitis	275	None
24814	Citizens to Elect Michael T Benoit	425	None
24819	Elect Vincent LaVecchia	225	None
24841	Committee to Elect Robbins First	275	None
24853	Friends of Steve Crowley	275	None
24854	The Forward Vision Party	325	None
Schedule A-1 (12 AM)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
1026	Will Co Dem Central Cmte	500	50
Schedule A-1 (12 AJ)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
16505	Citz to Elect Deborah Graham	500	50
16518	Friends of Robert Martwick	500	50
Schedule A-1 (12 AS)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
833	Il Harness Horsemen's Assn	2000	200
1029	DuPage Co Dem Central Cmte	500	250
4712	New Lenox Rep Central Cmte	500	50
17219	Citz for JoAnn Osmond	1000	100
23735	Health Education & Learning PAC	1500	150
24430	Cmte to elect J Matthew Chancey**	750	75
24465	Citz for Rudd	500	50
24525	Build a Smarter Future**	1750	175
23713	Jones for State Rep	500	250

Schedule A-1 (13 AD)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
210	Henry County Democrats	1250	125
280	Marion County Republican Central Cmte	500	50
285	Gallatin County Democratic Central Cmte	600	60
297	Livingston County Republican Central Cmte	569.25	57
11825	Citizens for Glen R Weber	2650	265
18760	Citizens for Gary Muehlfelt	500	50
19880	Citizens to Elect Willie B Cochran	500	50
20809	Friends for Proco Joe Moreno	500	50
21166	Committee to Elect Henry S Dixon**	500	50
21272	Friends of Tom Cullerton	2800	1400
23896	Friends of Chris Benson	500	50
23951	Judy Cates for Judge	500	50
24109	Cmte to Elect Amy Cesich to County Board	1000	100
24148	Committee to Elect Zina Cruse	500	50
24355	Clean the Slate 2012**	500	50
24362	Citizens for Marcus C Evans Jr	1000	100
24379	Friends of June Chartrand**	1250	125
24424	Committee to Elect Rod Kloeckner	500	50
24599	Citizens to Elect Pat Liston	2500	250
24643	Committee to Elect Joseph Babich	808.47	81
24651	Fresh Air, Inc NFP	1958.50	196
24667	Rockford Chamber PAC, Inc	6790.23	679
Schedule A-1 (13 AM)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
24847	Experience Leadership for Parkland	1433.27	143
MA "Multi-assessments" (A-1's from 2 quarters)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
17290	Friends of George Cardenas	65950	65950 (100%)
22102	7 th Ward Independent Pol Org	11875	937/1875/1250/4375/ 1250/625/625
23716	Citz for Kalnicky	8361.33	133/3514
MA "Multi-assessments" (Quarterly & A-1)			
Cmte No	Cmte Name	Amt of Fine	Percentage Reduction
24323	Citz for Tuck Marshall	550	50
24632	Jobs First**	1100	50
MA "Multi-assessments" (2 or more Quarterly)			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
19988	Prairie Greens of East Central Illinois**	11950	None
20013	Friends for Del Valle**	16050	1 pre, 2 semi, 2q
20603	Young Democrats of Illinois**	5900	1 semi, 4 q's
21476	Balanoff for Illinois	4500	None
21642	Oak Lawn Leadership Team	9500	4 q's

22167	Citizens for Stefani**	9675	3 semi
22778	Friends of Molly Phelan**	11650	1 semi, 1 A-1, 3 q's
22811	VotingWomen.org**	5900	None
23380	Fiscal Voices for Orland**	6000	None
23396	Open Government Party**	14500	1 q
23729	Committee to Elect Will Guzzardi	2325	None
23919	Friends of Mark Battaglia	6075	None
24006	Friends for Ann Kindle**	3900	None
24091	Friends of Anthony Soler	12750	None
24105	Citizens for Hathaway**	4500	None
24178	Friends of William R. Adams**	2175	None
24257	Camp to Elect Paula Newcomb Circ Judge**	10000	1 q
24492	Friends of John DeBartolo	1075	None
D-1 Statement of Organization			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
24723	Friends of Tim Reilly	800	None
Independent Expenditure			
Cmte No	Cmte Name	Amt of Fine	Previous Violations
24589	Republican State Leadership - IE Committee	500	None

(** - DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)

STATE BOARD OF ELECTIONS
1020 South Spring Street
Springfield, Illinois 62704
217/782-4141

Sharon Steward

Director, Campaign Disclosure Division

To: Members of the Board, Rupert T. Borgsmiller, Executive Director, Steve Sandvoss, General Counsel

Re: Contribution Limit Penalties Necessitating a Final Board Order

Date: May 10, 2013

Listed below are committees that have been assessed a civil penalty for violation of the contribution limits provided in 10 ILCS 5/9-8.5. These violations were not appealed & should be issued a Final Board Order.

Cmte No	Cmte Name	Amt of Fine	% Reduction	Escheatment
22525	Chicago Lakefront Political Org	7500	750	5750
23872	Citz to Elect William S Boyd	1500	750	1750

(- DENOTES COMMITTEES THAT HAVE FILED A FINAL REPORT)**

STATE BOARD OF ELECTIONS
2329 S MacArthur Blvd
Springfield, Illinois 62704
217/782-4141

To: Rupert T. Borgsmiller, Executive Director, Members of the Board
Re: Payment of Civil Penalties - Informational
Date: 5/8/2013

The following committees have made payment of outstanding civil penalties for the period
of 4/5/2013 - 5/8/2013

16208	Illinois Fire Chief's Assn PAC	\$450.00
1330	Citizens for Lou Lang	\$175.00
17787	State of Il Medical Alliance for the Survival of Health	\$100.00
8377	Citizens Organized for Public Safety	\$1,200.00
22327	Citizens for Michael Harn	\$400.00
675	Illinois Hospital Assn PAC (IHA PAC)	\$25.00
24560	Democrats United Calumet City 2013	\$225.00
572	Niles Township Regular Republican Org	\$131.00
23407	Citizens for Stieper	\$300.00
61	Union County Democratic Committee	\$75.00
24401	Citizens for Joseph "Joe" Pontarelli	\$425.00
22333	Lake County Life PAC	\$825.00
22935	Citizens for Foster	\$1,450.00
20322	Citizens for Larry Hanson	\$50.00
24606	Committee to Elect Cheryl L Jones	\$300.00
14395	Jim Ardis for Council Committee	\$425.00
23317	Citizens for Matthew Walsh	\$225.00
24387	Friends of Lance Tyson	\$175.00
7703	Citizens for Tom Bennington	\$150.00
24430	Committee to Elect J Matthew Chancey	\$150.00
21440	Friends of Tony Michelassi	\$7,200.00
16451	Citizens for Shane Cultra 105	\$76.00
1035	Bridge Struc & Reinforc Iron Workers LU # 1 IPAL	\$462.50
	1029 DuPage County Democratic Central Committee	\$250.00
	20780 Friends of Eloise Gerson	\$2,350.00
	TOTAL	\$17,594.50

Year to Date Totals:

12/7/12 to 1/11/13: \$28,761.50
1/11/13 to 2/7/13: \$15,818.43
2/8/13 to 3/5/13: \$8751.14
3/5/13 to 4/3/13: \$17,820.45
4/3/13 to 5/8/13: 17594.50

STATE BOARD OF ELECTIONS

MEMORANDUM

From the desk of:
Rupert T. Borgsmiller, Executive Director

TO: Members of the SBE Advisory Committee

SUBJECT: Meeting

DATE: May 8, 2013

Attached you will find an agenda for the meeting of the SBE Advisory Committee on Monday, May 20, 2013 scheduled to begin at **9:00 a.m.** in our Springfield office. We will have a video connection if anyone would like to attend in our Chicago office located on the 14th Floor of the Thompson Center.

The regular monthly Board meeting will begin at 10:30 a.m.

In addition to the agenda items listed feel free to bring anything else to the table, and hopefully we will have time to discuss any and all items of concern to you during the course of the meeting.

We look forward to seeing you on the 20th.

Attachment

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph St, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

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Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest L. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Scholz

ADVISORY COMMITTEE AGENDA

Monday, May 20, 2013

**2329 S. MacArthur Blvd.
Springfield, Illinois
9:00 a.m.**

1. Recognition of Mason County Clerk Bill Blessman.
2. Voting Systems
 - a. ES&S M100 Modification
 - b. ES&S DS200 tabulator.
3. Electronic Canvassing.
4. EAC Link Report
5. Legislation
 - a. On-line voter registration
 - b. Military and Overseas Voters – Special election timelines.
6. Statewide database update.
7. Advisory Committee reformation – July 2013.
8. Other business.
9. Next meeting of newly constituted Advisory Committee – Monday, September 16, 2013.

The Advisory Committee will also be connected to the State Board of Elections Chicago office via videoconference. The State Board of Elections Regular monthly meeting will begin at 10:30 a.m.

State Board of Elections

From the desk of....Jane Gasperin, Director of Election Information

Phone: 217-782-1555

Email: jgasperin@elections.il.gov



To: Rupert T. Borgsmiller, Executive Director
Re: Results from April 9, 2013 Election
Date: May 7, 2013

On May 7, 2013, the Division of Election Information certified the results for the Second Congressional Special Election as well as the results for the Chairman and Directors of the Fox Waterway Agency.

Second Congressional District Special Election

Candidate	Party	Votes
Robin Kelly*	Democratic	58,834
Paul McKinley	Republican	18,387
Elizabeth "Liz" Pahlke	Independent	2,525
LeAlan M. Jones	Green	1,531
Marcus Lewis	Independent	1,359
Curtiss Llong Bey	Independent	548
Steve Piekarczyk	Write-In	9

Fox Waterway Consolidated Election

Candidate	Position	County	Votes
Wayne D Blake*	Chairman	Lake & McHenry	11,630
Michael W. Shields*	Director	Lake	6,015
Charles E. Haling*	Director	Lake	5,837
Phillip E. Bartmann*	Director	McHenry	4,624

*Denotes winner(s)

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: 2 a.m. survey results from CE 2013

Date: April 12, 2013

There were three jurisdictions that did not have all precincts reporting by 2 a.m. They are Clinton and St. Clair counties along with the Chicago Board of Election Commissioners. The entire state held an election and only four precincts were late in reporting their results. In Clinton County, the precinct judges failed to bring in the touch screen's memory stick. This precinct's judges did not realize they needed to bring it in even if no voters used the DRE all day. In St. Clair, the precinct judges left the tabulator's memory card in the precinct. They found the card in the precinct the day after the election. In Chicago, two precincts were re-tabulated the following day. One precinct had an OCX error on an insight which could have resulted from static or pulling the pack out before turning the machine off and in the other precinct, a judge accidentally, stepped on and crushed the memory cartridge. See Chicago's attached report.\

To: Dianne Felts, Director of Voting
Systems and Standards (VOSS)
Illinois State Board of Elections

From: Kelly Bateman,
Assistant Executive Director
Chicago Board of Election Commissioners

Subject: Special Election April 9, 2013

Date: April 10, 2013

Jurisdiction: City of Chicago

Number of Precincts in your jurisdiction not reported by 2 a.m.: 2 out of 170

"Please complete the following short survey. If you had all precincts in and reporting by 2 a.m. put a "0" on the line. If your jurisdiction had a precinct(s) not reporting by 2 a.m. indicate the number that did not report by that hour. Also, specify the reasons for each precinct's delay. You may use extra sheets if necessary to explain the reasons for each precinct's lateness.

Reasons for the delay of any Precinct not reported by 2 a.m.

- 1) Ward 8 Precinct 53 Insight memory pack received an "OCX" error.

Explanation and resolution: The "OCX" is a code module, like a dll or an exe. It is the module that reads the results out of the memory pack. An "OCX Error" means that the OCX had trouble reading the results from the pack. This is usually, and most likely due to data issues in the memory pack. Cross checks and validations are done on all data, and if any validation fails, an OCX Error occurs. This code module prevents inconsistent or invalid results from being tallied. The memory can also be affected by static, removing the pack with the power levels on, or other transient electrical events. The pack was cleared and the ballots re-read.

To resolve this problem, a new memory pack was re-created and the ballots re counted in the obvious discrepancy process.

- 2) Ward 7 Precinct 29 E2P results cartridge was crushed.

Explanation and resolution: The Election Judge inadvertently dropped the HAAT unit on the results cartridge before transmission thereby crushing the results cartridge.

To resolve this problem, an audit trail cartridge was created from the E2P unit during the obvious discrepancy process and results were loaded into the system.



Kelly Bateman
Assistant Executive Director

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: Dominion's WinEDS 4.0 non EAC approval

Date: March 29, 2013

Attached is the correspondence and e-mail received regarding Dominion's withdrawal of the WinEDS 4.0 voting system from the EAC certification and testing program. Currently, in the state of Illinois, Chicago BEC and Cook County use this election management program/voting system.

The following is a short timeline of what previously occurred regarding this system.

In August 2007, the vendor, Sequoia now known as Dominion requests EAC testing and approval of WinEDS 4.0

In late January of 2009, the Chicago Board of Election Commissioners and Cook County Clerk jointly request the Illinois State Board of Elections test without the EAC certification but to use a federally approved Independent Testing Authority. The EAC was having start-up and policy problems.

In February of 2009, our Board at its monthly meeting directed staff and General Counsel to address these issues regarding voting system approvals: costs, personnel, EAC Testing Standards, Illinois Statutory Requirements and risks of not waiting for the EAC certification.

At the March meeting, our Board reviews staff's memos from the Executive Director, Dan White, the General Counsel, Steve Sandvoss and the Director of Voting Systems and Standards, Dianne Felts. After the Board reviewed and further discussed, it decided that the General Counsel will review whether the Board can legally begin its own testing before the EAC approves the ITA reports and any other rules or regulations that would prevent the SBE from moving ahead with Chicago and Cook's request.

At our Board's April meeting, the Board reviews General Counsel's memo. The General Counsel concludes that it would be a policy decision of the Board but not contrary to HAVA, Section 24C-16 of the Illinois Election Code or the SBE's Rules and Regulations for staff to test a voting system prior to the testing or approval by the VSTL/EAC. However, he further states that the "SBE must be made aware that if the decision is made to undertake this change in past practice, it would expose the SBE to the risk of having to re-test any equipment or modifications thereto in the event that the subsequent federal testing requires a modification." The Board decides to start the voting system test.

On April 23, 2009, iBeta Quality Assurance, a VSTL, receives confirmation from the EAC of approval of the test plan for WinEDS 4.0.

At the August 2009 monthly meeting, our Board gives interim approval for WinEDS 4.0.

On February 27, 2013, Dominion, formerly Sequoia, withdraws its application from the EAC. See Attachment.

On March 7, 2013, Dominion sends e-mail to states regarding its withdrawal of WinEDS 4.0 from the EAC.

I am now at the point in time of simply informing the Board that this is what has transpired since 2007/09 regarding Sequoia/Dominion's WinEDS 4.0. Cook County and the Chicago Board of Election Commissioners have used WinEDS 4.0 since 2010; this would mean it has tabulated accurately for eight elections. See attached letters. I believe that since we have a federally accredited laboratory report that says that the system follows the current federal voting system standards that there is no problem with using this system in Illinois.

Felts, Dianne

From: Ed Smith [ed.smith@dominionvoting.com]
Sent: Thursday, March 07, 2013 5:59 PM
To: Ed Smith
Subject: WinEDS 4.0 -- withdrawal from federal certification
Attachments: ltr_Hancock_WinEDS_27Feb2013.pdf

Hello—

You are receiving this email because your State either has certified WinEDS 4.0 or has expressed interest in doing so once it clears EAC certification. Unfortunately, after years of testing, re-testing, and numerous on-site and telephonic meetings, we have been unable to instill an adequate level of confidence in the WinEDS system at the EAC such that the staff there would choose to certify it. The original test lab, iBeta Quality Assurance, ceased testing voting systems in December of 2010, just after they published the WinEDS Test Report. We took the system into SysTest Labs after obtaining a January 2011 list of items that the EAC would like to see re-tested in hopes of alleviating concerns that arose from the original test program. We did perform those re-tests, and obtained a Test Report from SysTest (by now re-named SLI). After the SLI report was published, we met with the EAC on several occasions, both at their offices and via teleconference. They continued to express concerns arising from the 2007-2010 test program. We were unable to answer these without highly extensive re-testing, tantamount to completely re-testing the system as it were new to the EAC program. As you might imagine, test campaign of this magnitude would require years of time and effort. Dominion Voting senior staff made the decision to withdraw the system rather than to continue down a fruitless path.

Feel free to call me at any time if you have questions regarding this withdrawal.

The Customer Relations Managers at Dominion are planning to send this letter to the Counties in your State. If you object to that, please let me know.

Regards,
Ed

ED SMITH | VICE PRESIDENT, CERTIFICATION AND COMPLIANCE

1201 18th Street, Suite 210, DENVER, CO 80202
1.866.654.8683 | DOMINIONVOTING.COM

720.257.5209 x9206 OFFICE
720.849.1775 MOBILE

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1201 18TH STREET, SUITE 210 DENVER, COLORADO 80202

February 27, 2013

Mr. Brian Hancock
Director, Voting System Test and Certification Program
US Election Assistance Commission
1201 New York Avenue, Suite 300
Washington, DC 20005

*one page, no attachments
sent via electronic mail*

Mr. Hancock:

WinEDS 4.0 has undergone a long, arduous EAC test campaign under two VSTLs. Six states have certified various versions of the WinEDS 4.0 voting system, including those tested by both iBeta Quality Assurance and later by SLI Global Services. A number of jurisdictions around the United States have successfully utilized the WinEDS 4.0 system in multiple elections. Factors beyond the control of Dominion Voting, such as the 2010 withdrawal of iBeta Quality Assurance from the VSTL program make it impossible to respond to questions regarding the WinEDS system raised this year by the EAC staff. Against that backdrop, it is with regret that we withdraw the WinEDS 4.0 voting system from consideration in the EAC Testing and Certification Program under its 2007 Application. Dominion Voting will continue to support WinEDS customers and seek State certifications where needed to effect that support. We look forward to continuing to work with the EAC in the Testing and Certification Program as regards the ASSURE and Democracy Suite voting systems.

Sincerely,

Edwin B. Smith, III
Vice President, Certification and Compliance

cc: Ian Piper, Dominion Voting
Waldeep Singh, Dominion Voting

**STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

1020 South Spring Street, P.O. Box 4187
Springfield, Illinois 62708
217/782-4141 TTY: 217/782-1518
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James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago, Illinois 60601
312/814-6440 TTY: 312/814-6431
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Albert S. Porter, Chairman
Bryan A. Schneider, Vice Chairman
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Jesse R. Smart
Robert J. Walters

EXECUTIVE DIRECTOR
Daniel W. White

MEMORANDUM

TO: Chairman Albert Porter
Vice Chairman Bryan Schneider
Members of the Board
Executive Director Dan White

From: Steve Sandvoss, General Counsel

Re: State Testing of Voting Systems Prior to EAC Certification

Date: March 20, 2009

The State Board of Elections (SBE) at its March 16th meeting, considered a request from the Chicago Board of Election Commissioners (the CBOE), to begin testing the Sequoia based voting system WinEDS 4.0 that the CBOE intends to use at the upcoming February 2010 Primary Election, prior to this system being approved by the Election Assistance Commission (EAC). Since this would be a deviation from the usual policy of waiting for such approval before commencing its own test, the Board was understandably hesitant to grant the request in the absence of a complete review of the issues that may impact such a decision. The central question posed to me was if the SBE decided to accommodate the CBOE's request and begin the "state test" before receiving a certificate of approval from the EAC (the "federal test"), would this run afoul of existing law. To answer this question, a review of Federal law (specifically the Help America Vote Act or "HAVA"), the Illinois State statutes and the SBE's own Rules and Regulations is necessary.

HAVA

Section 301 of HAVA, with a limited exception not applicable to Illinois, establishes standards that voting systems must comply with in order to be used in Federal elections. In addition, HAVA requires such systems to be accessible to persons with disabilities, including an alternative language capability. There is also a provision in Section 301 that mandates such systems to be compliant with the minimum error rates contained in the 2002 voting system standards that were established by (at that time) the Federal Election Commission (FEC).

Section 231 of HAVA sets forth certain requirements for the testing and certification of voting equipment. It states that the EAC shall provide for such testing through accredited laboratories unless the states opt to provide for the same. This provision goes on to establish the procedures for the accreditation of such labs through the intervention of the National Institute of Standards and Technology (NIST). The important point to note is that neither Section 301 nor Section 231 mandates a specific testing mechanism, much less a requirement that such testing occur prior to any other tests, such as the logic and accuracy testing that the SBE currently conducts.

Section 24C of the Election Code

The relevant provision of the Illinois Election Code pertaining to the issue before the SBE is Section 24C-16. The relevant portion of this Section provides as follows:

The State Board of Elections shall approve all Direct Recording Electronic Voting Systems that fulfill the functional requirements provided by Section 24C-11 of this Code, the mandatory requirements of the federal voting system standards pertaining to Direct Recording Electronic Voting Systems promulgated by the Federal Election Commission or the Election Assistance Commission, the testing requirements of an approved independent testing authority and the rules of the State Board of Elections.

You will note that one of the criteria for SBE approval is that the system must fulfill the mandatory requirements of the voting system standards (which the SBE has previously adopted) pertaining to DREs that have been set by the EAC. To accomplish this, the system must be tested by an Independent Testing Authority (ITA) or what is now referred to as a Voting Systems Testing Laboratory (VSTL) using a testing plan developed by the EAC. Like HAVA, there is nothing in this provision that would require a voting system to first be submitted to a VSTL and then presented to the EAC for certification prior to its submission to the SBE for the "state testing". Bear in mind however, that in order to fulfill the requirements of Section 24C-16, the voting system will at some point have to be tested and approved under the federal testing guidelines established by the EAC, including the mandatory requirements set forth in HAVA (accessibility by the disabled).

SBE Rules

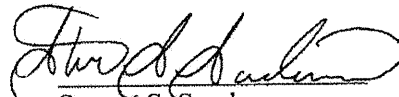
Part 204 of the Rules and Regulations of the SBE provide a comprehensive process by which voting system vendors apply for approval, submit their equipment for testing by the SBE, and obtain provisional or final approval of their system. While the Rules provide a detailed step by step procedure for approval (or non-approval), they provide no condition that such system first obtain an EAC certification prior to submission to the SBE.

SBE Adoption of the Voluntary Voting System Standards

In 2003, the SBE by majority vote adopted the voluntary voting system standards (the 2002 version) established by the FEC. Since then, the EAC has assumed responsibility for establishing such standards and providing a method by which voting systems can be tested for compliance with these and other standards. Even had the SBE not done so, Section 24C-16 requires that all voting systems fulfill the functional requirements provided by the mandatory standards set by the EAC and for which the SBE currently tests, prior to final approval by the SBE. In any event, the adoption or non-adoption of these standards in no way impacts the order in which the "state testing" and "federal testing" is to be done.

Conclusion

Whether the Board elects to begin testing of a particular voting system prior to that system being tested and approved by the VSTL/EAC is a policy decision of the Board that is not prohibited by HAVA, Section 24C-16 or the SBE Rules and Regulations. However, the SBE must be made aware that if the decision is made to undertake this change in past practice, it would expose the SBE to the risk of having to re-test any equipment or modifications thereto in the event that the subsequent federal testing requires a modification.



Steven S. Sandvoss
General Counsel



April 25, 2013

Ms. Dianne Felts
Director, Voting Systems and Standards
Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield IL 62704

Dear Dianne:

You have inquired as to the Chicago Board of Election Commissioners' experience with Dominion Voting System's WinEDS ballot tabulation and management software.

The Board began using WinEDS software in 2006. Attached is a table listing the different versions of WinEDS used by the Chicago Board of Election Commissioners since 2006.

The State Board of Elections approved the current version of WinEDS (v.4.0.175) prior to the 2010 elections and the Chicago Board is pleased to report that it has successfully used the current version of WinEDS since the February 2010 general primary and through the last eight elections without incident.

If you need any additional information regarding this issue, please do not hesitate to call upon me.

Sincerely,

Lance Gough
Executive Director

Election	WinEDS Version
March 2006	v. 3.1.12
November 2006	v. 3.1.74
February 2007	v. 3.1.74
April 2007	v. 3.1.74
February 2008	v. 3.1.74
November 2008	v. 3.1.74
March 2009 (Special)	v. 3.1.74
April 2009 (Special)	v. 3.1.74
February 2010	v. 4.0.148
November 2010	v. 4.0.175
February 2011	v. 4.0.175
April 2011	v. 4.0.175
March 2012	v. 4.0.175
November 2012	v. 4.0.175
February 2013 (Special)	v. 4.0.175
April 2013 (Special)	v. 4.0.175



Office of the County Clerk

David Orr, Cook County Clerk

April 30, 2013

Ms. Dianne Felts
Director, Voting Systems and Standards
Illinois State Board of Elections
2329 S. Mac Arthur Blvd.
Springfield, IL 62704

Dear Dianne:

This is in response to your request for information on our office's experience with the version we are currently using of Dominion's WinEDS voting system.

In the Fall of 2010 the Cook County Clerk's office upgraded its voting system to Dominion's WinEDS v 4.0.175. The Clerk's office has been using this version of WinEDS and all its constituent elements successfully in every election since that time, for a total of seven elections.

Not once since we began using the voting system have the results of any election been called into question. Although Dominion has elected to withdraw from the voting systems testing and certification protocol of the Federal Election Assistance Commission, the experience of the Clerk's office indicates that the current version of WinEDS and all of its components being used in Cook County elections are stable and reliable.

Please do not hesitate to contact me if you have questions or require any additional information.

Sincerely,

Jan A. Kralovec
Director of Elections

69 West Washington, 5th Floor, Chicago, Illinois 60602

Voice: Main Office 312-603-5656, Elections 312-603-0906, Ethics & Campaign Disclosure 312-603-0907

Fax: Main Office 312-603-9788, Elect 3786, Ethics & Campaign Disclosure 312-603-9787

TDD: 312-603-0902, E-Mail 186 /clerk.com; Web: www.cookctyclerk.com

INTEROFFICE MEMORANDUM

To: Rupert T. Borgsmiller, Executive Director

From: Dianne Felts, Director of Voting Systems and Standards (VOSS)

Subject: SSL certificates Updates for Gems 1.18.24D &
BallotStation 4.6.4D (Dominion)

Date: April 30, 2013

After a discussion with the IT Director, Kevin Turner, I am requesting the Board grant this minor system modification without any testing from VOSS. Mr. Turner informs me that it is a communication and security feature and that testing is not needed. It either works or it doesn't. The SSL certificate updates for the GEMS 1.18.24 and BallotStation 4.6.4 (TSX) expire in July 2013 and January 2014 and the new certificates will not expire until 2027. The federal laboratory has reviewed and approved the changes. Due to our Rules requiring Board approval, I am requesting that the Board approve this modification.

STATE BOARD OF ELECTIONS

100 W. Randolph, Suite 14-100

Chicago, Illinois 60601

312/814-6440

Andy Nauman

Deputy Director, Division of Campaign Disclosure

To: Members of the Board, Rupert T. Borgsmiller, Executive Director

Re: Public Hearing for Campaign Disclosure Task Force

Date: April 22, 2013

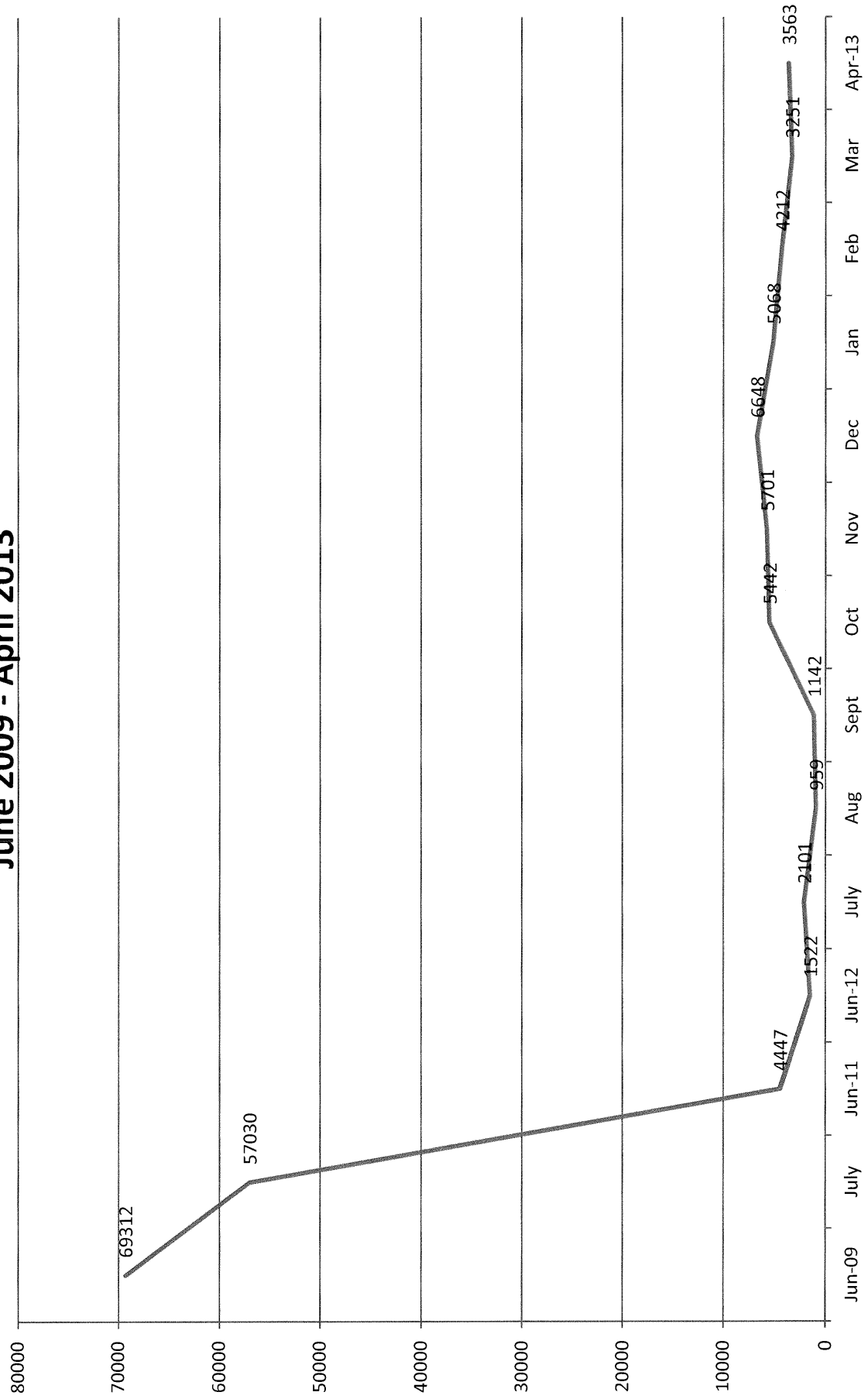
The Task Force completed a public hearing, as required by law, in anticipation of a report that was to be completed by March 1, 2013. A hearing was held in Chicago on April 22, 2013 and David Morrison, Acting Director of the Illinois Campaign for Political Reform, submitted written testimony and made an oral presentation. Ryan Blitstein, President & CEO of Change Illinois, also submitted written testimony and made an oral presentation. The testimony presented mainly focused on: the period of time after an election in which contribution limits are removed by independent expenditures or self funding, the need to review contribution limits in relation to transfers from parties and caucuses to candidates in general elections, the need to review the aggregation rule in relation to contributions that are required to be filed on a Schedule A-1, and concerns in relation to the current definition of when a receipt is deemed received.

Jurisdiction	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	Oldest Match Date
Adams County	16	20	19	22	5	1	6	6	5	6	9	1	14	20	28	29	21	15	11	11	13	4/13/2012
Alexander County	5	5	3	2	1	1	2	2	4	5	3	3	2	4	4	6	6	6	2	2	1	2/6/2009
Bond County	1	4	2	3	4	8	9	8	7	11	13	15	15	19	26	27	29	26	27	29	30	12/16/2011
Boone County	10	11	12	10	11	13	3	5	8	5	6	7	7	6	13	15	20	3	5	4	8	2/6/2009
Brown County	4	3	0	0	0	0	2	2	1	1	1	3	0	1	7	7	6	7	0	0	1	4/19/2009
Bureau County	2	3	0	1	5	2	2	1	4	2	1	3	1	0	2	2	2	5	2	1	7	10/9/2012
Calhoun County	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	n/a
Carroll County	1	2	0	0	0	0	1	2	3	4	3	4	4	3	6	7	6	10	4	3	4	2/29/2012
Cass County	2	0	0	1	0	4	2	2	3	3	2	8	5	4	10	9	6	7	3	3	5	5/11/2012
Champaign County	195	132	68	74	64	72	78	83	16	26	28	54	26	81	499	502	548	326	263	209	204	2/6/2009
Christian County	1	1	1	3	2	4	5	2	3	4	3	3	2	2	4	2	4	3	1	1	1	2/29/2012
Clark County	4	5	3	3	4	3	4	4	3	1	1	1	1	1	9	5	2	1	2	2	2	9/3/2010
Clay County	46	45	28	27	26	27	39	33	36	39	39	39	37	44	46	46	50	53	54	49	43	2/6/2009
Clinton County	6	14	7	8	1	3	10	6	9	15	14	13	8	11	18	25	19	25	29	15	18	2/29/2012
Coles County	6	6	7	5	5	2	3	0	2	2	3	6	3	2	27	23	23	27	18	15	15	10/9/2012
Cook County	1,943	2,085	1,874	1,879	1,391	793	535	329	168	106	191	247	40	51	1,033	1,067	1,325	754	533	464	532	2/6/2009
Crawford County	21	21	18	20	19	18	7	8	4	5	5	8	4	6	6	7	8	9	13	10	4	5/26/2009
Cumberland County	0	0	0	0	0	0	0	0	0	0	0	2	1	0	1	3	0	0	0	0	2	5/3/2013
DeKalb County	12	14	8	12	9	7	24	4	5	2	10	12	10	39	49	49	62	53	44	14	13	9/6/2012
DeWitt County	2	5	3	4	6	2	9	2	3	7	4	12	10	2	7	12	12	12	9	9	10	2/6/2009
Douglas County	0	1	0	0	3	1	1	1	2	0	0	1	0	0	7	11	10	5	5	6	2	11/22/2012
DuPage County	60	27	42	79	35	26	40	46	15	10	15	44	6	47	275	286	351	391	296	53	58	2/6/2009
Edgar County	0	0	0	1	2	2	2	2	3	3	5	8	1	1	4	3	5	6	7	8	8	11/6/2009
Edwards County	4	3	2	3	3	2	1	1	1	2	2	2	2	1	1	1	1	1	2	1	1	2/6/2009
Effingham County	2	1	0	1	1	1	4	3	1	3	2	4	0	1	3	5	3	1	1	0	1	4/8/2013
Fayette County	17	12	3	2	1	2	3	6	6	3	3	2	1	3	1	5	7	11	8	9	13	10/18/2012
Ford County	0	0	0	0	0	1	2	0	0	2	5	12	4	3	1	5	6	9	2	1	11	2/6/2009
Franklin County	60	59	43	12	10	0	3	5	4	5	7	14	14	20	26	28	30	6	9	1	6	10/9/2012
Fulton County	6	6	9	6	8	6	16	17	19	5	4	10	9	10	11	13	15	12	14	4	5	2/6/2009
Gallatin County	1	1	2	0	0	0	1	1	2	0	0	0	0	0	0	0	0	0	3	1	0	n/a
Greene County	1	3	2	2	0	0	0	0	2	2	2	2	1	3	6	8	10	9	4	3	4	4/9/2012
Grundy County	10	4	0	1	1	10	17	8	2	2	3	7	5	8	30	29	18	25	23	7	5	3/14/2013
Hamilton County	0	1	0	1	1	1	0	0	1	1	1	2	2	0	0	2	4	3	2	0	0	n/a
Hancock County	2	2	2	5	3	1	0	0	0	0	0	0	0	0	1	0	1	1	0	0	2	5/2/2013
Hardin County	0	0	0	0	0	1	0	1	2	0	3	0	0	0	0	0	0	2	7	4	5	1/14/2013
Henderson County	0	0	0	0	0	0	0	0	1	0	0	2	1	0	0	0	0	0	0	0	0	n/a
Henry County	5	7	5	3	2	0	8	7	8	9	10	15	14	18	25	26	28	1	3	3	8	11/30/2012
Iroquois County	5	8	3	1	1	3	1	1	2	1	2	5	2	6	6	9	6	3	3	2	2	2/6/2009
Jackson County	14	14	12	8	6	5	12	5	5	10	11	5	3	13	31	33	37	23	21	17	18	8/27/2009
Jasper County	4	4	5	5	6	5	1	1	1	2	1	2	0	1	1	1	1	1	1	2	2	10/17/2012
Jefferson County	0	0	0	0	1	1	0	1	4	5	2	4	3	7	7	9	12	12	4	3	3	1/7/2011

Jurisdiction	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	Oldest Match Date
Jersey County	19	20	20	20	22	22	22	22	22	23	27	28	27	29	34	35	45	42	41	40	41	2/6/2009
JoDaviess County	0	2	1	1	0	0	0	0	0	0	0	0	0	0	3	3	4	5	0	0	0	n/a
Johnson County	0	0	0	0	0	0	1	1	1	1	0	0	0	0	0	0	1	1	2	0	1	4/10/2013
Kane County	27	35	34	42	28	33	24	26	17	8	10	52	10	12	70	76	115	124	90	38	52	3/25/2011
Kankakee County	90	79	64	21	7	16	10	8	12	17	16	14	12	24	29	31	35	33	27	14	35	2/6/2009
Kendall County	6	13	7	10	6	15	13	2	1	9	8	21	4	8	73	83	99	86	45	23	32	5/25/2012
Knox County	9	11	14	15	15	18	0	1	2	5	5	8	7	12	4	5	7	6	9	1	5	3/4/2013
Lake County	36	46	41	62	76	80	27	34	19	70	98	165	11	16	85	94	126	150	78	64	66	2/6/2009
LaSalle County	14	15	15	13	12	17	8	4	5	16	20	25	22	38	49	53	45	41	18	10	15	9/6/2012
Lawrence County	0	0	0	0	0	1	1	1	1	1	1	1	1	3	3	2	2	3	2	2	1	5/18/2012
Lee County	0	1	0	1	1	0	1	0	0	1	2	1	0	0	6	9	3	2	1	1	7	11/5/2012
Livingston County	3	2	4	2	1	2	2	3	0	4	1	8	5	4	11	11	13	14	6	2	7	10/24/2012
Logan County	4	3	2	6	6	7	1	1	2	4	2	8	3	3	8	11	16	10	11	5	9	3/18/2011
Macon County	45	19	15	18	26	33	51	12	6	23	12	15	12	41	86	80	92	93	102	99	16	2/6/2009
Maconupin County	5	7	5	5	3	1	2	2	2	0	0	4	1	5	9	10	12	10	2	1	5	4/23/2013
Madison County	18	30	25	27	15	16	15	19	17	21	23	31	26	28	46	50	55	47	41	38	40	2/6/2009
Marion County	33	23	13	14	8	9	20	15	16	16	14	15	12	14	24	28	16	22	28	15	16	2/6/2009
Marshall County	2	3	0	5	2	2	0	1	3	2	0	0	0	3	0	0	2	1	1	1	4	4/8/2013
Mason County	0	0	0	0	0	2	2	1	2	0	1	1	1	1	0	1	2	1	2	1	2	3/11/2013
Massac County	3	4	3	3	2	4	4	4	1	1	1	2	2	2	2	2	1	2	6	4	1	4/28/2013
McDonough County	5	4	4	4	4	2	0	0	1	1	0	3	1	0	20	19	21	18	13	10	10	10/9/2012
McHenry County	10	22	18	59	74	30	7	22	35	57	73	30	16	30	54	64	98	89	88	12	28	11/13/2009
McLean County	32	18	10	10	9	10	32	31	32	48	51	72	38	18	27	46	50	44	52	52	66	2/6/2009
Menard County	1	1	3	3	4	0	4	3	4	2	4	3	3	3	3	3	4	5	3	3	4	2/6/2009
Mercer County	10	12	10	13	11	5	9	9	10	5	4	7	7	10	11	11	17	7	10	13	12	12/3/2010
Monroe County	2	0	2	0	0	4	4	3	3	4	6	8	5	5	20	21	16	11	11	12	12	2/29/2012
Montgomery County	1	0	0	0	0	2	1	1	1	1	1	1	1	1	1	2	5	3	4	3	2	11/20/2012
Morgan County	12	6	5	6	2	0	0	0	1	0	0	3	3	4	15	16	17	14	6	6	13	7/27/2012
Moultrie County	0	0	0	0	1	1	2	2	0	0	0	1	0	1	5	1	1	1	2	0	1	5/1/2013
Ogle County	3	5	2	3	2	4	1	1	1	1	0	0	0	2	8	11	8	7	4	3	5	10/9/2012
Peoria County	5	4	9	12	8	5	6	3	9	9	3	7	8	6	14	13	21	17	18	19	17	2/6/2009
Perry County	0	1	0	0	0	0	0	0	4	0	0	1	0	1	2	2	3	1	4	0	1	5/1/2013
Piatt County	0	1	0	0	0	1	1	1	1	2	2	3	5	4	6	6	7	9	2	2	1	12/28/2012
Pike County	0	5	7	10	2	0	1	1	3	3	3	5	5	8	11	11	14	15	13	12	13	4/9/2012
Pope County	1	1	0	0	0	2	1	0	0	0	1	0	0	0	2	2	1	0	1	0	0	n/a
Pulaski County	2	4	3	4	5	2	3	3	3	3	2	3	3	5	9	9	8	9	9	9	8	2/6/2009
Putnam County	0	0	1	0	0	1	1	0	0	1	0	1	0	0	1	2	0	0	1	0	1	4/8/2013
Randolph County	0	2	2	3	3	6	8	7	10	7	6	9	9	10	17	20	28	24	27	20	18	1/23/2012
Richland County	7	7	6	5	5	5	5	6	4	3	2	2	2	2	3	3	5	4	7	6	7	2/6/2009
Rock Island County	63	66	62	59	33	32	47	44	50	52	54	53	52	66	99	97	105	31	31	33	37	2/4/2010
Saline County	2	5	3	3	1	0	0	2	6	0	2	0	0	0	1	2	1	1	12	1	2	3/1/2013

Jurisdiction	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUNE	JULY	AUG	SEPT	OCT	NOV	DEC	JAN	FEB	MAR	APR	Oldest Match Date
Sangamon County	16	17	21	26	26	23	19	18	12	12	16	14	8	22	38	40	46	30	26	25	28	2/6/2009
Schuyler County	0	1	1	1	0	2	3	0	0	1	1	2	2	0	3	7	4	3	1	1	1	10/9/2012
Scott County	3	2	6	8	2	0	0	0	0	3	3	4	3	6	5	7	5	5	4	4	4	5/18/2012
Shelby County	3	1	0	1	0	1	0	3	1	2	3	1	5	1	3	5	3	4	4	3	2	2/29/2012
Stark County	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2	2	2	3	3	4	11/21/2012
St. Clair County	16	13	16	13	6	17	22	23	26	36	35	47	44	47	107	113	124	125	112	99	100	9/3/2010
Stephenson County	7	11	7	7	7	15	16	16	20	24	25	28	28	34	53	53	56	53	50	50	51	10/22/2010
Tazewell County	27	21	24	41	52	38	100	58	35	13	15	28	23	21	16	21	45	19	26	16	18	2/6/2009
Union County	25	23	23	22	15	8	11	13	12	13	13	14	11	12	26	22	25	22	21	16	9	7/22/2011
Vermillion County	3	7	9	8	10	13	5	6	8	5	13	4	2	10	16	16	18	19	10	10	20	2/29/2012
Wabash County	6	7	2	0	1	0	0	0	1	3	3	5	4	5	2	2	0	1	2	1	1	4/30/2013
Warren County	5	3	3	5	5	6	2	2	3	2	3	6	6	3	17	15	8	5	5	5	5	2/6/2009
Washington County	6	12	4	5	4	3	7	6	7	10	3	4	4	8	7	8	7	5	7	1	2	10/16/2012
Wayne County	16	15	1	0	0	3	8	8	10	12	11	12	12	16	22	21	23	27	25	14	5	9/6/2012
White County	5	4	2	1	1	0	2	2	3	5	5	4	4	2	0	2	1	2	1	2	1	3/11/2013
Whiteside County	2	3	3	1	1	1	2	2	3	3	4	3	2	4	20	27	26	12	8	6	8	6/13/2012
Will County	233	245	58	83	38	99	69	39	36	65	47	90	29	67	449	465	515	517	310	220	232	2/6/2009
Williamson County	48	49	32	15	15	2	5	6	5	13	15	21	18	19	20	20	24	26	27	4	11	2/29/2012
Winnebago County	6	5	13	20	5	18	6	6	14	7	10	9	8	8	51	57	86	17	21	11	21	11/13/2009
Woodford County	2	2	2	6	4	2	7	7	17	15	9	12	4	4	5	7	7	5	8	4	4	2/29/2012
City of Aurora	20	23	28	40	33	43	5	5	5	2	19	22	0	25	119	124	129	125	89	84	102	9/6/2012
City of Bloomington	4	7	6	8	11	5	15	16	15	21	22	30	10	4	8	19	22	16	29	21	29	2/6/2009
City of Chicago	1,703	2,020	2,017	2,028	1,557	960	541	383	267	285	348	502	125	234	1,212	1,225	1,452	1,061	1,059	1,028	1,118	2/6/2009
City of Danville	4	5	7	9	4	3	2	6	10	3	5	3	3	3	0	9	11	6	3	4	10	2/6/2009
City of East St. Louis	3	2	3	6	5	7	2	2	2	8	2	1	0	0	15	13	16	20	12	14	14	7/27/2012
City of Galesburg	6	7	9	6	8	7	3	2	2	2	2	2	3	8	16	16	10	8	7	3	4	3/29/2010
City of Peoria	19	22	30	34	35	40	101	61	27	25	8	22	19	11	25	29	45	34	36	44	51	2/6/2009
City of Rockford	12	15	20	24	12	34	17	17	25	9	13	16	11	11	84	94	123	32	41	18	28	2/6/2009
	5,140	5,548	4,940	5,081	3,888	2,769	2,160	1,608	1,240	1,332	1,522	2,101	959	1,442	5,442	5,701	6,648	5,068	4,212	3,252	3,563	

Statewide Duplicate Voter Registrations June 2009 - April 2013



<u>County</u>	Total Voters Registered as of 3/2/2013	Census Total Pop.	% Reg. Vs. Total Population	18 years and over #	% Reg. vs. 18 and Over as of 3/2/13	% Reg. vs. 18 and Over as of 3/2/2013
ALEXANDER	5,598	8,238	67.95%	6,353	87.93%	88.12%
CLARK	12,623	16,335	77.28%	12,617	100.12%	100.05%
CLINTON	24,294	37,762	64.33%	29,180	83.03%	83.26%
HARDIN	3,241	4,320	75.02%	3,444	94.05%	94.11%
JASPER	6,843	9,698	70.56%	7,494	91.65%	91.31%
MASSAC	11,001	15,429	71.30%	11,911	92.21%	92.36%
MENARD	8,792	12,705	69.20%	9,704	90.46%	90.60%
MERCER	11,821	16,434	71.93%	12,714	92.72%	92.98%
OGLE	35,296	53,497	65.98%	40,253	87.78%	87.69%
PULASKI	4,580	6,161	74.34%	4,756	96.61%	96.30%
RICHLAND	11,703	16,233	72.09%	12,625	92.10%	92.70%
ROCK ISLAND	88,597	147,546	60.05%	114,359	77.48%	77.47%
STARK	4,148	5,994	69.20%	4,583	90.27%	90.51%
UNION	12,077	17,808	67.82%	13,980	86.09%	86.39%
City of East St. Louis	20,408	27,006	75.57%	19,098	107.58%	106.86%

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
217/782-4141 TTY: 217/782-1518
Fax: 217/782-5959

James R. Thompson Center
100 W. Randolph Street, Ste 14-100
Chicago, Illinois 60601-3232
312/814-6440 TTY: 312/814-6431
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Rupert T. Borgsmiller

BOARD MEMBERS
William M. McGuffage, Chairman
Jesse R. Smart, Vice Chairman
Harold D. Byers
Betty J. Coffrin
Ernest C. Gowen
Judith C. Rice
Bryan A. Schneider
Charles W. Schulz

MEMORANDUM

To: Chairman William M. McGuffage, Vice Chairman Jesse R. Smart
Members of the Board and Executive Director Rupert Borgsmiller

From: Steve Sandvoss, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: May 1, 2013

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of October 16, 2012 thru March 18, 2013, and have concluded that the necessity of confidentiality does not exist as to such minutes, with the following exceptions:

That portion of the minutes of the January 22, 2013 meeting involving discussion of personal information of two employees currently on disability leave and one who is scheduled for surgery.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

AGENCY TOTALS MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$4,196,600.00	\$3,115,979.42	\$0.00	\$1,080,620.58	74.25%
STATE PAID RETIREMENT	\$168,100.00	\$123,805.09	\$0.00	\$44,294.91	73.65%
RETIREMENT (inc. supplemental)	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SOCIAL SECURITY	\$321,300.00	\$230,779.12	\$0.00	\$90,520.88	71.83%
CONTRACTUAL SERVICE	\$1,099,700.00	\$567,080.61	\$71,147.39	\$461,472.00	51.57%
TRAVEL	\$120,200.00	\$60,611.88	\$0.00	\$59,588.12	50.43%
PRINTING	\$41,000.00	\$12,781.70	\$0.00	\$28,218.30	31.17%
COMMODITIES	\$39,600.00	\$15,177.74	\$0.00	\$24,422.26	38.33%
EQUIPMENT	\$125,700.00	\$40,084.95	\$0.00	\$85,615.05	31.89%
TELECOMMUNICATIONS	\$145,500.00	\$84,895.71	\$0.00	\$60,604.29	58.35%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,990.32	\$0.00	\$2,909.68	40.62%
ELECTION CODE BOOKS	\$15,000.00	\$0.00	\$0.00	\$15,000.00	0.00%
IVRS LUMP SUM - OPERATIONS	\$461,300.00	\$258,827.67	\$0.00	\$202,472.33	56.11%
INTEREST PAYMENTS	\$1,800.00	\$1,675.00	\$0.00	\$125.00	0.00%
SUB-TOTAL (OPERATIONS)	\$6,740,700.00	\$4,513,689.21	\$71,147.39	\$2,155,863.40	66.96%
HAVA MAINTENANCE OF EFFORT	\$550,000.00	\$209,470.24	\$52,867.00	\$287,662.76	38.09%
CO CLERK & RECORDER STIPENDS	\$644,800.00	\$0.00	\$0.00	\$644,800.00	0.00%
ELECTION JUDGE REIMBURSEMENT	\$1,347,100.00	\$1,347,100.00	\$0.00	\$0.00	100.00%
ELECTION JUDGES/EARLY VOTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
IVRS LUMP SUM - GRANTS	\$1,119,100.00	\$164,055.10	\$0.00	\$955,044.90	14.66%
REDISTRICTING	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ADDITIONAL STATE MATCH	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
VOTING SYSTEMS INTEGRITY CENTER	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
ELEC. CANVASSING IMPLEMENTATION	\$300,000.00	\$3,272.74	\$0.00	\$296,727.26	1.09%
IDIS SYSTEM REPLACEMENT	\$100,000.00	\$29,704.25	\$40,625.75	\$29,670.00	0.00%
SUPP. APPROP. - IVRS	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUPP. APPROP. - ELECTION DAY JUDGE	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
SUB-TOTAL (GRANTS)	\$4,061,000.00	\$1,544,132.09	\$40,625.75	\$2,476,242.16	38.02%
TOTAL APPROPRIATION	\$10,801,700.00	\$6,057,821.30	\$111,773.14	\$4,632,105.56	56.08%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

BOARD MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
CONTRACTUAL SERVICE				
1205 Freight Express & Drayage	\$3,000.00	\$554.90	\$2,445.10	18.50%
1221 Repair/Maint. Furn./Office Equipment				
1232 Rental Motor Vehicles	\$1,000.00		\$1,000.00	0.00%
1239 Rental, NEC	\$200.00	\$196.68	\$3.32	98.34%
1243 Book Binding Services				
1266 Court Reporting & Filing Services	\$17,000.00	\$12,221.80	\$4,778.20	71.89%
1274 Reg. Fees & Conf. Expenses (Vendor)	\$1,000.00		\$1,000.00	0.00%
1275 Subscriptions				
1276 Reg. Fees & Conf. Expenses (Employee)	\$100.00		\$100.00	0.00%
1277 Association Dues				
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$500.00		\$500.00	0.00%
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$800.00		\$800.00	0.00%
TRAVEL	\$17,000.00	\$15,773.70	\$1,226.30	92.79%
EQUIPMENT				
1510 Office Furniture & Equipment	\$700.00		\$700.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

BOARD GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE
CONTRACTUAL SERVICE	\$23,600.00	\$12,973.38		\$10,626.62
TRAVEL	\$17,000.00	\$15,773.70		\$1,226.30
EQUIPMENT	\$700.00	\$0.00		\$700.00
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00
TOTAL	\$41,300.00	\$28,747.08	\$0.00	\$12,552.92

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ADMINISTRATION MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$480,411.86	\$261,988.14	64.71%
1129 State Paid Retirement	\$29,700.00	\$19,254.01	\$10,445.99	64.83%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$56,800.00	\$35,264.28	\$21,535.72	62.09%
CONTRACTUAL SERVICE				
1201 Petty Cash	\$500.00	\$48.98	\$451.02	9.80%
1205 Freight Express & Drayage	\$700.00	\$700.00	\$700.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$13,000.00	\$5,543.30	\$7,456.70	42.64%
1223 Repair/Maint. Real Property	\$2,000.00	\$630.46	\$1,369.54	31.52%
1229 Repair & Maintenance NEC				
1230 In-House Repair & Maintenance				
1231 Rental, Office Equipment	\$31,800.00	\$2,243.45	\$29,556.55	7.05%
1232 Rental, Motor Vehicles	\$7,200.00	\$5,400.00	\$1,800.00	75.00%
1233 Rental, Real Property	\$392,000.00	\$359,145.00	\$32,855.00	91.62%
1234 Rental, Machinery & Mechanical Eqmt				
1239 Rental, NEC	\$1,000.00	\$490.00	\$510.00	49.00%
1240 Statistical & Tabulation Services	\$13,900.00	\$13,821.32	\$78.68	99.43%
1245 Professional & Artistic				
1248 Building & Grounds Maintenance	\$1,000.00		\$1,000.00	0.00%
1251 Gas				
1252 Electricity				
1253 Water				
1255 Utilities, NEC				
1261 Postage	\$75,000.00	\$24,797.19	\$50,202.81	33.06%
1266 Court Reporting				
1274 Reg Fees & Conf. Expenses (Vendor)	\$800.00		\$800.00	0.00%
1275 Subscription & Information Services	\$2,800.00	\$2,799.00	\$1.00	99.96%
1276 Reg.Fees & Conf. Expenses (Employee)	\$400.00		\$400.00	0.00%
1277 Association Dues	\$2,200.00	\$951.50	\$1,248.50	43.25%
1279 Tuition - Employee				
1280 Copying, Photographic & Printer Services				
1281 Interviewee Expense - To Vendors				
1285 Taxes, Licenses & Fees	\$100.00		\$100.00	0.00%
1289 Contractual Services, NEC	\$11,500.00	\$4,478.78	\$7,021.22	38.95%
TRAVEL				
1291 In-State	\$12,200.00	\$3,394.24	\$8,805.76	27.82%
1292 Out-of-State	\$1,000.00		\$1,000.00	0.00%
1295 Mileage	\$800.00	\$707.70	\$92.30	88.46%
PRINTING	\$9,800.00	\$5,864.05	\$3,935.95	59.84%
COMMODITIES				
1304 Office/Library Supplies	\$14,200.00	\$4,204.15	\$9,995.85	29.61%
1306 Food for Human Consumption				
1335 Rock Salt	\$100.00	\$22.99	\$77.01	22.99%
1391 Household & Cleaning Supplies	\$500.00	\$428.52	\$71.48	85.70%
1394 Office/Library Equip., Not exc. \$100	\$100.00	\$15.99	\$84.01	15.99%
1395 Small Tools Not Exceeding \$100	\$100.00	\$9.99	\$90.01	9.99%
1398 Equipment, NEC	\$500.00	\$24.33	\$475.67	4.87%
1399 Commodities, NEC	\$600.00	\$270.00	\$330.00	45.00%
EQUIPMENT				
1510 Office Furniture & Equipment	\$5,000.00	\$800.48	\$4,199.52	16.01%
1599 Equipment NEC	\$1,000.00		\$1,000.00	0.00%
TELECOMMUNICATIONS				
1710 Repair/Maintenance Telecom				
1721 Rental, Telephone Serv. & Equip.	\$51,000.00	\$33,119.55	\$17,880.45	64.94%
1722 Rental, Data Comm. Serv. & Equip.	\$82,800.00	\$47,261.36	\$35,538.64	57.08%
1728 Videoconferencing	\$7,200.00	\$4,514.80	\$2,685.20	62.71%
1729 Rental, Other Comm. Serv. & Equip.	\$3,000.00		\$3,000.00	0.00%
1730 Parts & Supplies for Telephone	\$1,500.00		\$1,500.00	0.00%
1750 Telephone, Data, Radio & Other Equipment				
OPERATION OF AUTO EQUIPMENT				
1893 Repair & Maint., Auto. Equipment	\$1,800.00		\$1,800.00	0.00%
1894 Parts & Fittings, Auto Equipment	\$200.00		\$200.00	0.00%
1896 Gasoline, Oil & Antifreeze	\$2,900.00	\$1,990.32	\$909.68	68.63%
1899 Auto. Expenses, NEC				
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

ADMINISTRATION GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$742,400.00	\$480,411.86		\$261,988.14	64.71%
STATE PAID RETIREMENT	\$29,700.00	\$19,254.01		\$10,445.99	64.83%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$56,800.00	\$35,264.28		\$21,535.72	62.09%
CONTRACTUAL SERVICE	\$555,900.00	\$420,348.98	\$32,850.00	\$102,701.02	75.62%
TRAVEL	\$14,000.00	\$4,101.94		\$9,898.06	29.30%
PRINTING	\$9,800.00	\$5,864.05		\$3,935.95	59.84%
COMMODITIES	\$16,100.00	\$4,975.97		\$11,124.03	30.91%
EQUIPMENT	\$6,000.00	\$800.48		\$5,199.52	13.34%
TELECOMMUNICATIONS	\$145,500.00	\$84,895.71		\$60,604.29	58.35%
OPERATION OF AUTO EQUIPMENT	\$4,900.00	\$1,990.32		\$2,909.68	40.62%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$1,581,100.00	\$1,057,907.60	\$32,850.00	\$490,342.40	66.91%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

ELECTIONS MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$1,201,492.68	\$457,407.32	72.43%
1129 State Paid Retirement	\$66,400.00	\$47,775.84	\$18,624.16	71.95%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$127,000.00	\$89,297.50	\$37,702.50	70.31%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Contractual reimbursement To Employee				
1205 Freight Express & Drayage	\$2,600.00		\$2,600.00	0.00%
1221 Repair/Maint. Furn./Office Equipment	\$200.00		\$200.00	0.00%
1224 Repair/Maint. Machinery & Mechanical Equip	\$500.00		\$500.00	0.00%
1231 Rental, Office Equipment				
1232 Rental, Motor Vehicles				
1237 Rental, Film & Audio/Visual Aids	\$100.00		\$100.00	0.00%
1239 Rental, NEC	\$200.00	\$100.00	\$100.00	50.00%
1242 Auditing & Management Services				
1245 Professional & Artistic Services, NEC	\$24,900.00		\$24,900.00	0.00%
1266 Court Reporting & Filing Services	\$200.00	\$108.50	\$91.50	54.25%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$4,000.00	\$0.00	\$4,000.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$258.00	\$742.00	25.80%
1276 Reg. Fees & Conf. Expenses (Employee)	\$3,600.00	\$459.00	\$3,141.00	12.75%
1277 Association Dues	\$2,200.00	\$637.00	\$1,563.00	28.95%
1279 Employee Tuition & Fees	\$800.00		\$800.00	0.00%
1280 Copying, Photographic & Printing Services	\$1,500.00		\$1,500.00	0.00%
1284 Software	\$100.00	\$59.95	\$40.05	59.95%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-state Employees				
1289 Contractual Services, NEC	\$400.00		\$400.00	0.00%
TRAVEL	\$55,000.00	\$22,785.18	\$32,214.82	41.43%
PRINTING	\$10,300.00	\$1,858.85	\$8,441.15	18.05%
EQUIPMENT				
1510 Office Furniture & Equipment	\$3,300.00		\$3,300.00	0.00%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				
HAVA - Maintenance of Effort	\$550,000.00	\$209,470.24	\$340,529.76	38.09%
ELECTION CODE BOOKS	\$15,000.00		\$15,000.00	0.00%
Redistricting				
Election Judge Reimbursements	\$1,347,100.00	\$1,347,100.00	\$0.00	100.00%
Stipends	\$644,800.00		\$644,800.00	0.00%
Early Voting Election Judges				
State HAVA Match				
Voting System Integrity Center				
Electronic Canvassing Implementation	\$300,000.00	\$3,272.74	\$296,727.26	1.09%
Electronic Canvassing Interest Payments				
IDIS Replacement System	\$100,000.00	\$29,704.25	\$70,295.75	0.00%
Supp. Approp. - Election Day Judge	\$0.00		\$0.00	0.00%

ELECTIONS GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$1,658,900.00	\$1,201,492.68		\$457,407.32	72.43%
STATE PAID RETIREMENT	\$66,400.00	\$47,775.84		\$18,624.16	71.95%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$127,000.00	\$89,297.50		\$37,702.50	70.31%
CONTRACTUAL SERVICE	\$42,300.00	\$1,622.45		\$40,677.55	3.84%
TRAVEL	\$55,000.00	\$22,785.18		\$32,214.82	41.43%
PRINTING	\$10,300.00	\$1,858.85		\$8,441.15	18.05%
EQUIPMENT	\$3,300.00	\$0.00		\$3,300.00	0.00%
HAVA - Maintenance of Effort	\$550,000.00	\$209,470.24	\$52,867.00	\$287,662.76	38.09%
ELECTION CODE BOOKS	\$15,000.00	\$0.00		\$15,000.00	0.00%
Redistricting	\$0.00	\$0.00		\$0.00	0.00%
Election Judge Reimbursements	\$1,347,100.00	\$1,347,100.00		\$0.00	100.00%
Stipends	\$644,800.00	\$0.00		\$644,800.00	0.00%
Early Voting Election Judges	\$0.00	\$0.00		\$0.00	0.00%
State HAVA Match	\$0.00	\$0.00		\$0.00	0.00%
Voting System Integrity Center	\$0.00	\$0.00		\$0.00	0.00%
Electronic Canvassing Implementation	\$300,000.00	\$3,272.74		\$296,727.26	1.09%
Electronic Canvassing Interest Payments	\$0.00	\$0.00		\$0.00	0.00%
IDIS Replacement System	\$100,000.00	\$29,704.25	\$40,625.75	\$29,670.00	0.00%
Supp. Approp. - Election Day Judge	\$0.00	\$0.00		\$0.00	0.00%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$4,920,100.00	\$2,954,379.73	\$93,492.75	\$1,872,227.52	60.05%

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
General Revenue Fund

GENERAL COUNSEL <u>MONTH ENDING: April 30, 2013</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$302,600.00	\$252,800.00	\$49,800.00	83.54%
1129 State Paid Retirement	\$12,100.00	\$10,120.24	\$1,979.76	83.64%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$23,200.00	\$18,866.53	\$4,333.47	81.32%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1202 Reimbursement to Employee				
1205 Freight Express & Drayage	\$500.00		\$500.00	0.00%
1221 Repair/Maint. Furn./Office Equipment				
1244 Legal Fees	\$100,000.00	\$21,702.61	\$78,297.39	21.70%
1245 Professional & Artistic Services, NEC	\$20,000.00		\$20,000.00	0.00%
1266 Court Reporting & Filing Services	\$16,000.00	\$6,959.00	\$9,041.00	43.49%
1273 Advertising				
1274 Reg Fees & Conf. Expenses (Vendor)	\$2,000.00	\$419.00	\$1,581.00	0.00%
1275 Subscription & Information Services	\$1,000.00	\$133.42	\$866.58	13.34%
1276 Reg. Fees & Conf. Expenses (Employee)	\$1,000.00	\$502.00	\$498.00	0.00%
1277 Association Dues	\$1,200.00	\$684.00	\$516.00	57.00%
1279 Employee Tuition & Fees				
1280 Copying, Photographic & Printing Services	\$7,500.00		\$7,500.00	0.00%
1284 Computer Software				
1289 Contractual Services, NEC	\$6,000.00	\$4,591.33	\$1,408.67	76.52%
TRAVEL	\$12,000.00	\$6,963.35	\$5,036.65	58.03%
EQUIPMENT				
1510 Office Furniture & Equipment	\$2,000.00	\$148.35	\$1,851.65	7.42%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$100.00	\$66.42	\$33.58	66.42%

<u>GENERAL COUNSEL GRAND TOTAL</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>	<u>BALANCE</u>	<u>% OF</u> <u>EXPENDITURE</u>
PERSONAL SERVICE	\$302,600.00	\$252,800.00		\$49,800.00	83.54%
STATE PAID RETIREMENT	\$12,100.00	\$10,120.24		\$1,979.76	83.64%
RETIREMENT	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$23,200.00	\$18,866.53		\$4,333.47	81.32%
CONTRACTUAL SERVICE	\$155,200.00	\$34,991.36	38,297.39	\$81,911.25	22.55%
TRAVEL	\$12,000.00	\$6,963.35		\$5,036.65	58.03%
EQUIPMENT	\$2,000.00	\$148.35		\$1,851.65	7.42%
INTEREST PAYMENTS	\$100.00	\$66.42		\$33.58	0.00%
TOTAL	\$507,200.00	\$323,956.25	\$38,297.39	\$144,946.36	63.87%

STATE BOARD OF ELECTIONS
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CAMPAIGN DISCLOSURE MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$787,600.00	\$588,078.68	\$199,521.32	74.67%
1129 State Paid Retirement	\$31,600.00	\$23,531.75	\$8,068.25	74.47%
1161 Retirement	\$0.00		\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00		\$0.00	0.00%
1170 Social Security	\$60,300.00	\$43,158.00	\$17,142.00	71.57%
CONTRACTUAL SERVICE				
1202 Employee Reimbursement				
1205 Freight Express & Drayage	\$100.00		\$100.00	0.00%
1221 Repair & Maint, Furn & Office Equipment				
1225 Repair & Maint, EDP				
1229 Repair & Maint, NEC				
1232 Rental, Motor Vehicles				
1239 Rental, NEC				
1245 Professional & Artistic Services, NEC				
1248 Building & Ground Maintenance				
1266 Court Reporting & Filing Services				
1274 Reg Fees & Conf. Expenses (Vendor)	\$1,200.00	\$1,060.00	\$140.00	88.33%
1275 Subscription & Information Services				
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$600.00		\$600.00	0.00%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC				
TRAVEL				
1291 In-State	\$6,900.00	\$622.68	\$6,277.32	9.02%
1292 Out-of-State	\$3,300.00	\$974.52	\$2,325.48	29.53%
1295 Mileage	\$1,100.00	\$1,013.47	\$86.53	92.13%
PRINTING	\$20,200.00	\$5,058.80	\$15,141.20	25.04%
EQUIPMENT				
1510 Office Furniture & Equipment	\$800.00	\$121.20	\$678.80	15.15%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments				

CAMPAIGN DISCLOSURE GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$787,600.00	\$588,078.68		\$199,521.32	74.67%
STATE PAID RETIREMENT	\$31,600.00	\$23,531.75		\$8,068.25	74.47%
RETIREMENT (incl. supplemental funding)	\$0.00	\$0.00		\$0.00	0.00%
SOCIAL SECURITY	\$60,300.00	\$43,158.00		\$17,142.00	71.57%
CONTRACTUAL SERVICE	\$1,900.00	\$1,060.00		\$840.00	55.79%
TRAVEL	\$11,300.00	\$2,610.67		\$8,689.33	23.10%
PRINTING	\$20,200.00	\$5,058.80		\$15,141.20	25.04%
EQUIPMENT	\$800.00	\$121.20		\$678.80	15.15%
INTEREST PAYMENTS	\$0.00	\$0.00		\$0.00	0.00%
TOTAL	\$913,700.00	\$663,619.10	\$0.00	\$250,080.90	72.63%

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INFORMATION TECHNOLOGY MONTH ENDING: April 30, 2013	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	BALANCE	% OF EXPENDITURE
PERSONAL SERVICE	\$705,100.00	\$593,196.20	\$111,903.80	84.13%
1129 State Paid Retirement	\$28,300.00	\$23,123.25	\$5,176.75	81.71%
1161 Retirement	\$0.00	\$0.00	\$0.00	0.00%
1161-0008 Compt. Supplemental Retirement	\$0.00	\$0.00	\$0.00	0.00%
1170 Social Security	\$54,000.00	\$44,192.81	\$9,807.19	81.84%
CONTRACTUAL SERVICE				
1145 Contractual Payroll				
1205 Freight Express & Drayage				
1221 Repair/Maint. Furn./Office Equipment	\$13,300.00		\$13,300.00	0.00%
1223 Repair/Maint. Real Property				
1225 Repair/Maint. EDP Equipment	\$66,700.00	\$13,530.14	\$53,169.86	20.29%
1230 In-House Repair of Equipment				
1234 Rental, Machinery and Mech. Equip				
1239 Rental, NEC	\$1,600.00	\$1,269.00	\$331.00	79.31%
1242 Auditing & Management Services				
1244 Legal Fees				
1245 Professional & Artistic Services, NEC	\$83,800.00		\$83,800.00	0.00%
1271 Surety Bond & Ins. Prem.	\$1,000.00	\$584.00	\$416.00	58.40%
1272 Travel & Expense Reimbursement (Vendor)				
1274 Reg Fees & Conf. Expenses (Vendor)	\$6,000.00		\$6,000.00	0.00%
1275 Subscription & Information Services	\$2,800.00		\$2,800.00	0.00%
1276 Reg. Fees & Conf. Expenses (Employee)				
1277 Association Dues				
1279 Employee Tuition and Fees	\$8,000.00	\$4,131.00	\$3,869.00	51.64%
1284 Computer Software	\$119,400.00	\$76,080.30	\$43,319.70	63.72%
1285 Operating Taxes, Licenses & Fees				
1286 Travel, Non-State Employee				
1289 Contractual Services, NEC	\$18,200.00	\$490.00	\$17,710.00	2.69%
TRAVEL				
1291 In-State	\$4,800.00	\$3,690.30	\$1,109.70	76.88%
1292 Out-of-State	\$5,400.00	\$4,260.90	\$1,139.10	78.91%
1295 Mileage	\$700.00	\$425.84	\$274.16	60.83%
PRINTING	\$700.00		\$700.00	0.00%
COMMODITIES				
1304 Office/Library Supplies	\$22,200.00	\$8,995.76	\$13,204.24	40.52%
1332 Industrial & Shop Materials				
1394 Office & Library Equipment Under \$100	\$100.00	\$48.69	\$51.31	48.69%
1395 Small Tools < \$100				
1398 Equipment, NEC	\$1,200.00	\$1,157.32	\$42.68	96.44%
1399 Commodities, NEC				
EQUIPMENT				
1510 Office Furniture & Equipment	\$300.00	\$274.95	\$25.05	91.65%
1515 EDP Equipment	\$112,600.00	\$38,739.97	\$73,860.03	34.40%
LUMP SUMS & OTHER PURPOSES				
1991 Interest Payments	\$1,700.00	\$1,608.58	\$91.42	94.62%

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IVRS LUMP SUM	YEAR TO DATE
<u>MONTH ENDING: April 30, 2013</u>	<u>EXPENDITURE</u>
PERSONAL SERVICE	\$230,074.50
1129 State Paid Retirement	\$9,203.26
1161 Retirement	
1170 Social Security	\$16,731.88
CONTRACTUAL SERVICE	
1205 Freight Express & Drayage	
1221 Repair/Maint. Furn./Office Equipment	
1232 Rental Motor Vehicles	
1239 Rental, NEC	
1242 Auditing & Management Services	
1243 Book Binding Services	
1261 Postage	\$1,249.28
1266 Court Reporting & Filing Services	
1274 Reg. Fees & Conf. Expenses (Vendor)	
1275 Subscriptions	
1276 Reg. Fees & Conf. Expenses (Employee)	
1277 Association Dues	
1279 Employee Tuition & Fees	
1280 Copying, Photographic & Printing Services	
1284 Computer Software	\$1,198.80
1286 Travel, Non-State Employee	
1289 Contractual Services, NEC	
TRAVEL	\$186.45
PRINTING	
COMMODITIES	
1304 Office Supplies	\$183.50
1398 Equipment Less than \$100	
EQUIPMENT	
1510 Office Furniture & Equipment	
LUMP SUMS & OTHER PURPOSES	
1991 Interest Payments	
LOCAL GRANTS	
4453 Reimbursement to Governmental Units	\$164,055.10
4458 Services, NEC	
4470 Grants to Local Governments	
4479 Payments to Other State Agencies	
SUPP. APPROP. - IVRS	
LUMP SUM - OPERATIONS APPROP FOR YEAR	\$461,300.00
TOTAL LUMP SUM - OPERATIONS EXPENDITURES	\$258,827.67
REMAINING LUMP SUM APPROPRIATION	\$202,472.33
LUMP SUM - GRANTS APPROP FOR YEAR	\$1,119,100.00
TOTAL LUMP SUM - GRANT EXPENDITURES	\$164,055.10
REMAINING LUMP SUM APPROPRIATION	\$955,044.90
LUMP SUM TOTAL APPROPRIATION	\$1,580,400.00
TOTAL LUMP SUM EXPENDITURES	\$422,882.77
REMAINING LUMP SUM APPROPRIATION	\$1,157,517.23
SUPP. APPROP - IVRS	\$0.00
TOTAL SUPP. APPROP. - IVRS	\$0.00
REMAINING SUPP. APPROP. - IVRS	\$0.00

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AGENCY TOTALS	SECTION 101 <u>Discretionary</u> <u>Funds</u>	SECTION 102 <u>Entitlement</u> <u>Payments</u>	SECTION 261 <u>EAID</u> <u>Disbursements</u>	SECTION 251 <u>Requirements</u>	SECTION 251 <u>Additional</u> <u>Requirements</u>	TOTAL <u>Fund Activity</u>
<u>MONTH ENDING: April 30, 2013</u>						
CASH BALANCE AT BEGINNING OF YR	\$927,591.71	\$0.00	-\$53,938.40	\$5,854,560.12	\$7,358,754.80	\$14,086,968.23
Program Revenues from Federal Govt			\$328,826.30			\$328,826.30
Miscellaneous Revenues						\$0.00
Interest Earned on IOC Balances	\$2,273.50			\$16,202.45	\$19,611.05	\$38,087.00
Interest Penalties Received					\$34.65	\$34.65
EAC-Mandated Transfers of Interest						\$0.00
State Match Receipts						\$0.00
TOTAL CASH REVENUES	\$2,273.50	\$0.00	\$328,826.30	\$16,202.45	\$19,645.70	\$366,947.95
YEAR TO DATE CASH EXPENDITURES						
PERSONAL SERVICE	\$65,340.00	\$0.00	\$0.00	\$0.00	\$0.00	\$65,340.00
STATE-PAID RETIREMENT	\$2,614.60	\$0.00	\$0.00	\$0.00	\$0.00	\$2,614.60
RETIREMENT	\$24,829.40	\$0.00	\$0.00	\$0.00	\$0.00	\$24,829.40
SOCIAL SECURITY	\$4,763.00	\$0.00	\$0.00	\$0.00	\$0.00	\$4,763.00
GROUP INSURANCE	\$23,768.20	\$0.00	\$0.00	\$0.00	\$0.00	\$23,768.20
CONTRACTUAL SERVICE	\$525.00	\$0.00	\$0.00	\$571,047.84	\$0.00	\$571,572.84
TRAVEL	\$12,582.38	\$0.00	\$0.00	\$0.00	\$0.00	\$12,582.38
PRINTING	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TELECOMMUNICATIONS	\$277.61	\$0.00	\$0.00	\$0.00	\$0.00	\$277.61
OPERATION OF AUTO. EQUIPMENT	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$91,072.71	\$0.00	\$7,294.93	\$394,997.30	\$0.00	\$493,364.94
SUB-TOTAL CASH EXPENDITURES (OPERATIONS)	\$225,772.90	\$0.00	\$7,294.93	\$966,045.14	\$0.00	\$1,199,112.97
AWARDS & GRANTS	\$0.00	\$0.00	\$267,592.97	\$0.00	\$1,970,713.23	\$2,238,306.20
TOTAL CASH EXPENDITURES	\$225,772.90	\$0.00	\$274,887.90	\$966,045.14	\$1,970,713.23	\$3,437,419.17
CASH BALANCE AT END OF MONTH	\$704,092.31	\$0.00	\$0.00	\$4,904,717.43	\$5,407,687.27	\$11,016,497.01

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SECTION 101 - DISCRETIONARY FUNDS
MONTH ENDING: April 30, 2013

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE
PERSONAL SERVICE	\$65,340.00	\$65,340.00
1129 State Paid Retirement	\$2,614.60	\$2,614.60
1161 Retirement	\$24,829.40	\$24,829.40
1170 Social Security	\$4,763.00	\$4,763.00
1180 Group Insurance	\$23,768.20	\$23,768.20
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services		
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC		
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)	\$495.00	\$495.00
1276 Registration Fees & Conf. Exp. (Employee)	\$30.00	\$30.00
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software		
1286 Travel, Reimb. to Non-State Employees		
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State & 1293 In-State (to vendor)	\$2,418.05	\$2,418.05
1292 Out-of-State	\$7,754.70	\$7,754.70
1295 Mileage	\$2,409.63	\$2,409.63
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment	\$0.00	
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.	\$277.61	\$277.61
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	\$91,072.71	\$91,072.71
GRANTS		
4453 Reimbursement to Governmental Units		
4458 Services, NEC		
4470 Grants to Local Governments (PPA)		
4470 Grants to Local Governments (EAI)		
4470 Grants to Local Governments (AAG)		
4470 Grants to Local Governments		
4479 Payments to Other State Agencies		

	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
SECTION 101 GRAND TOTAL			
PERSONAL SERVICE	\$65,340.00	\$65,340.00	
STATE PAID RETIREMENT	\$2,614.60	\$2,614.60	
RETIREMENT	\$24,829.40	\$24,829.40	
SOCIAL SECURITY	\$4,763.00	\$4,763.00	
GROUP INSURANCE	\$23,768.20	\$23,768.20	
CONTRACTUAL SERVICE	\$525.00	\$525.00	
TRAVEL	\$12,582.38	\$12,582.38	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$277.61	\$277.61	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$91,072.71	\$91,072.71	
GRANTS	\$0.00	\$0.00	
TOTAL	\$225,772.90	\$225,772.90	\$0.00

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SECTION 102 - ENTITLEMENT PAYMENTS
MONTH ENDING: April 30, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
 1161 Retirement
 1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
 1225 Repair & Maintenance, EDP Equip.
 1231 Rental, Office Equipment
 1237 Rental, Film & Audio/Visual Aids
 1239 Rental, NEC
 1242 Auditing & Management Services
 1243 Book Binding & Processing Services
 1244 Legal Fees
 1245 Professional & Technical Services, NEC
 1261 Postage
 1266 Court Reporting & Filing Services
 1274 Registration Fees & Conf. Exp. (Vendor)
 1276 Registration Fees & Conf. Exp. (Employee)
 1280 Copying, Photographic & Printing Serv.
 1284 Computer Software
 1289 Contractual Services, NEC

TRAVEL

1291 In-State
 1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
 1394 Office/Library Equip., Not Exceed. \$100
 1398 Equipment, NEC
 1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
 1515 EDP Equipment
 1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
 1722 Rental, Data Comm. Serv. & Equip.
 1728 Video Conferencing
 1729 Rental, Other Comm. Serv. & Equip.
 1730 Parts & Supplies for Telephone
 1740 Answering & Pag. Comm. Serv. Equip.
 1750 Telephone, Data, Radio & Other Equip.
 1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
 1894 Parts & Fittings, Automotive Equipment
 1896 Gasoline, Oil & Antifreeze
 1898 Automotive Services, NEC
 1899 Automotive Expenses, NEC

GRANTS

4453 Reimbursement to Governmental Units
 4458 Services, NEC
 4470 Grants to Local Governments, NEC

SECTION 102 GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
GRANTS	\$0.00	\$0.00

TOTAL **\$0.00**

\$0.00 **\$0.00**

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SECTION 261 - EAID DISBURSEMENTS
MONTH ENDING: April 30, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1394 Office/Library Equip., Not exc. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1599 Equipment, NEC

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund \$7,294.93 \$7,294.93

GRANTS

4453 Reimbursement to Governmental Units
4470 Grants to Local Governments (VAID II)
4470 Grants to Local Governments (VAID III)
4470 Grants to Local Governments (VAID IV) \$267,592.97 \$267,592.97

SECTION 261 GRAND TOTAL	FY13 APPROPRIATION	YEAR TO DATE EXPENDITURE	OBLIGATED MONEY
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$0.00	\$0.00	
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$7,294.93	\$7,294.93	
GRANTS	\$267,592.97	\$267,592.97	
TOTAL	\$274,887.90	\$274,887.90	\$0.00

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SECTION 251 - REQUIREMENTS
MONTH ENDING: April 30, 2013

	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>
PERSONAL SERVICE		
1129 State Paid Retirement		
1161 Retirement		
1170 Social Security		
1180 Group Insurance		
CONTRACTUAL SERVICE		
1205 Freight Express/Drayage		
1223 Repair & Maintenance Real Property		
1225 Repair & Maintenance, EDP Equip.		
1231 Rental, Office Equipment		
1232 Rental, Motor Vehicles		
1237 Rental, Film & Audio/Visual Aids		
1239 Rental, NEC		
1242 Auditing & Management Services	\$526,449.55	\$526,449.55
1243 Book Binding & Processing Services		
1244 Legal Fees		
1245 Professional & Technical Services, NEC	\$13,047.56	\$13,047.56
1261 Postage		
1266 Court Reporting & Filing Services		
1273 Advertising		
1274 Registration Fees & Conf. Exp. (Vendor)		
1276 Registration Fees & Conf. Exp. (Employee)		
1280 Copying, Photographic & Printing Serv.		
1284 Computer Software	\$31,550.73	\$31,550.73
1289 Contractual Services, NEC		
TRAVEL		
1291 In-State		
1292 Out-of-State		
PRINTING		
COMMODITIES		
1304 Office/Library Supplies		
1308 Educational & Instructional Materials		
1394 Office/Library Equip., Not Exceed. \$100		
1398 Equipment, NEC		
1399 Commodities, NEC		
EQUIPMENT		
1510 Office Furniture & Equipment		
1515 EDP Equipment		
1561 Training Equipment		
6625 Leasehold Improvements		
TELECOMMUNICATIONS		
1721 Rental, Telephone Serv. & Equip.		
1722 Rental, Data Comm. Serv. & Equip.		
1728 Video Conferencing		
1729 Rental, Other Comm. Serv. & Equip.		
1730 Parts & Supplies for Telephone		
1740 Answering & Pag. Comm. Serv. Equip.		
1750 Telephone, Data, Radio & Other Equip.		
1799 Telecommunication Services, NEC		
OPERATION OF AUTO EQUIPMENT		
1893 Repair & Maint., Automotive Equipment		
1894 Parts & Fittings, Automotive Equipment		
1896 Gasoline, Oil & Antifreeze		
1898 Automotive Services, NEC		
1899 Automotive Expenses, NEC		
INDIRECT COST REFUNDS		
1993 Indirect Cost Allocation Refund	\$394,997.30	\$394,997.30
GRANTS		
4453 Reimbursement to Govt Units (AVE)		
4453 Reimbursement to Govt Units (Phase II)		
4453 Reimbursement to Govt Units (ALA)	\$0.00	
4453 Reimbursement to Govt Units (EDG)		
4470 Grants to Local Governments (VRS)		
4479 Payments to Other State Agencies		

<u>SECTION 251 (OLD REQ) GRAND TOTAL</u>	<u>FY13</u> <u>APPROPRIATION</u>	<u>YEAR TO DATE</u> <u>EXPENDITURE</u>	<u>OBLIGATED</u> <u>MONEY</u>
PERSONAL SERVICE	\$0.00	\$0.00	
STATE PAID RETIREMENT	\$0.00	\$0.00	
RETIREMENT	\$0.00	\$0.00	
SOCIAL SECURITY	\$0.00	\$0.00	
GROUP INSURANCE	\$0.00	\$0.00	
CONTRACTUAL SERVICE	\$571,047.84	\$571,047.84	\$338,257.33
TRAVEL	\$0.00	\$0.00	
PRINTING	\$0.00	\$0.00	
COMMODITIES	\$0.00	\$0.00	
EQUIPMENT	\$0.00	\$0.00	
TELECOMMUNICATIONS	\$0.00	\$0.00	
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00	
INDIRECT COST REFUNDS	\$394,997.30	\$394,997.30	
GRANTS	\$0.00	\$0.00	
TOTAL	\$966,045.14	\$966,045.14	\$338,257.33

STATE BOARD OF ELECTIONS
FY13 MONTHLY FISCAL REPORT
Help Illinois Vote Fund

SECTION 251 - ADDITIONAL REQUIREMENTS
MONTH ENDING: April 30, 2013

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

PERSONAL SERVICE

1129 State Paid Retirement
1161 Retirement
1170 Social Security
1180 Group Insurance

CONTRACTUAL SERVICE

1205 Freight Express/Drayage
1223 Repair & Maintenance Real Property
1225 Repair & Maintenance, EDP Equip.
1231 Rental, Office Equipment
1232 Rental, Motor Vehicles
1237 Rental, Film & Audio/Visual Aids
1239 Rental, NEC
1242 Auditing & Management Services
1243 Book Binding & Processing Services
1244 Legal Fees
1245 Professional & Technical Services, NEC
1261 Postage
1266 Court Reporting & Filing Services
1273 Advertising
1274 Registration Fees & Conf. Exp. (Vendor)
1276 Registration Fees & Conf. Exp. (Employee)
1280 Copying, Photographic & Printing Serv.
1284 Computer Software
1289 Contractual Services, NEC

TRAVEL

1291 In-State
1292 Out-of-State

PRINTING

COMMODITIES

1304 Office/Library Supplies
1308 Educational & Instructional Materials
1394 Office/Library Equip., Not Exceed. \$100
1398 Equipment, NEC
1399 Commodities, NEC

EQUIPMENT

1510 Office Furniture & Equipment
1515 EDP Equipment
1561 Training Equipment
6625 Leasehold Improvements

TELECOMMUNICATIONS

1721 Rental, Telephone Serv. & Equip.
1722 Rental, Data Comm. Serv. & Equip.
1728 Video Conferencing
1729 Rental, Other Comm. Serv. & Equip.
1730 Parts & Supplies for Telephone
1740 Answering & Pag. Comm. Serv. Equip.
1750 Telephone, Data, Radio & Other Equip.
1799 Telecommunication Services, NEC

OPERATION OF AUTO EQUIPMENT

1893 Repair & Maint., Automotive Equipment
1894 Parts & Fittings, Automotive Equipment
1896 Gasoline, Oil & Antifreeze
1898 Automotive Services, NEC
1899 Automotive Expenses, NEC

INDIRECT COST REFUNDS

1993 Indirect Cost Allocation Refund

GRANTS

4453 Reimbursement to Govt Units (AVE)
4453 Reimbursement to Govt Units (Phase II)
4453 Reimbursement to Govt Units (ALA)
4453 Reimbursement to Govt Units (EDG)
4470 Grants to Local Governments (VRS)
4479 Payments to Other State Agencies

\$1,970,713.23

\$1,970,713.23

SECTION 251 (NEW REQ) GRAND TOTAL

FY13
APPROPRIATION

YEAR TO DATE
EXPENDITURE

OBLIGATED
MONEY

PERSONAL SERVICE	\$0.00	\$0.00
STATE PAID RETIREMENT	\$0.00	\$0.00
RETIREMENT	\$0.00	\$0.00
SOCIAL SECURITY	\$0.00	\$0.00
GROUP INSURANCE	\$0.00	\$0.00
CONTRACTUAL SERVICE	\$0.00	\$0.00
TRAVEL	\$0.00	\$0.00
PRINTING	\$0.00	\$0.00
COMMODITIES	\$0.00	\$0.00
EQUIPMENT	\$0.00	\$0.00
TELECOMMUNICATIONS	\$0.00	\$0.00
OPERATION OF AUTO EQUIPMENT	\$0.00	\$0.00
INDIRECT COST REFUNDS	\$0.00	\$0.00
GRANTS	\$1,970,713.23	\$1,970,713.23

TOTAL	\$1,970,713.23	\$1,970,713.23	\$0.00
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Start Date	End Date	Activity	Division
4 /15/2013		Last day that a political committee shall file its 2013 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
4 /16/2013		Begin review of computer operator's logs for the performance of automatic tabulating equipment used in the Consolidated Election. (Rules and Regulations)	VOSS
4 /16/2013		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
4 /19/2013		(date subject to change) 3rd Reading Deadline Final day on which SENATE bills may be called for final action on 3rd reading in the SENATE. (Senate Rule) Final day on which HOUSE bills may be called for a final vote on 3rd reading in the HOUSE. (House Rule)	LEG
4 /23/2013		Last day for local election authorities to count absentee, military & overseas ballots which were postmarked by midnight April 9, 2013. 10 ILCS 5/19-8[c] & 20-8©	LEG
4 /29/2013		Last day for election authority to notify public of time, date, and place of re-tabulation for General Consolidated. 10 ILCS 5/24B-15	VOSS
4 /30/2013		Send notification reminder to election officials who failed to submit their computer operator's log or analogous information. 10 ILCS 5/24A-13, 24B-13, 24C-14 (Rules and Regulations).	VOSS
5 /1 /2013		Send draft of the 2014 CANDIDATE'S GUIDE to legal for review. Project started 1/2/2013 (Complete by 6/29/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
5 /1 /2013		Begin preparation of packet materials for 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed May 1, 2013. 10 ILCS 5/9-15.	CAMP DISC

Start Date	End Date	Activity	Division
5 /1 /2013		Date upon which the State Board of Election shall notify political committees that have failed to file the 2013 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
5 /2 /2013		Prepare dates for the Appointment of Election Judges 2014/2016 document. Send to all election authorities by 5/21/2013. 10ILCS 5/13-1(1.1,2,3,5 & 7)	ET&RD
5 /10/2013		Canvass results of Fox Waterway Agency trustees election.	EL INFO
5 /15/2013		First day for election authority to submit computerized voter registration file for the May 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /15/2013		The date that a second letter goes out to all committees that have failed to file the report advising them that an assessment for late filing is continuing and that a complaint will be filed if the committee fails to file the 2013 MARCH QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Rules and Regulations 125.425.	CAMP DISC
5 /16/2013		The Board will refer to the Attorney General of Illinois for compliance enforcement each jurisdiction whose computerized voter registration submission has not been received for the Consolidated General 2013 submission	VRS
5 /17/2013		(date subject to change) Final day for which HOUSE committees may take action on SENATE bills. (House Rule) Final day for which SENATE committees may take action on HOUSE bills. (Senate Rule)	LEG
5 /20/2013		BOARD MEETING 10 ILCS 5/1A7	EXEC DIR
5 /21/2013		Complete Appointment of Election Judges dates for 2014/2016. Project started 5/2/2013 10ILCS 13-1 (1/1 2,3,4,5,7)	ET&RD
5 /21/2013		Complete analysis of Referenda Profile for the Consolidated Election. 10 ILCS 5/1A-8 (1, 2, 12)	VOSS

Start Date	End Date Activity	Division
5 /24/2013	(date subject to change) Final day on which all HOUSE bills may be called for a final SENATE vote on 3rd reading. (Senate Rule) Final day on which all SENATE bills may be called for a final HOUSE vote on 3rd reading. (House Rule)	LEG
5 /25/2013	Last day for election authorities to submit request for extension to file computerized voter registration information for the May 15, 2013 submission. Rules and Regulations	VRS
5 /25/2013	Last day for election authority to submit computerized voter registration file for the May 15, 2013 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
5 /31/2013	The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2013 JUNE QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
5 /31/2013	General Assembly Adjournment (House/Senate Rule)	LEG
6 /10/2013	Begin work on post session BILL RECOMMENDATIONS to the Board and Governor's office. [10 ILCS 5/1A-8(8)]	LEG
6 /18/2013	BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
6 /24/2013	Send notice to election authorities who failed to submit a computerized voter registration file, for the May 15, 2013 submission. Rules and Regulations	VRS
6 /24/2013	Send to each election authority a current computerized voter registration error report, from the May 15, 2013 submission	VRS
7 /1 /2013	Start review and update MILITARY/OVERSEAS booklet. Complete by 9/3/2013. 10 ILCS 5/1A-8 (1,2,3,7,11)	ET&RD
7 /1 /2013	Begin updating the SBE GUIDE FOR POLLWATCHERS. (Complete by 9/3/2013.) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD

5. Follow up.
6. Comments from the general public.
7. Next regular Board meeting at 10:00 a.m. on Tuesday, June 18, 2013 in Springfield.
8. Executive session.